



South West London

Merton Clinical Commissioning Group

Report to the Merton Clinical Commissioning Group Governing Body

Date of Meeting: Thursday 21st March 2013

Agenda No: 6.1

ATTACHMENT 03

<p>Title of Document: NHS Sutton & Merton Financial Position: Month 11 i) Merton CCG ii) Sutton and Merton PCT</p>	<p>Purpose of Report: For Agreement</p>
<p>Report Author: Mark Dowell, Acting Assistant Director of Finance</p>	<p>Lead Director: Karen McKinley – Chief Finance Officer</p>
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<p>Executive Summary:</p> <p><u>YTD performance</u></p> <p>The financial performance shows a YTD breakeven against plan and a full year breakeven against plan, due to phasing of the overall QIPP plan.</p> <p><u>Forecast Outturn</u></p> <p>The PCT is reporting breakeven at Month 11.</p>	
<p>Key sections for particular note (paragraph/page), areas of concern etc:</p> <p>Key issues of concern have been reflected in the document.</p>	
<p>Recommendation(s): The Clinical Commissioning Group Governing Body is requested to review and agree the financial position.</p>	

Committees which have previously discussed/agreed the report: Merton Executive
PEC Comments where appropriate: N/A
Financial Implications: Financial Balance
Implications for the Sutton and Merton Board or Joint PCT Boards: Financial Balance
Implications for transition to future commissioning structures. N/A
Other Implications: N/A
Equality Impact Assessment: N/A
Information Privacy Issues: N/A
Communication Plan: N/A

INTRODUCTION




1. This report presents the financial results for the period to 28th February 2013

FINANCIAL OUTLOOK 2012/13

2. Merton CCG’s financial plan is a recurrent surplus of £2.3m which is 0.9% of recurrent revenue resource limit.
3. The financial performance, as detailed in Figure 1a/b, **shows a year to date surplus position against revised plan and a full year forecast surplus against revised plan.** The position assumes utilisation of reserves and contingencies, achievement of Merton CCG’s financial and investment plans and delivery of the QIPP (Quality, Innovation, Productivity & Prevention) programme.
4. Merton and Sutton CCG financial results combine to form the bulk of the overall PCT position. Merton CCG shows a favourable variance from its planned surplus (Sutton CCG currently shows an adverse variance from its planned surplus). This is because all CCG budgets have been split on a fair shares basis but expenditure for PbR acute activity and prescribing is attributed on an actual basis. Across the range of Acute providers Merton CCG expenditure in is lower than fair share, this is partially offset as Merton CCG prescribing expenditure is above fair share.

KEY FINANCIAL PERFORMANCE INDICATORS 2012/13

5. The table sets out the financial performance targets and indicators against which Merton CCG will be monitored during 2012/13.

Financial Performance Target/ Indicator	Measure	Target	Forecast	Status	Performance Improving?
Statutory Break Even Duties					
Revenue Resource Limit (RRL)	Stay within RRL	£247.3m	£243.2m	Green	
Other Significant Financial Targets					
Remain within Control Total	Deliver control total	£2.3m Surplus	£4.0m Surplus	Green	
QIPP- Quality, Innovation, Productivity & Prevention Programme (Net)	Delivery of Programme Savings	£9.7m	£8.8m	Amber	

KEY FINANCIAL RISKS (Figure 2a)

6. There are a number of risks which may have an impact on the delivery of Merton CCG’s 2012/13 plans. Merton CCG has contingencies, reserves and a planned surplus that will be used to manage/mitigate many of these risks in year and within the planning period.

CONTINGENCY RESERVES & PROVISIONS (Figure 3a)

7. Merton CCG has £5.3m of provisions & reserves to mitigate increased activity over funded growth levels and the financial impact of unforeseen events.

CHANGES TO RESOURCE & APPLICATION (Figure 3b)

8. A detailed analysis of the PCT's Resource Limit is provided in Figure 3b. It should be noted that further budget changes will arise throughout the year, particularly as a result of changes to the PCT's Revenue Resource Limit.

QUALITY, INNOVATION, PRODUCTIVITY & PREVENTION (QIPP) (Figure 4a)

9. With the devolvement of budgets from NHS Sutton & Merton to the two CCG's, Merton CCG must deliver a balanced financial position in 2012/13 to achieve authorisation. In order to meet this requirement and with the financial pressures faced the CCG must implement a QIPP plan. An overall target of £9.7 million has been set for Merton CCG. This report attempts to provide an update on the CCG's QIPP plan for 2012/13.
10. The QIPP report for month 10 shows a year to date net shortfall of £0.8m against plan. The year-end outturn position is forecast to be a net shortfall of £0.9m. The table below identifies the number of projects developed to achieve the QIPP target, split by the current risk rating and forecast out-turn. Overall, 44% of schemes are RAG rated as Red or Black, representing planned savings of £3.8m and forecast out-turn savings of £0.1m.

48 Projects	12 projects	9 projects	3 projects	24 projects
Plan £9.7m	£2.0m	£1.9m	£0.8m	£5.0m
FOT £8.8m	£0.0m	£0.1m	£0.5m	£8.2m

11. Urgent Care and Planned Care projects together account for £3.9m, (38%) of the required £9.7m target. The £2.6m originally expected from the Urgent Care projects mainly comprise the establishment of Urgent Care Centres at both St Helier and St Georges. The St Helier UCC is currently a red-rated project as the Trust is not delivering against the KPI's in the contract (an audit is being undertaken to identify root causes of the under-performance). Whilst St Georges UCC was late in opening, mitigation is in place to offset the decrease in QIPP savings through a year end agreement with Wandsworth PCT. The Urgent Care projects are forecast to have a net shortfall of £1.3m against the £2.6m target.
12. The £1.3m expected from Planned Care projects is not being met due to over-performance on activity, particularly at St Helier. The ACU are leading an investigation into possible causes but a shortfall of £0.8m is currently expected.
13. Other projects which are currently RAG rated as black or red, and their shortfall against plan, include impact of PMS review (-£0.8m), virtual care home (-£0.2m), and activity management (-£0.5m). Medium risk projects include cancer service redesign (-£0.1m).
14. Although there are a number of QIPP schemes that are currently not delivering there are a number of schemes that are exceeding the targets which is mitigating some of this risk, these include Acute KPI's & challenges and back office & estate savings.
15. The QIPP project groups for Merton meet monthly to identify mitigations to offset any current risk within the overall programme. It is clear that a number of existing projects must be tightly managed and monitored to maximise savings and there is still a requirement to identify additional projects in order to meet the QIPP target.

COMMISSIONED SERVICES

Acute Services

16. It should be noted that the actual year to date is based on January monitoring reports and has been straight line extrapolated to report at month 11.
17. An assessment of the likely value of key performance indicators has been included for all South West London providers based on the QIPP plans. Given that KPI's will be calculated on a quarterly or even annually there is some risk that if performance improves this will minimise the impact. Additionally some of the KPI's are based on audits or data sources such as secondary users services may have incomplete data contained within it which may reduce the value
18. The single biggest risk to the PCT is the level of KPIs and demand management which has been taken out of provider plans. This totals £6.5m with the majority included against the local providers of Epsom St Helier and St Georges.
19. Overall the extrapolated PCT acute position year to date with a most likely estimate for challenges and KPI's results in a £7.3m over spend (M10 £7.8m). St Georges (Acute) accounts for £4.4m, Epsom and St. Helier £2.5m, Elective Orthopaedic Centre £0.1m and then Guys, Queen Mary's, Royal Surrey County and Imperial all on £0.2m over - the remaining contracts effectively balancing off against each other.

Below is a short summary by provider of the main issues. Note any values quoted are reported at SLAM month all before challenges unless stated:

20. **St Georges:** This contract continues to over perform above the plan. Most of the over performance is within A&E, critical care and emergency admissions although this latter service line has some mitigation due to the fact that the activity is above the emergency threshold and is therefore only payable at 30% of tariff. Drugs and devices is another area of significant performance although some of this can be attributed to the cancer drugs fund which had not been included in the plan but for which the PCT receives additional funding.

