

South West London Sustainability and Transformation Partnership

SWL ECI Policy version 3.0

26 February 2019

Start well, live well, age well

Introduction

The SWL ECI Policy v2.0 was jointly signed off by SWL CCGs in November 2017 and it is due to be updated for going live in April 2019.

1. SWL ECI policy
2. Version 3.0 scope
3. Update process and engagement
4. NHS England EBI programme
5. London Choosing Wisely programme
6. New clinical thresholds
7. Equality Impact Assessment
8. Next steps
9. Questions from Committees in Common members
10. Sign off

1a. SWL ECI policy

- **What is 'ECI'?**
 - ECI stands for Effective Commissioning Initiative

- **What is covered by the ECI policy?**
 - Planned care; primarily surgical procedures undertaken by the NHS
 - Not included: emergency, cancer and maternity care

- **When was it first created?**

The original policy was developed in 2006 by Primary Care Trusts and there were major updates to the policy finalised in November 2017

- **Why do we need an ECI policy?**

To ensure that NHS funded treatments are **evidence based, clinically effective** and **access to treatments is as equitable as possible across SWL**

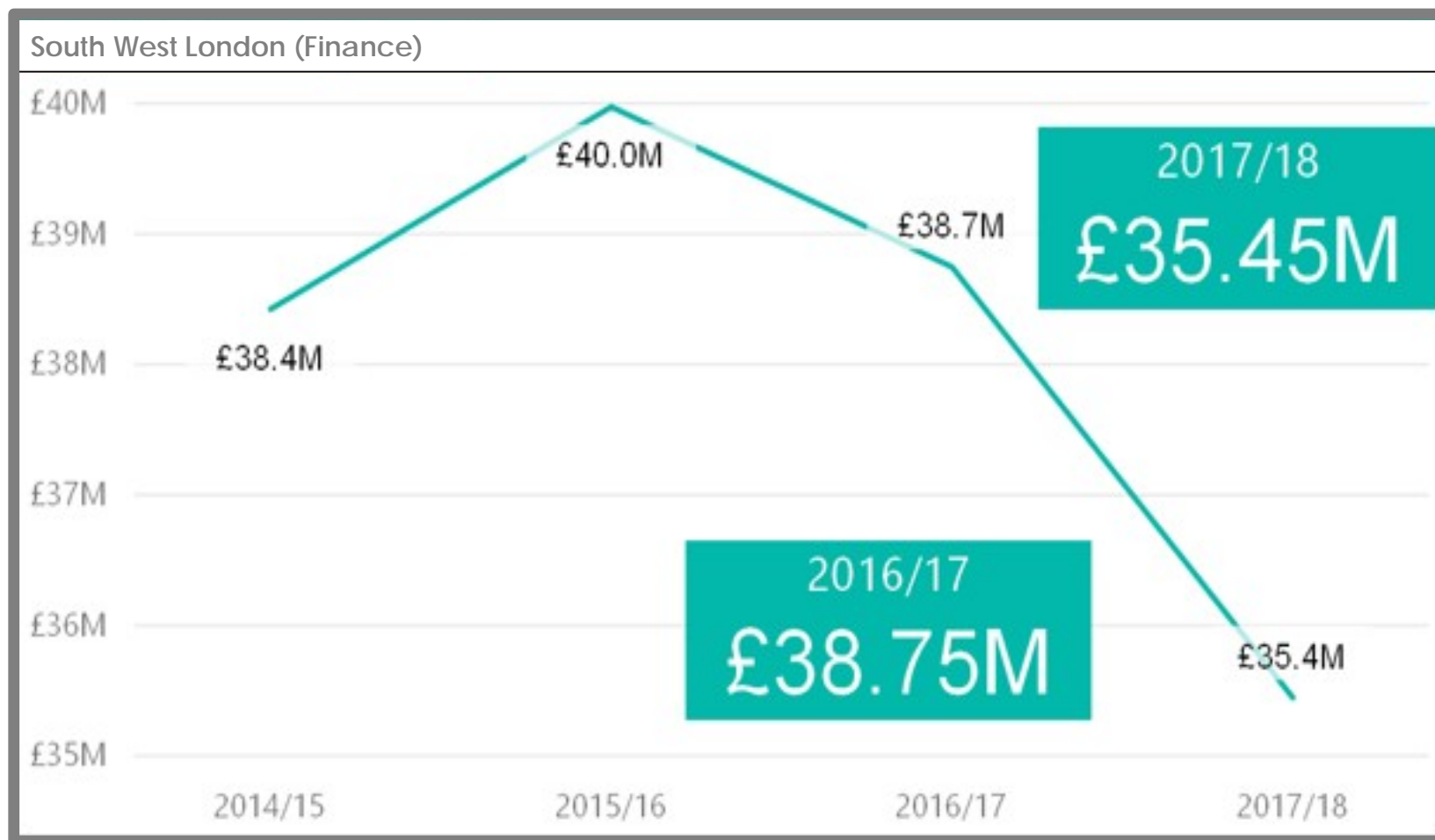
1b. SWL ECI policy

Having a robust ECI policy is not about saving money.

What the robust SWL ECI policy is helping to do is to ensure that:

- It applies to the most appropriate patients who might be expected to benefit the most from the NHS funded treatment, based on current evidence of clinical and cost effectiveness
- The right patient gets the right treatment, at the right time, in the right place, first time
- It is trying to avoid over-diagnosis, over-testing and over-treatment and unnecessary over-medicalisation of the patient
- It allows the patient to engage actively in shared decision-making and deciding on what s/he thinks will be the best treatment for him/her.

1c. SWL ECI spend trend



2. Version 3.0 scope

- **Maintain and update a joint SWL ECI policy**
(Update policies based on new evidence or local feedback)
- **Incorporate the requirements set by statutory NHS England programme**
(Evidence-Based Intervention programme see slide 9-11)
- **Adopt clinical thresholds developed for London CCGs**
(London Choosing Wisely programme see slide 12-13)
- **Introduce new clinical thresholds based on evidence or local feedback**
(Seven new clinical thresholds introduced, see slide 14)

3a. Update process

- **Horizon scanning**
 - Literature search by public health to include latest evidence
 - Data analysis to identify variation in care and create longlist to consider new procedures

