



**Merton**

**Clinical Commissioning Group**

**Report to the Merton  
Clinical Commissioning Group Governing Body**

**Date of Meeting:** Thursday, 18<sup>th</sup> July 2013

**Agenda No:** 9.1

**ATTACHMENT 17**

<b>Title of Document:</b> Audit and Governance Committee Report: meeting held on 18 <sup>th</sup> June 2013	<b>Purpose of Report:</b> To Receive and Note
<b>Report Author:</b> Tony Foote Board Secretary, Commissioning Support Unit.	<b>Lead Director:</b> Faiza Waheed MCCG -Head of Finance and Business - Merton
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<b>Executive Summary:</b> This report summarises the key items discussed at the Audit and Governance Committee Report: meeting held on 18 <sup>th</sup> June 2013	
<b>Key sections for particular note (paragraph/page), areas of concern etc:</b>  All of the report.	
<b>Recommendation(s):</b> The Merton Clinical Commissioning Group Governing Body is requested to note the contents of the report.	
<b>Committees which have previously discussed/agreed the report:</b> N/A	
<b>Financial Implications:</b> N/A	
<b>Implications for the Sutton and Merton Board or Joint PCT Boards:</b> None	
<b>Other Implications:</b> (including patient and public involvement/Legal/Governance/ Risk/ Diversity/ Staffing)	
<b>Equality Analysis:</b> N/A	
<b>Information Privacy Issues:</b> NA	
<b>Communication Plan:</b> (including any implications under the Freedom of Information Act or NHS Constitution) NA	

**Report of the Audit and Governance Committee Report  
Meeting 18<sup>th</sup> June 2013**

Present:	Peter Derrick (PD) Clare Gummett (CG) Mary Clarke Prof. Stephen Powis	MCCG Lay Member ( <b>Chair</b> ) MCCG Lay Member MCCG Independent Nurse Member MCCG Secondary Care Consultant Member
In attendance:	Howard Freeman (HF) Eleanor Brown (EB) Faiza Waheed (FW) Rebecca Chappell (RC) Richard Bates (RB) Joe Aslam (JA) Paul King (PK) Hassan Rohimun (HR) Jackie Moody (JM)  Cynthia Cardozo (CC) Tony Foote	MCCG Governing Body Chair MCCG Chief Officer MCCG Head of Finance & Business Counter Fraud Team - SLCSU Finance Director - SLCSU Internal Auditor – RSM Tenon External Auditor – Ernst Young External Auditor – Ernst Young Corporate Affairs Manager – SLCSU (for item 5.2 only) Incoming MCCG Chief Finance Officer Board Secretary - SLSCU

This report summarises the key items discussed and agreed at the meeting of the Committee held on the 18 <sup>th</sup> June 2013.	
1.	<u>SWL Joint Health Overview and Scrutiny Committee on NHS Croydon Finances – Final Report</u> The Merton Clinical Commissioning Group Audit and Governance Committee approved the Final Report. The Committee approved the final report and that a summary of the recommendations and the CCG's actions/responses be drafted to accompany the minutes.
2.	<u>2.1 Draft Risk Management Policy and Strategy v1.8</u> <u>2.2 Risk Management update and Risk Register/Assurance Framework</u> 2.1 The Committee suggested minor amendments and, with these included, approved the Policy. 2.2 The Committee approved the Risk Register/Assurance Framework.
3.	<u>Counter Fraud Update</u> Further to minor amendment, the Committee approved the following: <ul style="list-style-type: none"> <li>• Policy in Relation to Fraud</li> <li>• Anti-Bribery Policy</li> <li>• Counter Fraud Work Plan</li> </ul>
4.	<u>Internal Audit Update</u> The Committee approved Planning Sheets that proposed a way forward for the CCG across the following areas : <ul style="list-style-type: none"> <li>• Financial Feeder Systems</li> <li>• Remuneration of CCG Members;</li> <li>• Payroll Feeder Systems</li> <li>• Quality, Innovation, Productivity and Prevention (QIPP)</li> </ul> Also approved was the Internal Audit Charter.
5.	<u>External Audit Update</u> The Committee discussed and approved the fee for the provision of external auditing.
6.	<u>Date of Next Meeting</u> 24.09.13.