The specialities where most of the over performance is being seen is general medicine, renal medicine, cardiology, neurology, rheumatology and T&O. Some of the specialities where under performance is being seen is obstetrics, general surgery and vascular surgery

A year-end settlement has been agreed with the Trust that fixes the outturn at £100.17m. A £5.9m over-performance position

22. **Epsom & St Helier NHS Trust** - This contract continues to over perform above the plan. Areas of over performance remain the same as last month i.e. Unplanned care, outpatients and planned care. A&E continues to perform above plan although this is more to do with case mix rather than volumes when compared with the same period last year.
Main specialties where over performance is being seen is general medicine (mainly emergency), dermatology (outpatient plan set to low), neonatology and ophthalmology. This latter area has seen an increased usage of day cases although we have successfully challenged the charging of lucentus patients as a day case rather than an outpatient procedure.
A Year-End agreement has been reached on the acute main contract (i.e. Not EOC) at £124.5m, which equates to a £3.7m over-performance
23. **Royal Marsden**: This contract continues to perform above plan. Activity is up overall in elective and day-case work. Additional over-performance in critical care and radiotherapy contribute to the current position.
A Year-End settlement has been agreed with the Trust that fixes the outturn at £16.3m, a £0.1m over-performance position
24. **Kingston**: This contract continues to under-perform (£0.3m) in a month that has seen significant movement (over-performance) PCT-wide. There are some pockets of pressures on outpatients which are being offset by under-spends against planned and emergency care. Critical care also shows a continued under-performance.
A year-end settlement has been reached which fixes the performance at £0.3m under contract.
25. **Queen Marys Roehampton**: is showing an over-performance of £0.2m. The contract over-performance has reduced in month 10 after some large adverse movements in previous months. This contract is expected to run its course at current levels until year-end.
26. **Royal Surrey County**: Over-performance continues to marginally grow particularly across PbR OP attendances. There is also adverse movement in high cost drugs.
27. **Other Trusts**: As in previous months Ashford & St Peters, GOSH, Guys, Royal Brompton, Royal Surrey and Bart's are the other Trusts showing continued over-performance. This is mainly due to critical care and high cost drugs.

ACUTE CHALLENGES (NHS SUTTON AND MERTON)

28. The table below summarises all the challenges raised up to Month 10 by the Acute Commissioning Unit on behalf of the PCT based on the best case scenario, for the overall position these challenges have been risk assessed, and the risk assessed figures have been factored into the overall position

Provider	Gross Challenge (YTD) £000	Agreed (YTD) £000	Unagreed (YTD) £000
Epsom & St Helier University NHS Trust	4,327	1,600	2,727
St Georges Healthcare NHS Trust	1,203	Nil	1,203
Kingston NHS Trust	414	136	279
Other Trusts	221	197	24
Total	6,165	1,933	4,233

NON ACUTE SERVICES

29. **Mental Health** - Overall the PCT's mental health service budgets are reflecting a break-even year-to-date position with a forecast break-even.

30. **People With Learning Disabilities** – The CCG has a year-to-date underspend of £0.7m and a forecast outturn of £0.7m underspend. This has arose mainly as a result of additional income from the Orchard Hill site together with non-recurrent underspends on PLD special contractual placements.

31. **Community Services** – There is a year-to-date underspend of £0.1m with a forecast underspend of £0.1m. This mainly relates to the late start of the Musculoskeletal Service and slippage against Continuing Care budgets. A provision has been set aside for restitution claims. This provision is based upon the South West London sector restitution model that has evaluated the potential financial exposure to the PCT.

PRIMARY CARE SERVICES

32. **Primary Care Services** – There is currently a £0.2m year-to-date underspend on Locally Enhanced Services, with a full year forecast underspending of £0.2m.

PRESCRIBING

33. The CCG has received the Month 9 (December) prescribing data and this has been extrapolated to month 11. Overall the prescribing budget is reporting a year-to-date underspend of £0.7m mainly due to the pricing of category M drugs. This is then extended to a year-end forecast underspending of £0.7m

CORPORATE SERVICES

34. There are a number of underspends on corporate services mainly arising from PCT estates and central reserves. The headquarters function has a small year-to-date and forecast outturn underspend against budgets, there are no other issues to report at Month 10.

RECOMMENDATION

35. To note the forecast financial position for 2012/13 and the assumptions contained within it.

Mark Dowell
Acting, Assistant Director of Finance
March 2013

**Merton Clinical Commissioning Group
Summary of Financial Performance
Financial Year 2012/13: Month Ending February 2013 - Reporting Month 11**

	Annual Allocation £'000	Cumulative Position			Forecast Outturn	
		Budget to date £'000	Actual to date £'000	Variance to date £'000	Year End Forecast £'000	Forecast Variance Forecast
Resource Limit	247,264	226,966	226,966	0	247,264	0
1. Operational Financial Balance						
A) Acute Commissioning						
Acute NHS Secondary Care	147,537	135,242	138,255	(3,013)	150,615	(3,078)
Acute Healthcare Provisions	13,704	12,562	11,389	1,173	12,485	1,219
	161,241	147,804	149,644	(1,840)	163,100	(1,859)
B) Non Acute Commissioning						
Mental Health Commissioning	21,745	19,934	19,926	8	21,742	3
Learning Disabilities	2,020	1,851	1,134	717	1,282	738
EOLC, Long Term Conditions, Urgent & Intermediate Care	6,476	5,935	6,017	(82)	6,559	(83)
Community Provider Services	14,222	13,037	12,918	119	14,112	110
Children & Young People	1,250	1,146	1,136	10	1,233	17
Continuing Care	5,941	5,446	5,441	5	5,935	6
Primary Care (Dental, Pharmacy/GPs)	2,307	2,115	1,909	206	2,114	193
	53,961	49,464	48,481	983	52,977	984
C) Community Prescribing						
Community Prescribing	23,164	21,234	20,568	666	22,463	701
	23,164	21,234	20,568	666	22,463	701
D) Corporate Budgets						
Technical & Estates	(329)	(301)	(495)	194	(643)	314
Headquarters	5,475	5,517	5,474	43	5,349	126
	5,146	5,216	4,979	237	4,706	440
Total Before Reserves	3,752	3,248	3,294	46	4,018	266
E) Corporate Reserves						
0.5% Contingency	1,476	1,353	0	1,353	0	1,476
Surplus / Deficit	2,276	1,895	3,294	1,399	4,018	1,742

**Merton Clinical Commissioning Group
Summary of Financial Performance
Financial Year 2012/13: Month Ending February 2013 - Reporting Month 11**