- **Cooperation with London Choosing Wisely programme**
 - Replicated SWL ECI policy reviews format at London level
 - Strong SWL representation on Steering Group and Task and Finish Groups
 - Proactive feedback-gathering from SWL stakeholders

- **Evidence-Based Intervention (EBI) programme**
 - Selected SWL as the first national exemplar for EBI
 - Contributed to the final wording of the policy to ensure sovereignty of CCG decision making

- **Local engagement with providers**
 - Face-to-face and virtual discussion with clinicians and management
 - Discussion with Clinical Chairs and Medical Directors

3c. Version 3.0 procedures

SWL ECI policy v3.0		Miscellaneous Procedures
Back pain	ENT	Botox injections for hyperhidrosis
Diagnostic spinal injections	Intervention for snoring (Not OSA)	Circumcision - Male
Discectomy (open)	Nasal surgery	Complementary therapy
Epidural injections	Surgery for Glue Ears - Adults (grommets)	Scrotal surgery
Spinal decompression	Surgery for Glue Ears - Children (grommets)	Skin
Radiofrequency denervation	Surgery for Obstructive Sleep Apnoea	Photodynamic therapy (PDT)
Breast Procedures	Surgical correction of Prominent Ears	Removal of Minor Skin Lesion
Breast enlargement	Surgical repair of External Ear Lobules	Scar revision surgery (keloidectomy)
Breast enlargement - Revision	Tonsillectomy	T&O - Hand
Breast lift (mastopexy)	Eyes	Surgery for Carpal Tunnels
Breast reduction - Female	Cataract surgery	Surgery for Dupuytren's Contracture
Breast reduction - Male	Chalazia removal	Surgery for Trigger Finger
Surgical correction of nipple inversion	Eyelid surgery (blepharoplasty)	T&O - Hip
Cosmetic procedures	General Surgery	Hip replacement surgery
Botox injections for Cosmetic reasons	Haemorrhoidectomy	Surgery for Hip Impingement
Body contouring surgery	Hernia repair surgery	T&O - Knee
Face-Brow lift (Rhytidectomy)	Obesity surgery (bariatric surgery)	Autologous chondrocyte implantation (ACI)
Fat removal (liposuction)	Surgery for Asymptomatic Gallstones	Knee arthroscopy including knee washout
Hair removal	Gynaecology	Knee replacement surgery
Hair replacement techniques	Cosmetic genital surgery	T&O - other
Tattoo removal	Dilatation and Curettage (D&C)	Excision of Bunions (hallux valgus)
Treatment of hyperpigmentation	Hysterectomy for Heavy Menstrual Bleeding	Excision of Ganglia
Diagnostics	Surgery for Bartholin cyst	Shoulder decompression for subacromial shoulder pain
Open MRI	Surgery for Female Genital Prolapse	Vascular
Wireless capsule endoscopy	Surgery for Uterine Fibroids	Manual Lymphatic Drainage (MLD)
		Surgery for Varicose Veins