Budget Movements			Descriptions	Cumulative Position			Forecast Outturn	
Month 10 Allocation £'000	Month 11 Changes £'000	Month 11 Allocation £'000		Budget to date £'000	Actual to date £'000	Variance to date £'000	Year End Forecast £'000	Forecast Variance Forecast
1. Operational Financial Balance								
243,252	4,012	247,264	Resource Limit	226,966	226,966	0	247,264	0
Acute Commissioning (Annex 1)								
97,736	1,266	99,002	SLA PbR Expenditure	90,752	92,687	(1,935)	100,933	(1,931)
47,850	685	48,535	SLA Non-PbR Expenditure	44,490	45,568	(1,078)	49,682	(1,147)
145,586	1,951	147,537	Total Acute SLAs (FTs and Non-FTs)	135,242	138,255	(3,013)	150,615	(3,078)
3,366	(1,233)	2,133	Acute NCAs and Oversea Visitors	1,955	2,140	(185)	2,334	(201)
9,810	1,761	11,571	Other Acute Provisions	10,607	9,249	1,358	10,151	1,420
158,762	2,479	161,241	Sub-Total - Acute Commissioning	147,804	149,644	(1,840)	163,100	(1,859)
Mental Health Commissioning (Annex 2)								
15,566	0	15,566	Mental Health NHS SLAs	14,269	14,204	65	15,496	70
547	0	547	Mental Health Resettlements & DAAT	502	510	(8)	555	(8)
3,186	171	3,357	Mental Health Named Patients	3,077	3,206	(129)	3,498	(141)
864	0	864	Mental Health Voluntary Sector Contracts	792	717	75	787	77
1,209	3	1,212	Mental Health Primary Care Services	1,111	1,127	(16)	1,229	(17)
199	0	199	Mental Health Provisions	183	162	21	177	22
21,571	174	21,745	Sub-Total - Mental Health Commissioning	19,934	19,926	8	21,742	3
People With Learning Disabilities (Annex 3)								
135	0	135	PLD Retained Provider Services	124	(203)	327	(176)	311
704	0	704	PLD Section 75 Agreements	645	553	92	603	101
1,181	0	1,181	PLD Named Patients	1,082	784	298	855	326
2,020	0	2,020	Sub-Total - People With Learning Disabilities	1,851	1,134	717	1,282	738
EOLC, LTCM, Urgent and Intermediate Care (Annex 4)								
980	0	980	End Of Life Care	898	1,067	(169)	1,159	(179)
839	0	839	Long Term Conditions Management	769	703	66	767	72
3,673	984	4,657	Urgent And Intermediate Care	4,268	4,247	21	4,633	24
5,492	984	6,476	Sub-Total - EOLC, LTCM, Urgent and Intermediate Care	5,935	6,017	(82)	6,559	(83)
Community, Children & Young People and Continuing Care (Annex 5)								
13,846	376	14,222	Community Services	13,037	12,918	119	14,112	110
1,250	0	1,250	Children And Young People	1,146	1,136	10	1,233	17
5,941	0	5,941	Continuing Care	5,446	5,441	5	5,935	6
21,037	376	21,413	Sub-Total - Community, Children & Young People and Continuing Care	19,629	19,495	134	21,280	133
Primary Care (Annex 6)								
112	0	112	Primary Care Developments	103	96	7	84	28
169	0	169	Pharmacy Services	155	60	95	63	106
2,026	0	2,026	GMS / PMS / APMS Services	1,857	1,753	104	1,967	59
2,307	0	2,307	Total Primary Care	2,115	1,909	206	2,114	193
Prescribing (Annex 8)								
23,164	0	23,164	Prescribing	21,234	20,568	666	22,463	701
23,164	0	23,164	Sub-Total - Prescribing	21,234	20,568	666	22,463	701
Technical & Estates (Annex 9)								
(452)	0	(452)	Corporate Expenditure	(415)	(490)	75	(534)	82
124	(1)	123	Technical Provisions	114	(5)	119	(109)	232
(328)	(1)	(329)	Sub-Total - Technical & Estates	(301)	(495)	194	(643)	314
Corporate Headquarters (Annex 10)								
5,475	0	5,475	Corporate Headquarters	5,517	5,474	43	5,349	126
5,475	0	5,475	Sub-Total - Corporate Headquarters	5,517	5,474	43	5,349	126
3,752	0	3,752	Surplus / Deficict Before Reserves	3,248	3,294	46	4,018	266
Reserves								
1,476	0	1,476	0.5% Contingency	1,353	0	1,353	0	1,476
1,476	0	1,476	Sub-Total - Reserves	1,353	0	1,353	0	1,476
2,276	0	2,276	Surplus / Deficict	1,895	3,294	1,399	4,018	1,742

**London Borough Of Merton Public Health
Revenue Resource Limit 2012/2013 - Position For The Month Ending 28th February 2013**

	Annual Allocation £'000	Cumulative Position			Forecast Outturn	
		Budget to date £'000	Actual to date £'000	Variance to date £'000	Year End Forecast £'000	Forecast Variance Forecast
REVENUE EXPENDITURE						
COMMISSIONING EXPENDITURE						
Acute Healthcare Services - Appendix 1	1,819	1,667	1,667	0	1,819	0
Mental Health Healthcare Services - Appendix 2	2,077	1,904	1,904	0	2,078	(1)
Community, Children and Young People and Continuing Care - Appendix 5	1,574	1,443	1,434	9	1,564	10
	5,470	5,014	5,005	9	5,461	9
OTHER EXPENDITURE						
Primary Care LES - Appendix 6	802	735	733	2	799	3
Public Health - Appendix 7	1,293	1,185	1,064	121	1,162	131
Headquarters - Appendix 10	722	662	707	(45)	769	(47)
	2,817	2,582	2,504	78	2,730	87
	8,287	7,596	7,509	87	8,191	96

**Merton Clinical Commissioning Group
Financial Risk And Mitigations
Financial Year 2012/13: Month Ending February 2013 - Reporting Month 11**

Number	Risk Description	Potential Risk	Impact on Performance Indicator	Mitigation	Risk Range
1	SLA Over-performance - Commissioned Services & Control Of Demand	There is a high risk that the current SLA over performance risk will worsen. Acute activity historically increased in the last six months of the year in both elective and particularly non elective care.	RRL, Control Total.	A contract management plan will be in place and will be regularly reported. A small contingency reserve is held to mitigate some of this risk.	High
2	Reserves & Contingencies	Pressure on reserves and contingencies exceeding current planned levels.	RRL, Control Total	Review of options to protect and increase reserves.	High
3	Delivery of QIPP	Full delivery of the QIPP programme net £19.1m, demand management schemes not fully developed which could result in delayed delivery by the 1st April 2012, possible lack of support from clinical commissioning.	RRL, Control Total / Investment Programme	Joint Sign off, responsibility for all aspects of the plan.	High
4	GP focus addressing consortium priorities which may not coincide with PCT priorities	Different focus of attention from PCT priorities to deliver plan not prime focus.		Maintaining joint ownership of plan. Common focus on budgetary control.	Medium
5	Resources required post transfer are insufficient to manage the scale of change	Loss of organisational memory due to the transition process and lower level of resource which has too many priorities.		Expectation that a number of key staff will be retained in the new structures.	High
6	Cost Pressures	Unforeseen cost pressures in other areas.	RRL, Control Total	The development of plans to protect against cost rises in area that are likely to give rise to cost pressures	Medium
7	Continuing Care Restitutional Payments	Liabilities in excess of provision set aside.		Balanced as part of the overall PCT financial position	High



Sutton and Merton

Figure 3a.

**Merton Clinical Commissioning Group
Commissioner Provisions & Reserves 2012/13 as at 28th February 2013**

	£000's
Reserves Held by the PCT	
Demographic Reserve	5,286 Currently predicted as being utilised
Total	<u>5,286</u>

Merton Clinical Commissioning Group
Summary of Financial Performance
Resource Summary 2012/13 as at 28th February 2013