4a. NHS England EBI programme



National statutory requirement for commissioners to demonstrate compliance

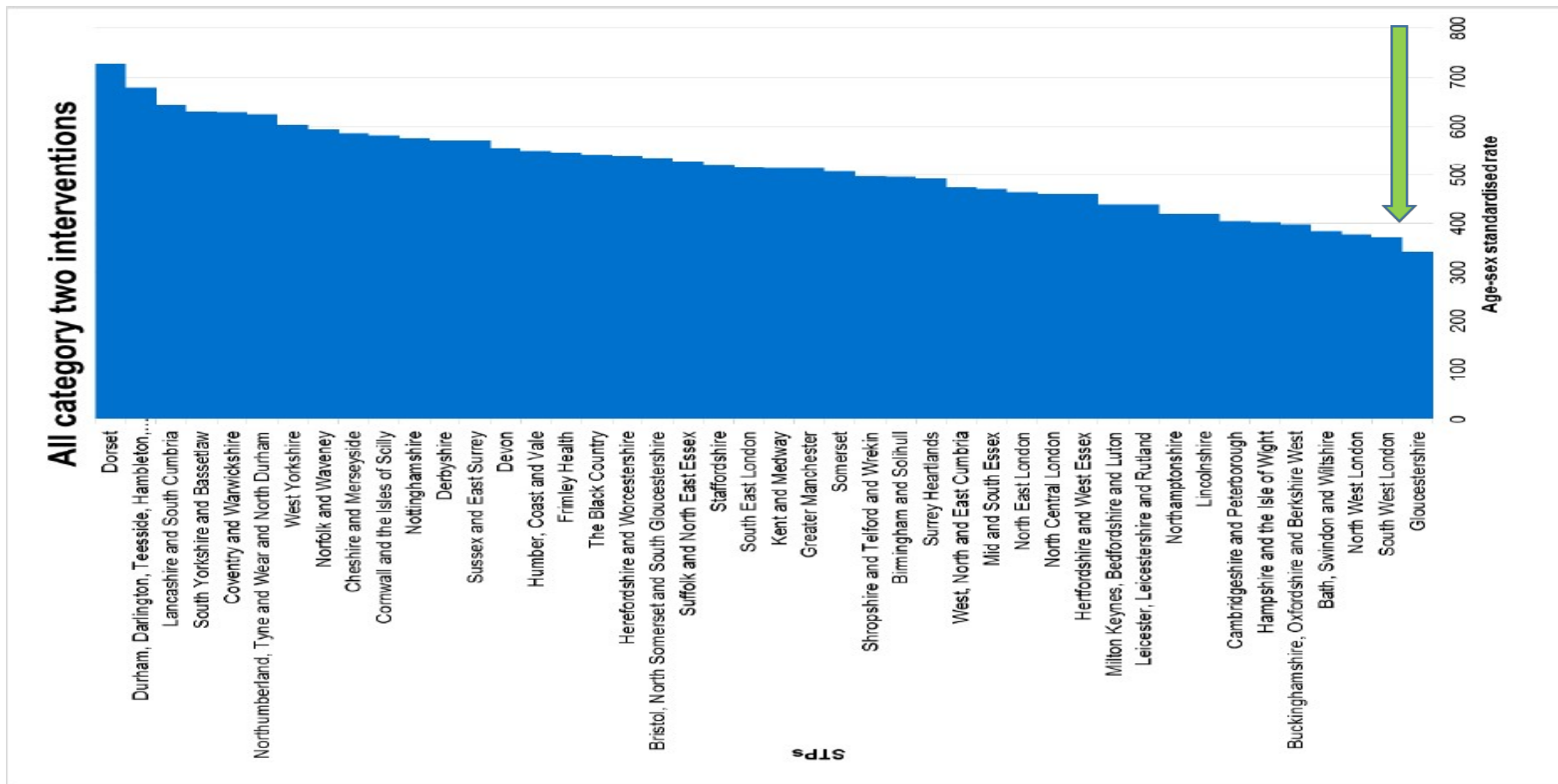
- Programme akin to the SWL ECI programme with similar aims
 - Reduce avoidable harm to patients
 - Save precious professional time
 - Help clinicians maintain professional practice
 - Create headroom for innovation
 - Maximise value and avoid waste
- Sets clinical criteria for routine planned care procedures, 17 in total
 - Category I - procedures that should only be done in exceptional circumstances (4)
 - Category II - clinical threshold is set for procedure (13)
- CCG must have clinical thresholds in place for all 17 procedures by April 2019
 - Existing or different thresholds are possible if due process for agreeing these are demonstrated
- Activity reductions expected in all CCGs for each procedure
(SWL is in the lowest quartile for many Category II procedures, but high for back pain injections)