Sutton & Merton Primary Care Trust 2012/2013 ALLOCATION	INITIAL RECURRING BUDGET £0	RECURRING CHANGES £0	TOTAL RECURRING BUDGET £0	INITIAL NON- RECURRING BUDGET £0	NON- RECURRING CHANGES £0	TOTAL NON- RECURRING BUDGET £0	TOTAL BUDGET £0
CONFIRMED INITIAL RESOURCE LIMIT - 2011/12	570,408,000	0	570,408,000	0	0	0	570,408,000
ANTICIPATED FURTHER RECURRENT ADDITIONAL ALLOCATIONS 2012/13 GROWTH @ 3.00% - On £570,408K	16,978,000	0	16,978,000	0	0	0	16,978,000
OPENING RECURRENT RESOURCE LIMIT EXCLUDING NON-RECURRENT ITEMS	587,386,000	0	587,386,000	0	0	0	587,386,000
Confirmed							
JOINT WORKING - SOCIAL CARE	0	0	0	3,861,000	0	3,861,000	3,861,000
COMMISSIONING DENTAL SERVICES - PER AWP 10/11 PCT01	14,614,000	0	14,614,000	0	0	14,614,000	14,614,000
GENERAL OPHTHALMIC SERVICES - PER AWP 10/11 PCT01	2,439,000	0	2,439,000	0	0	2,439,000	2,439,000
GENERAL PHARMACEUTICAL SERVICES - PER AWP 10/11 PCT01	4,796,000	0	4,796,000	0	0	4,796,000	4,796,000
DRUG ACTION TEAMS ALLOCATIONS	2,859,000	113,000	2,972,000	0	0	2,972,000	2,972,000
CANCER DRUGS	-961,000	21,000	-940,000	0	0	-940,000	-940,000
FREE SCHOOL FRUIT	-289,000	7,000	-282,000	0	0	-282,000	-282,000
CHILDHOOD VACCINATIONS	64,000	-8,000	56,000	0	0	56,000	56,000
NHS LONDON IAT - PCT 2010/11 LEVIES	-336,000	-155,000	-491,000	0	0	-491,000	-491,000
NHS LONDON IAT - LONDON NHS DIAGNOSTICS	0	0	0	0	-30,000	-30,000	-30,000
NHS LONDON IAT - 2% NON-RECURRENT HEADROOM	0	0	0	0	-11,748,000	-11,748,000	-11,748,000
CANCER DRUGS FUNDING	0	0	0	0	335,000	335,000	335,000
PMEDS: PERSONAL ADMIN COSTS FOR NON-DISPENSING	0	0	0	0	39,000	39,000	39,000
LOCAL PHARMACEUTICAL SERVICES	0	0	0	0	7,000	7,000	7,000
NCAT - NATIONAL LUNG CAMPAIGN (CE)	0	0	0	0	10,000	10,000	10,000
CANCER PROJECT FUNDING	0	0	0	0	750,000	750,000	750,000
FREEMAN PRACTICE TRANSFER TRANSITION	0	0	0	838,000	0	838,000	838,000
CANCER PROJECT FUNDING	0	0	0	0	750,000	750,000	750,000
WANDSWORTH PCT FREEMAN LAS TRANSFER	550,000	-13,000	537,000	0	0	537,000	537,000
WANDSWORTH PCT HIV/AIDS TRANSFER	-474,000	0	-474,000	0	0	-474,000	-474,000
SWL SAFEGUARDING ADULT NETWORK	0	0	0	0	5,000	5,000	5,000
CLINICAL EXCELLENCE M1-M6 FOR EPSOM AND ST HELIER	0	0	0	0	107,000	107,000	107,000
CLINICAL EXCELLENCE M1-M6 FOR ROYAL MARSDEN	0	0	0	0	762,000	762,000	762,000
MENTAL CAPACITY ACT	0	0	0	0	69,000	69,000	69,000
2012/13 NCSS TRANSFERS	-3,853,000	0	-3,853,000	0	0	-3,853,000	-3,853,000
PH TRANSITION FUNDING	0	0	0	0	108,000	108,000	108,000
CANCER DRUGS FUNDING	0	0	0	0	548,000	548,000	548,000
HCAS - SUTTON AND MERTON PCT	0	0	0	0	160,000	160,000	160,000
HCAS - EPSOM AND ST HELIER NHS TRUST	0	0	0	0	610,000	610,000	610,000
HCAS - ROYAL MARSDEN NHS FOUNDATION TRUST	0	0	0	0	263,000	263,000	263,000
PERTUSSIS OUTBREAK CONTROL PROGRAMME FOR PREGNANT WOMEN (12/13)	0	0	0	0	11,000	11,000	11,000
1% NON-REC FUNDS LTC/OOH PROJECT (MERTON)	0	0	0	0	590,000	590,000	590,000
1% NON-REC FUNDS LTC/OOH PROJECT (SUTTON)	0	0	0	0	564,000	564,000	564,000
CANCER NETWORK SUPPORT 2012/13	253,000	0	253,000	0	29,000	282,000	282,000
GP CONSOTIA FUNDING £2 PER HEAD	0	0	0	784,000	55,000	839,000	839,000
2012/13 CHARGE EXEMPT OVERSEAS VISITORS	811,000	0	811,000	0	1,098,000	1,909,000	1,909,000
ST GEORGES 70% NON-ELECTIVE PERFORMANCE	-1,724,000	1,024,000	-700,000	0	-200,000	-900,000	-900,000
CLINICAL EXCELLENCE AWARDS	2,398,000	0	2,398,000	0	-1,573,000	825,000	825,000
ROYAL MARSDEN - MFF FUNDING	0	0	0	0	4,740,000	4,740,000	4,740,000
ROYAL MARSDEN - PROJECT DIAMOND	0	0	0	0	500,000	500,000	500,000
CANCER DRUGS FUNDING	0	0	0	0	316,000	316,000	316,000
2011/12 SURPLUS	0	0	0	6,128,000	-3,000	6,125,000	6,125,000
DENTAL ACCESS FUNDING 2012/13	0	0	0	0	113,000	113,000	113,000
WINTER PRESSURES 2012/13 SOCIAL CARE	0	0	0	0	1,025,000	1,025,000	1,025,000
SWL 2%	0	0	0	0	3,818,000	3,818,000	3,818,000
SWL ESTIMATED REDUNDANCY COSTS	0	0	0	0	619,000	619,000	619,000
CSU DEVELOPMENT COSTS C/FWD FROM 11-12	0	0	0	0	146,000	146,000	146,000
Anticipated							
FURTHER IAPT FUNDING	0	0	0	0	5,000	5,000	5,000
MERTON CCG WINTER PRESSURE FUNDING	0	0	0	0	141,000	141,000	141,000
SUTTON CCG WINTER PRESSURE FUNDING	0	0	0	0	134,000	134,000	134,000
EPSOM & ST HELIER WINTER PRESSURE FUNDING	0	0	0	0	2,036,000	2,036,000	2,036,000
ROYAL MARSDEN SMCS WINTER PRESSURE FUNDING	0	0	0	0	696,000	696,000	696,000
DENTAL FUNDING RTPCT	0	0	0	0	10,000	10,000	10,000
DENTAL FUNDING KPCT	0	0	0	0	21,000	21,000	21,000
DENTAL FUNDING WPCT	0	0	0	0	8,000	8,000	8,000
LATEST REVENUE RESOURCE LIMIT	608,533,000	989,000	609,522,000	11,611,000	7,644,000	19,255,000	628,777,000

Merton Clinical Commissioning Group
Summary of QIPP Performance
Financial Year 2012/13: Month Ending January 2013 - Month 10

		RAG Rating	START	Gross Savings Plan 12/13 £000s	YTD Gross Savings Plan £000s	Cost Plan 12/13 £000s	YTD Costs Plan £000s	Net Planned Savings 12/13 £000s	Net YTD Plan £000s	Net YTD Achievement £000s	Net YTD Variance £000s
PLANNED CARE	Pelvic Floor Clinic	G	Jun-12	52	45	-	-	52	45	-	(45)
	Activity Management	A	Apr-12	531	442	-	-	531	442	-	(442)
	Anticoag	G	Jun-12	75	60	-	-	75	60	4	(56)
	Urology (Sutton Scheme)	R	Oct-12	-	-	-	-	-	-	-	-
	Ophthalmology (Sutton Scheme)	R	Oct-12	-	-	-	-	-	-	-	-
	Community Planned Care (Sutton Scheme)	R	Oct-12	-	-	-	-	-	-	-	-
	InHealth Contract	G	Apr-12	377	317	-	-	377	317	197	(120)
	Specialist Commissioning	G	Apr-12	251	211	-	-	251	211	211	(0)
Hips and Knees Pathway	G	Apr-12	15	10	-	-	15	10	10	(0)	
PLANNED CARE TOTAL				1,301	1,086			1,301	1,086	422	(664)
URGENT CARE	Impact of PMS review (incorporated in QIPP UC	R	Oct-12	754	503	-	-	754	503	-	(503)
	Urgent Care Centre - St Helier	A	Apr-12	716	598	-	-	716	598	68	(530)
	Urgent Care Centre - St Georges	A	Sep-12	176	141	-	-	176	141	50	(91)
	Rapid Response	R	Jul-12	435	362	-	-	435	362	485	123
	Reduction of #NOF	G	Apr-12	72	60	-	-	72	60	122	62
	Tele-Health (Sutton Scheme)	B	May-12	-	-	-	-	-	-	-	-
	ECG	A	Jun-12	246	206	-	-	246	206	14	(192)
	Urgent Care at Home Service	A	Apr-12	246	206	-	-	246	206	9	(197)
	Urgent Care at Home (Nursing)	G	Oct-12	-	-	-	-	-	-	372	372
	URGENT CARE TOTAL				2,646	2,076			2,646	2,076	1,120
LONG TERM CONDITIONS	Implementation of new Diabetes Service	G	Apr-12	184	151	149	126	35	25	-	(25)
	Reduction in stroke	G	Apr-12	114	96	92	75	22	20	345	325
	Improving management of LTCs	G	Apr-12	504	422	139	116	365	307	-	(307)
	Cancer Service Redesign	A	Apr-12	251	167	-	-	251	167	11	(156)
	Dementia (Merton Scheme)	B	Apr-12	100	68	-	-	100	68	-	(68)
	Virtual Care Home (Merton Scheme)	R	Oct-12	250	168	-	-	250	168	-	(168)
	Additional LTC (dependent on investment bid) non-investment Q1 LTC projects	G	Sep-12	-	-	-	-	-	-	245	245
LONG TERM CONDITIONS TOTAL				1,403	1,071	380	317	1,023	755	601	(154)
END OF LIFE CARE	End of Life Care	G	Jun-12	314	261	161	136	153	126	186	60
	non-investnebt Q1 EoL	G	Apr-12	-	-	-	-	-	-	-	-
END OF LIFE CARE TOTAL				314	261	161	136	153	126	186	60
COMMUNITY SUPPORT SERVICES	Continuing Care Placements	G	Apr-12	503	417	-	-	503	417	840	423
	Additional continuing care placements	G	May-12	-	-	-	-	-	-	-	-
COMMUNITY SUPPORT SERVICES TOTAL				503	417			503	417	840	423
OTHER ACUTE EFFICIENCIES	Acute Trust KPIs/LCIs	A	Apr-12	800	669	-	-	800	669	972	303
	Acute challenges inc HCD, alternative therapy	G	Apr-12	266	221	-	-	266	221	377	156
	Getting Fit for Surgery	B	Apr-12	63	42	-	-	63	42	-	(42)
	Alternative Care Pathways (ACP's)	R	Apr-12	201	133	54	36	146	97	23	(74)
	Acute based Alcohol Care Teams	B	Apr-12	209	139	-	-	209	139	-	(139)
	Special Care Dental Service (SCDS) **Without	G	Apr-12	143	97	27	20	116	77	120	43
	Admissions Avoidance (Alcohol)	G	Jun-12	-	-	-	-	-	-	-	-
	Additional acute challenges inc HCD at SGH	G	May-12	-	-	-	-	-	-	-	-
	non-investment ACPs	G	Apr-12	-	-	-	-	-	-	-	-
	non investment SCDS	G	Apr-12	-	-	-	-	-	-	-	-
Acute CQIN 70% delivery	G	Jul-12	-	-	-	-	-	-	-	-	
OTHER ACUTE EFFICIENCIES TOTAL				1,683	1,300	81	56	1,601	1,244	1,492	248
MENTAL HEALTH & LD	Mental Health	G	Apr-12	251	167	-	-	251	167	210	43
MENTAL HEALTH & LD TOTAL				251	167			251	167	210	43
PREVENTION	Health Diversity Programme	G	Aug-12	31	24	6	6	25	18	1	(17)
PREVENTION TOTAL				31	24	6	6	25	18	1	(17)
PRIMARY CARE	GP Discretionary Payments	G	Apr-12	8	4	-	-	8	4	7	3
	Tight contract management/adjustments, inc	G	Apr-12	101	66	-	-	101	66	84	18
	Cease paying superannuation 14% payment to	G	Apr-12	5	4	-	-	5	4	4	(0)
	Primary Care 2011/12 Benefit	G	Oct-12	-	-	-	-	-	-	30	30
PRIMARY CARE TOTAL				113	74			113	74	125	51
PRESCRIBING	Prescribing workstreams	G	May-12	503	412	-	-	503	412	497	85
PRESCRIBING TOTAL				503	412			503	412	497	85
BACK OFFICE	Back Office / Estates / Other budget adjustments	G	Apr-12	1,581	1,317	-	-	1,581	1,317	1,388	71
	List cleansing	G	Apr-12	40	35	12	10	29	25	21	(4)
	Additional back office/ estates	G	Apr-12	-	-	-	-	-	-	-	-
BACK OFFICE TOTAL				1,621	1,352	12	10	1,610	1,342	1,409	67
PROGRAMME TOTAL				10,369	8,241	639	525	9,729	7,717	6,903	(814)

INTRODUCTION

1. This report presents the financial results for the period to 28th February 2013.





FINANCIAL OUTLOOK 2012/13

2. NHS Sutton & Merton's financial plan is a recurrent surplus of £4.5m which is 0.7% of recurrent revenue resource limit.

The financial performance, as detailed in Figure 1a/b, **shows a year to date break-even position against revised plan and a full year forecast of break-even against revised plan.** The position assumes utilisation of reserves and contingencies, achievement of NHS Sutton & Merton's financial and investment plans and delivery of the QIPP (Quality, Innovation, Productivity & Prevention) programme.

KEY FINANCIAL PERFORMANCE INDICATORS 2012/13

3. The table sets out the financial performance targets and indicators against which NHS Sutton & Merton will be monitored during 2012/13.

Financial Performance Target/ Indicator	Measure	Target	Forecast	Status	Performance Improving?
Statutory Break Even Duties					
Revenue Resource Limit (RRL)	Stay within RRL	£628.8m	£624.3m	Green	
Capital Resource Limit	Stay within CRL	£0.6m	£-4.8m	Green	
Cash Resource Limit	Stay with Cash Limit	£620.4m	£610.8m	Green	
Administration Duties					
Better Practice Payment Policy	Payment of valid invoices within 30 days.	95%	Value 97.6% Number 84.6%	Amber	
Other Significant Financial Targets					
Remain within Control Total	Deliver control total	£4.5m Surplus	£4.5m Surplus	Green	
QIPP- Quality, Innovation, Productivity & Prevention Programme (Net)	Delivery of Programme Savings	£19.1m	£17.6m	Amber	
Running Costs	Stay within running cost envelope.	£13.5m	£12.9m	Green	

KEY FINANCIAL RISKS (Figure 2a)

4. There are a number of risks which may have an impact on the delivery of NHS Sutton & Merton 2012/13 plans. NHS Sutton & Merton has contingencies, reserves and a planned surplus that will be used to manage/mitigate many of these risks in year and within the planning period.

CONTINGENCY RESERVES & PROVISIONS (Figure 3a)

5. NHS Sutton & Merton's has £10.5m of provisions & reserves to mitigate increased activity over funded growth levels and the financial impact of unforeseen events.

CHANGES TO RESOURCE & APPLICATION (Figure 3b)

6. A detailed analysis of the PCT's Resource Limit is provided in Figure 3b. It should be noted that further budget changes will arise throughout the year, particularly as a result of changes to the PCT's Revenue Resource Limit.

QUALITY, INNOVATION, PRODUCTIVITY & PREVENTION (QIPP) (Figure 4a)

7. In 2011/12 NHS Sutton & Merton was in its second year of a 2 year turnaround programme, with a net QIPP plan of £23.4m. In 2012/13 Sutton & Merton continues with a net QIPP plan of £19.1m and will continue building on the successes of the year 2
8. The QIPP report for month 10 shows a year to date net shortfall of £1.4m against plan. The year-end outturn position is forecast to be a net shortfall of £1.5m. The table below identifies the number of projects developed to achieve the QIPP target, split by the current risk rating and forecast out-turn. Overall, 48% of schemes are RAG rated as Red or Black, representing planned savings of £7.3m and forecast out-turn savings of £0.5m.

52 Projects	13 projects	12 projects	3 projects	24 projects
Plan £19.1m	£3.8m	£3.5m	£1.6m	£10.2m
FOT £17.6m	£0.0m	£0.3m	£1.0m	£16.3m

9. Urgent Care and Planned Care projects together account for £7.9m, (41%) of the required £19.1m target. The £5.3m originally expected from the Urgent Care projects mainly comprise the establishment of Urgent Care Centres at both St Helier and St Georges. The St Helier UCC is currently a red-rated project as the Trust is not delivering against the KPI's in the contract (an audit is being undertaken to identify root causes of the under-performance). Whilst St Georges UCC was late in opening, mitigation is in place to offset the decrease in QIPP savings through a year end agreement with Wandsworth PCT. The Urgent Care projects are forecast to have a net shortfall of £2.5m against the £5.3m target.
10. The £2.7m expected from Planned Care projects is not being met due to over-performance on activity, particularly at St Helier. The ACU are leading an investigation into possible causes but a shortfall of £1.6m is currently expected.

11. Other projects which are currently RAG rated as black or red, and their shortfall against plan, include impact of PMS review (-£1.5m), virtual care home (-£0.3m), and activity management (-£1.1m). Medium risk projects include cancer service redesign (-£0.1m).
12. Although there are a number of QIPP schemes that are currently not delivering there are a number of schemes that are exceeding the targets which is mitigating some of this risk, these include Acute KPI's & challenges and back office & estate savings.
13. The QIPP project groups for both Sutton & Merton meet monthly to identify mitigations to offset any current risk within the overall programme. It is clear that a number of existing projects must be tightly managed and monitored to maximise savings and there is still a requirement to identify additional projects in order to meet the QIPP target.