4b. NHS England EBI procedures



EBI Procedure	SWL position
Category I - do not use	
D&C for heavy menstrual bleeding	Already covered in policy
Injections for non-specific low back pain	Already covered in policy
Knee arthroscopy for patients with osteoarthritis	Already covered in policy
Snoring Surgery (not OSA)	Covered in policy under other heading, now a separate policy
Category II - subject to clinical threshold	
Arthroscopic shoulder decompression for subacromial shoulder pain	New policy for version 3.0
Breast reduction	Already covered in policy
Carpal tunnel syndrome release	Already covered in policy
Chalazia removal	New policy for version 3.0
Dupuytren's contracture release in adults	Already covered in policy
Ganglion excision	Already covered in policy
Grommets for Glue Ear in Children	Already covered in policy
Haemorrhoid surgery	Already covered in policy
Hysterectomy for heavy menstrual bleeding	Already covered in policy
Removal of benign skin lesions	Already covered in policy
Tonsillectomy for recurrent tonsillitis	Already covered in policy
Trigger finger release in adults	Already covered in policy
Varicose vein interventions	Already covered in policy

4c. NHS England EBI status



5a. London Choosing Wisely

CCGs in London agreed to start collaboration on clinical thresholds in order to align policies

- Single approach across London to for commissioners and providers
 - Benefit from collaborative expertise across London
 - Reduce duplication of work for systems
 - Reduce risk of new patient flows to avoid local commissioning arrangements

- Principles
 - Coordinated by the Healthy London Partnership
 - Clinically led programme
 - Involvement of patient groups

- Structures
 - Steering group with executives chaired by Dr Vin Diwakar – Regional MD for NHS England (London region)
 - SWL representation on steering group
 - Task and finish groups with strong SWL representation (inc. chairing several)

- Process and timeline

• Evidence review	April - May 2018
• Task and finish group discussions	April - September 2018
• Consultation	May – September 2018
• Steering group sign off	October 2018
• Recommendation to AO	October 2018
• CCG updates	October 2018 – March 2019

5b. London Choosing Wisely

LCW Procedure	SWL position
Removal of minor skin lesions	Adopt following local discussions
Cataract surgery	Adopt following local discussions
Back pain interventions	Adopt following local discussions
Hip replacement surgery	Adopt following local discussions
Knee replacement surgery	Adopt following local discussions
Knee arthroscopy	Adopt following local discussions
Shoulder decompression for subacromial shoulder pain	New policy adopt following local discussions
Surgery for varicose veins	Adopt following local discussions