COMMISSIONED SERVICES

Acute Services

14. It should be noted that the actual year to date is based on January monitoring reports and has been straight line extrapolated to report at month 11.
15. An assessment of the likely value of key performance indicators has been included for all South West London providers based on the QIPP plans. Given that KPI's will be calculated on a quarterly or even annually there is some risk that if performance improves this will minimise the impact. Additionally some of the KPI's are based on audits or data sources such as secondary users services may have incomplete data contained within it which may reduce the value
16. The single biggest risk to the PCT is the level of KPIs and demand management which has been taken out of provider plans. This totals £6.5m with the majority included against the local providers of Epsom St Helier and St Georges.
17. Overall the extrapolated PCT acute position year to date with a most likely estimate for challenges and KPI's results in a £7.3m over spend (M10 £7.8m). St Georges (Acute) accounts for £4.4m, Epsom and St. Helier £2.5m, Elective Orthopaedic Centre £0.1m and then Guys, Queen Mary's, Royal Surrey County and Imperial all on £0.2m over - the remaining contracts effectively balancing off against each other.

Below is a short summary by provider of the main issues. Note any values quoted are reported at SLAM month all before challenges unless stated:

18. **St Georges:** This contract continues to over perform above the plan. Most of the over performance is within A&E, critical care and emergency admissions although this latter service line has some mitigation due to the fact that the activity is above the emergency threshold and is therefore only payable at 30% of tariff. Drugs and devices is another area of significant performance although some of this can be attributed to the cancer drugs fund which had not been included in the plan but for which the PCT receives additional funding.
The specialities where most of the over performance is being seen is general medicine, renal medicine, cardiology, neurology, rheumatology and T&O. Some of the specialities where under performance is being seen is obstetrics, general surgery and vascular surgery
A year-end settlement has been agreed with the Trust that fixes the outturn at £100.17m. A £5.9m over-performance position

19. **Epsom & St Helier NHS Trust** - This contract continues to over perform above the plan. Areas of over performance remain the same as last month i.e. Unplanned care, outpatients and planned care. A&E continues to perform above plan although this is more to do with case mix rather than volumes when compared with the same period last year.
Main specialties where over performance is being seen is general medicine (mainly emergency), dermatology (outpatient plan set to low), neonatology and ophthalmology. This latter area has seen an increased usage of day cases although we have successfully challenged the charging of lucentus patients as a day case rather than an outpatient procedure.
A Year-End agreement has been reached on the acute main contract (i.e. Not EOC) at £124.5m, which equates to a £3.7m over-performance
20. **Royal Marsden**: This contract continues to perform above plan. Activity is up overall in elective and day-case work. Additional over-performance in critical care and radiotherapy contribute to the current position.
A Year-End settlement has been agreed with the Trust that fixes the outturn at £16.3m, a £0.1m over-performance position
21. **Kingston**: This contract continues to under-perform (£0.3m) in a month that has seen significant movement (over-performance) PCT-wide. There are some pockets of pressures on outpatients which are being offset by under-spends against planned and emergency care. Critical care also shows a continued under-performance.
A year-end settlement has been reached which fixes the performance at £0.3m under contract.
22. **Queen Marys Roehampton**: is showing an over-performance of £0.2m. The contract over-performance has reduced in month 10 after some large adverse movements in previous months. This contract is expected to run its course at current levels until year-end.
23. **Royal Surrey County**: Over-performance continues to marginally grow particularly across PbR OP attendances. There is also adverse movement in high cost drugs.
24. **Other Trusts**: As in previous months Ashford & St Peters, GOSH, Guys, Royal Brompton, Royal Surrey and Bart's are the other Trusts showing continued over-performance. This is mainly due to critical care and high cost drugs.

ACUTE CHALLENGES

25. The table below summarises all the challenges raised up to Month 10 by the Acute Commissioning Unit on behalf of the PCT based on the best case scenario, for the overall position these challenges have been risk assessed, and the risk assessed figures have been factored into the overall position.

Provider	Gross Challenge (YTD) £000	Agreed (YTD) £000	Unagreed (YTD) £000
Epsom & St Helier University NHS Trust	4,327	1,600	2,727
St Georges Healthcare NHS Trust	1,203	Nil	1,203
Kingston NHS Trust	414	136	279
Other Trusts	221	197	24
Total	6,165	1,933	4,233

NON ACUTE SERVICES

26. **Mental Health** - Overall the PCT's mental health service budgets are reflecting a £0.4m underspending year-to-date with a forecast underspending of £0.5m. This has mainly arisen due to notification of underspending from the London SCG regarding the Specialist Mental Health contracts.

27. **People With Learning Disabilities** – The PCT has a year-to-date underspend of £1.4m and a forecast outturn of £1.5m underspent. This has arisen mainly as a result of additional income from the Orchard Hill site together with an underspend against the London Borough of Sutton section 75 agreement and non-recurrent underspends on PLD special contractual placements.

28. **Community Services** – There is a year-to-date underspend of £0.1m with a forecast underspend of £0.2m. This mainly relates to the late start of the Musculoskeletal Service and slippage against the Continuing Care budgets. A provision has been set aside for restitution claims. This provision is based upon the South West London sector restitution model that has evaluated the potential financial exposure to the PCT.

PRIMARY CARE SERVICES

29. **GMS/ PMS Services** – There is currently a £0.1m underspending year-to-date position on GMS PMS Services, with a full year forecast overspending of £0.3m.

30. **General Ophthalmic Services** – The current year to date position is an overspending of £0.4m that includes eleven months of actual activity from March 2012 and the monthly average accrual. The projected forecast outturn is a £0.4m overspend consisting of the £0.2m spend from 2011/12 and inherent cost pressure, 2.5% price increases and increases in activity.
31. **Dental** – At M11 the position is a £0.6m underspend and a favourable year-end forecast underspend of £0.5m .Activity is predominantly based on eleven months of available NHSBSA Payments Online activity and one month of analytically projected activity. The 2011/12 claw-back is £0.2m and is deducted over 6 months to January 2013
32. **Pharmacy** – There is currently a year-to-date overspend on Pharmacy of £0.3m. The forecast is currently £0.6m adverse. The estimated NHSBSA Payments Online (POL) figure is based on projected April to November 2012 activity. The forecasting is based on seasonality trends observed in 2011/12 and 2010/11. The potential overspend is being addressed by proactive collaboration between the Community Pharmacy Lead, Primary Care Finance and the Prescribing Team.

PUBLIC HEALTH

33. Overall the Public Health budget is reporting a £0.2m underspending year to date position with a £0.3m forecast underspending.

PRESCRIBING

34. The PCT has received the Month 9 (December) prescribing data and this has been extrapolated to month 11. Overall the prescribing budget is reporting a year-to-date underspend of £1.5m mainly due to the pricing of category M drugs. This is then extended to a year-end forecast underspending of £1.6m

CORPORATE SERVICES

35. The headquarters function has a year-to-date overspending of £0.3M with a forecast overspending of £0.2m. This is due to double site running costs whilst organisations go through transition to their new NHS structures.

CAPITAL POSITION (Figure 5a)

36. The capital plan reflects Capital Resource Limit (CRL) for 2012/13 of £0.6m which the PCT has received. NHS Sutton and Merton are anticipating capital receipts this financial year in respect of the sales of Carshalton War Memorial, Osborne House, The Nelson Hospital Car Park and Homeland Drive.

Spend against programme to Month 11 is £0.7m, with the sale of the Nelson Hospital car park still pending. A recent review of the forecast capital expenditure shows that the PCT may have a net underspend of £5.4m against its CRL. This has arisen as NHS London has provided additional CRL support of £1.8m in the likelihood that the sale of the Nelson Hospital car park does not go through in this financial year. The PCT is still forecasting that this event will occur in 2012/13 hence the £5.4m underspend, however should the sale not occur the PCT will break-even against its CRL limit.

CASH POSITION (Figure 5b)

37. Sutton & Merton's cash position reflected in Figure 5b shows that the cash drawn down to the 28th February 2012 is £21.6m less than the straight line monthly plan. The PCT could potentially receive £24m cash this year from asset disposals and it would be very difficult to spend this all of this cash before 31st March 2013. It is estimated that the PCT could potentially underdraw against its cash limit by £9.2m this year, however, this can be added to by two tranches of capital receipts totalling £13.0m that are due to arrive at the end of March 2013, £7.6m for Orchard Hill and £5.4m for the Nelson Car Park. These two receipts will reside in the PCT's bank account at year end.

BALANCE SHEET (Figure 5c)

38. Sutton & Merton's balance sheet reflected in Figure 5c, which shows the position as at Month 11.

BETTER PRACTICE PAYMENT POLICY

39. There has been significant change to the PCT's performance against the better payment practice policy. NHS Sutton & Merton is achieving the target against invoice values (97.6%) but not invoice volumes (84.6%); the escalation period on an invoice has now been reduced to 7 days to ensure prompt action.

RECOMMENDATION

40. To note the forecast financial position for 2012/13 and the assumptions contained within it.

Mark Dowell
Acting, Assistant Director of Finance
March 2013

Summary of Financial Performance
Financial Year 2012/13: Month Ending February 2013 - Reporting Month 11

	Annual Allocation £'000	Cumulative Position			Forecast Outturn	
		Budget to date £'000	Actual to date £'000	Variance to date £'000	Year End Forecast £'000	Forecast Variance Forecast
Resource Limit	628,777	576,000	576,000	0	628,777	0
1. Operational Financial Balance						
A) Acute Commissioning						
Acute NHS Secondary Care	301,546	276,418	286,572	(10,154)	312,307	(10,761)
Acute NHS Specialist Care	21,696	19,888	18,569	1,319	20,257	1,439
Acute Healthcare Provisions	27,607	25,306	22,974	2,332	25,182	2,425
	350,849	321,612	328,115	(6,503)	357,746	(6,897)
B) Non Acute Commissioning						
Mental Health Commissioning	52,688	48,296	47,853	443	52,213	475
Learning Disabilities	4,019	3,683	2,255	1,428	2,552	1,467
EOLC, Long Term Conditions, Urgent & Intermediate Care	12,879	11,806	11,968	(162)	13,047	(168)
Community Provider Services	35,697	32,722	32,478	244	35,464	233
Children & Young People	2,855	2,617	2,566	51	2,781	74
Continuing Care	11,817	10,833	10,822	11	11,806	11
Primary Care (Dental, Pharmacy/GPs)	82,422	75,553	75,447	106	82,692	(270)
	202,377	185,510	183,389	2,121	200,555	1,822
C) Public Health						
Choosing Health	1,051	964	963	1	1,051	0
Health Promotion	1,445	1,324	1,092	232	1,191	254
	2,496	2,288	2,055	233	2,242	254
D) Community Prescribing						
Community Prescribing	46,076	42,235	40,767	1,468	44,525	1,551
	46,076	42,235	40,767	1,468	44,525	1,551
E) Corporate Budgets						
Technical & Estates	3,202	2,936	2,687	249	2,718	484
Headquarters	16,313	14,953	15,212	(259)	16,463	(150)
	19,515	17,889	17,899	(10)	19,181	334
Total Before Reserves	7,464	6,466	3,775	(2,691)	4,528	(2,936)
F) Corporate Reserves						
0.5% Contingency	2,936	2,691	0	2,691	0	2,936
2% Transition Fund - Borough	0	0	0	0	0	0
2% Transition Fund - Provider	0	0	0	0	0	0
2% Transition Fund - Sector	0	0	0	0	0	0
Surplus / Deficit	4,528	3,775	3,775	0	4,528	0
2. Capital Programme	625	(1,929)	(1,654)	(275)	(4,752)	5,377
3. Public Sector Payment Policy						
NHS - By Value	95.0%	95.0%	99.3%	4.3%	99.3%	4.3%
NHS - By Number	95.0%	95.0%	89.2%	-5.8%	89.2%	-5.8%
Non NHS- By Value	95.0%	95.0%	82.7%	-12.3%	82.7%	-12.3%
Non NHS - By Number	95.0%	95.0%	82.9%	-12.1%	82.9%	-12.1%

Summary of Financial Performance
Financial Year 2012/13: Year Ending February 2013 - Reporting Month 11

Budget Movements			Descriptions	Cumulative Position			Forecast Outturn	
Month 10 Allocation £'000	Month 11 Changes £'000	Month 11 Allocation £'000		Budget to date £'000	Actual to date £'000	Variance to date £'000	Year End Forecast £'000	Forecast Variance Forecast
1. Operational Financial Balance								
625,726	3,051	628,777	Resource Limit	576,000	576,000	0	628,777	0
Acute Commissioning (Annex 1)								
117,726	3,123	120,849	Epsom & St Helier Hospital University NHS Trust	110,778	114,553	(3,775)	124,683	(3,834)
94,265	0	94,265	St Georges Hospital NHS Trust	86,409	91,824	(5,415)	100,172	(5,907)
16,241	0	16,241	Royal Marsden NHS Foundation Trust	14,887	14,827	60	16,291	(50)
10,822	0	10,822	Kingston Hospital NHS Trust	9,921	9,619	302	10,535	287
58,612	757	59,369	Other NHS Trusts and Foundation Trusts including LAS	54,423	55,749	(1,326)	60,626	(1,257)
297,666	3,880	301,546	Total Acute SLAs (FTs and Non-FTs)	276,418	286,572	(10,154)	312,307	(10,761)
25,918	(4,222)	21,696	Acute Specialist Care SLAs via London SCG	19,888	18,569	1,319	20,257	1,439
7,043	0	7,043	Acute NCAs and Oversea Visitors	6,887	7,254	(367)	7,913	(870)
19,513	1,051	20,564	Other Acute Provisions	18,419	15,720	2,699	17,269	3,295
350,140	709	350,849	Sub-Total - Acute Commissioning	321,612	328,115	(6,503)	357,746	(6,897)
Mental Health Commissioning (Annex 2)								
31,903	0	31,903	Mental Health NHS SLAs	29,244	29,116	128	31,763	140
5,423	0	5,423	Mental Health NHS Consortium SLAs	4,971	4,541	430	4,954	469
4,061	0	4,061	Mental Health Resettlements & DAAT	3,722	3,741	(19)	4,079	(18)
6,337	341	6,678	Mental Health Named Patients	6,121	6,378	(257)	6,958	(280)
1,816	0	1,816	Mental Health Voluntary Sector Contracts	1,665	1,514	151	1,662	154
2,405	5	2,410	Mental Health Primary Care Services	2,209	2,241	(32)	2,445	(35)
397	0	397	Mental Health Provisions	364	322	42	352	45
52,342	346	52,688	Sub-Total - Mental Health Commissioning	48,296	47,853	443	52,213	475
People With Learning Disabilities (Annex 3)								
270	0	270	PLD Retained Provider Services	247	(405)	652	(350)	620
1,400	0	1,400	PLD Section 75 Agreements	1,283	1,100	183	1,200	200
2,349	0	2,349	PLD Named Patients	2,153	1,560	593	1,702	647
4,019	0	4,019	Sub-Total - People With Learning Disabilities	3,683	2,255	1,428	2,552	1,467
EOLC, LTCM, Urgent and Intermediate Care (Annex 4)								
1,949	0	1,949	End Of Life Care	1,786	2,122	(336)	2,306	(357)
1,668	0	1,668	Long Term Conditions Management	1,529	1,399	130	1,526	142
7,306	1,956	9,262	Urgent And Intermediate Care	8,491	8,447	44	9,215	47
10,923	1,956	12,879	Sub-Total - EOLC, LTCM, Urgent and Intermediate Care	11,806	11,968	(162)	13,047	(168)
Community, Children & Young People and Continuing Care (Annex 5)								
34,949	748	35,697	Community Services	32,722	32,478	244	35,464	233
2,855	0	2,855	Children And Young People	2,617	2,566	51	2,781	74
11,817	0	11,817	Continuing Care	10,833	10,822	11	11,806	11
49,621	748	50,369	Sub-Total - Community, Children & Young People and Continuing Care	46,172	45,866	306	50,051	318
Primary Care (Annex 6)								
2,663	0	2,663	Primary Care Developments	2,441	2,869	(428)	3,069	(406)
9,229	0	9,229	Pharmacy Services	8,460	8,767	(307)	9,832	(603)
16,049	39	16,088	Dental Services	14,747	14,105	642	15,542	546
54,442	0	54,442	GMS / PMS / APMS Services	49,905	49,706	199	54,249	193
82,383	39	82,422	Total Primary Care	75,553	75,447	106	82,692	(270)
Public Health (Annex 7)								
1,051	0	1,051	Choosing Health	964	963	1	1,051	0
1,445	0	1,445	Health Promotion	1,324	1,092	232	1,191	254
2,496	0	2,496	Sub-Total - Public Health	2,288	2,055	233	2,242	254
Prescribing (Annex 8)								
46,076	0	46,076	Prescribing	42,235	40,767	1,468	44,525	1,551
46,076	0	46,076	Sub-Total - Prescribing	42,235	40,767	1,468	44,525	1,551
Technical & Estates (Annex 9)								
3,706	(748)	2,958	Corporate Expenditure	2,712	2,696	16	2,940	18
243	1	244	Technical Provisions	224	(9)	233	(222)	466
3,949	(747)	3,202	Sub-Total - Technical & Estates	2,936	2,687	249	2,718	484