5c. New clinical thresholds

Procedure	Rationale for inclusion
<i>Botox for axillary hyperhidrosis (Page 80, section 9.1)</i>	IFR service requested inclusion to reduce IFR applications, it is based on the Wandsworth policy statement
<i>Chalazia removal (Page 55, Section 6.2)</i>	NHS England EBI programme requirement Adopted the EBI recommendations following local discussions
<i>Complementary therapies (Page 87, Section 9.3)</i>	Included in the ECI policy as previously standalone statement
<i>Intervention for snoring (Not OSA) (Page 36, Section 5.1)</i>	NHS England EBI programme requirement Already covered but now separate policy for clarity
<i>Photodynamic therapy (PDT) (Page 92, Section 10.1)</i>	PDT is not covered in other skin policies so this will ensure that clear guidance is available
<i>Scrotal surgery (Page 88, Section 9.4)</i>	Included to ensure that the procedures in undertaken appropriately and GP referral are in line with best-practice and evidence
<i>Shoulder decompression for subacromial shoulder pain (Page 117, section 14.1)</i>	NHS England EBI programme requirement Adopt LCW recommendation following local discussions

6. Equality Impact Assessment

SWL have undertaken an Equality Impact Assessment (EIA) to ensure that no patient, or group of patients, with a protected characteristic is unfairly impacted by this policy.

There was a two phased approach to this:

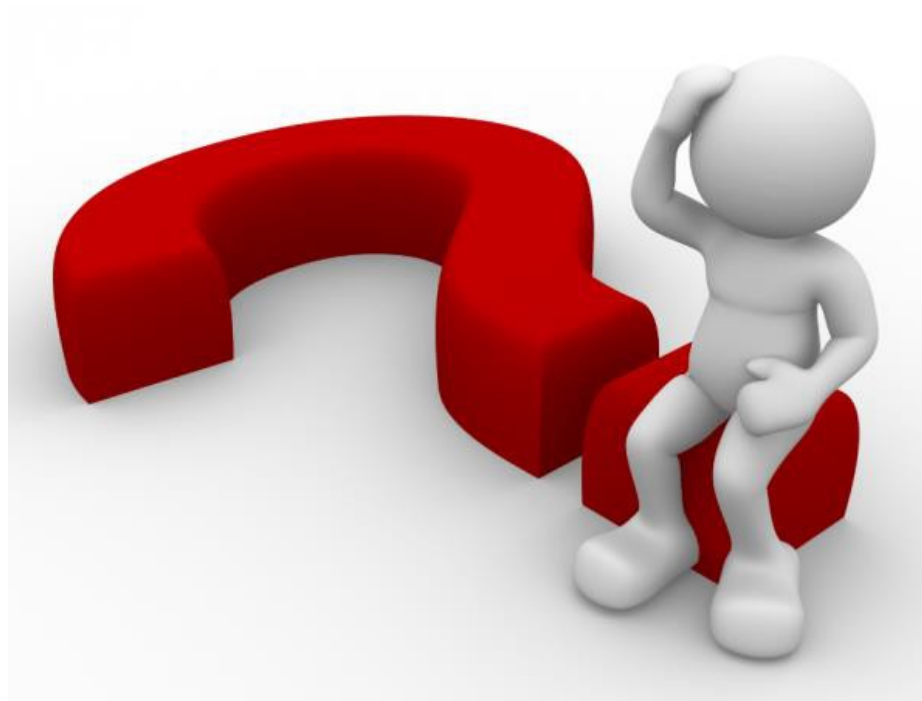
- In the first phase a screening tool was completed for all clinical threshold changes
- In the second phase a more detailed analysis was undertaken, overseen by the CSU EIA Lead
- A full EIA was undertaken when indicated by the initial screening
 - Back pain injections
 - Botox for axillary hyperhidrosis
 - Photodynamic therapy
 - Scrotal surgery
 - Shoulder decompression for subacromial shoulder pain
- EIA signed off by NEL CSU Equality and Diversity Lead with action plans put in place to remedy any negative impact due to the changes

7. Next steps

- SWL CCGs' Committees in Common sign-off 26th February 2019
- Inclusion in the contract to providers for 2019/20
- New policy cascaded to all providers
 - Primary care
 - Community providers
 - NHS Trusts in SWL
 - Independent providers in SWL
- Implementation workshops for Trust leads in March 2019
- Version 3.0 policy goes live 1st April 2019

8. Questions from CiC members

Your comments....



9. SWL ECI policy v3.0 sign off

SWL Committees in Common are asked to sign off the SWL Effective Commissioning Policy (version 3.0) in their role representing:

- Croydon CCG
- Kingston CCG
- Merton CCG
- Richmond CCG
- Sutton CCG
- Wandsworth CCG