Summary of Financial Performance
Financial Year 2012/13: Year Ending February 2013 - Reporting Month 11

Budget Movements			Descriptions	Cumulative Position			Forecast Outturn	
Month 10 Allocation £'000	Month 11 Changes £'000	Month 11 Allocation £'000		Budget to date £'000	Actual to date £'000	Variance to date £'000	Year End Forecast £'000	Forecast Variance Forecast
			1. Operational Financial Balance					
			Corporate Headquarters (Annex 10)					
16,313	0	16,313	Corporate Headquarters	14,953	15,212	(259)	16,463	(150)
16,313	0	16,313	Sub-Total - Corporate Headquarters	14,953	15,212	(259)	16,463	(150)
7,464	0	7,464	Surplus / Deficict Before Reserves	6,466	3,775	2,691	4,528	2,936
			Reserves					
2,936	0	2,936	0.5% Contingency	2,691	0	2,691	0	2,936
0	0	0	2% Transition Fund	0	0	0	0	0
0	0	0	Borough Balance	0	0	0	0	0
0	0	0	Provider Support Projects	0	0	0	0	0
0	0	0	Sector Projects	0	0	0	0	0
0	0	0	Sector Balance	0	0	0	0	0
2,936	0	2,936	Sub-Total - Reserves	2,691	0	2,691	0	2,936
4,528	0	4,528	Surplus / Deficict	3,775	3,775	0	4,528	0

**Merton Clinical Commissioning Group
Summary of Financial Performance
Financial Year 2012/13: Month Ending February 2013 - Reporting Month 11**

	Annual Allocation £'000	Cumulative Position			Forecast Outturn	
		Budget to date £'000	Actual to date £'000	Variance to date £'000	Year End Forecast £'000	Forecast Variance Forecast
Resource Limit	247,264	226,966	226,966	0	247,264	0
1. Operational Financial Balance						
A) Acute Commissioning						
Acute NHS Secondary Care	147,537	135,242	138,255	(3,013)	150,615	(3,078)
Acute Healthcare Provisions	13,704	12,562	11,389	1,173	12,485	1,219
	161,241	147,804	149,644	(1,840)	163,100	(1,859)
B) Non Acute Commissioning						
Mental Health Commissioning	21,745	19,934	19,926	8	21,742	3
Learning Disabilities	2,020	1,851	1,134	717	1,282	738
EOLC, Long Term Conditions, Urgent & Intermediate Care	6,476	5,935	6,017	(82)	6,559	(83)
Community Provider Services	14,222	13,037	12,918	119	14,112	110
Children & Young People	1,250	1,146	1,136	10	1,233	17
Continuing Care	5,941	5,446	5,441	5	5,935	6
Primary Care (Dental, Pharmacy/GPs)	2,307	2,115	1,909	206	2,114	193
	53,961	49,464	48,481	983	52,977	984
C) Community Prescribing						
Community Prescribing	23,164	21,234	20,568	666	22,463	701
	23,164	21,234	20,568	666	22,463	701
D) Corporate Budgets						
Technical & Estates	(329)	(301)	(495)	194	(643)	314
Headquarters	5,475	5,517	5,474	43	5,349	126
	5,146	5,216	4,979	237	4,706	440
Total Before Reserves	3,752	3,248	3,294	46	4,018	266
E) Corporate Reserves						
0.5% Contingency	1,476	1,353	0	1,353	0	1,476
Surplus / Deficit	2,276	1,895	3,294	1,399	4,018	1,742

**Sutton Clinical Commissioning Group
Summary of Financial Performance
Financial Year 2012/13: Month Ending February 2013 - Reporting Month 11**

	Annual Allocation £'000	Cumulative Position			Forecast Outturn	
		Budget to date £'000	Actual to date £'000	Variance to date £'000	Year End Forecast £'000	Forecast Variance Forecast
Resource Limit	244,574	224,497	224,497	0	244,574	0
1. Operational Financial Balance						
A) Acute Commissioning						
Acute NHS Secondary Care	145,932	133,771	140,913	(7,142)	153,615	(7,683)
Acute Healthcare Provisions	13,555	12,425	11,266	1,159	12,349	1,206
	159,487	146,196	152,179	(5,983)	165,964	(6,477)
B) Non Acute Commissioning						
Mental Health Commissioning	21,508	19,717	19,710	7	21,505	3
Learning Disabilities	1,998	1,832	1,122	710	1,269	729
EOLC, Long Term Conditions, Urgent & Intermediate Care	6,404	5,870	5,950	(80)	6,488	(84)
Community Provider Services	14,067	12,895	12,777	118	13,959	108
Children & Young People	1,236	1,133	1,124	9	1,219	17
Continuing Care	5,876	5,387	5,381	6	5,871	5
Primary Care (Dental, Pharmacy/GPs)	2,282	2,092	1,889	203	2,090	192
	53,371	48,926	47,953	973	52,401	970
C) Community Prescribing						
Community Prescribing	22,911	21,002	20,199	803	22,061	850
	22,911	21,002	20,199	803	22,061	850
D) Corporate Budgets						
Technical & Estates	(320)	(296)	(487)	191	(637)	317
Headquarters	5,415	5,457	5,415	42	5,291	124
	5,095	5,161	4,928	233	4,654	441
Total Before Reserves	3,710	3,212	(762)	(3,974)	(506)	(4,216)
E) Corporate Reserves						
0.5% Contingency	1,460	1,338	0	1,338	0	1,460
Surplus / Deficit	2,250	1,874	(762)	(2,636)	(506)	(2,756)

**Summary of Financial Performance
Financial Year 2012/13: Month Ending February 2013 - Reporting Month 11**

Number	Risk Description	Potential Risk	Impact on Performance Indicator	Mitigation	Risk Range
1	SLA Over-performance - Commissioned Services & Control Of Demand	There is a high risk that the current SLA over performance risk will worsen. Acute activity historically increased in the last six months of the year in both elective and particularly non elective care.	RRL, Control Total.	A contract management plan will be in place and will be regularly reported. A small contingency reserve is held to mitigate some of this risk.	High
2	Reserves & Contingencies	Pressure on reserves and contingencies exceeding current planned levels.	RRL, Control Total	Review of options to protect and increase reserves.	High
3	Delivery of QIPP	Full delivery of the QIPP programme net £19.1m, demand management schemes not fully developed which could result in delayed delivery by the 1st April 2012, possible lack of support from clinical commissioning.	RRL, Control Total / Investment Programme	Joint Sign off, responsibility for all aspects of the plan.	High
4	GP focus addressing consortium priorities which may not coincide with PCT priorities	Different focus of attention from PCT priorities to deliver plan not prime focus.		Maintaining joint ownership of plan. Common focus on budgetary control.	Medium
5	Resources required post transfer are insufficient to manage the scale of change	Loss of organisational memory due to the transition process and lower level of resource which has too many priorities.		Expectation that a number of key staff will be retained in the new structures.	High
6	Cost Pressures	Unforeseen cost pressures in other areas.	RRL, Control Total	The development of plans to protect against cost rises in area that are likely to give rise to cost pressures	Medium
7	Continuing Care Restitutional Payments	Liabilities in excess of provision set aside.		Balanced as part of the overall PCT financial position	High



Sutton and Merton

Figure 3a.

**Summary of Financial Performance
Commissioner Provisions & Reserves 2012/13 as at 28th February 2013**

	£000's
Reserves Held by the PCT	
Demographic Reserve	10,515 Currently predicted as being utilised
Total	<u><u>10,515</u></u>

**Summary of Financial Performance
Resource Summary 2012/13 as at 28th February 2013**

Sutton & Merton Primary Care Trust 2012/2013 ALLOCATION	INITIAL RECURRING BUDGET £0	RECURRING CHANGES £0	TOTAL RECURRING BUDGET £0	INITIAL NON- RECURRING BUDGET £0	NON- RECURRING CHANGES £0	TOTAL NON- RECURRING BUDGET £0	TOTAL BUDGET £0
CONFIRMED INITIAL RESOURCE LIMIT - 2011/12	570,408,000	0	570,408,000	0	0	0	570,408,000
ANTICIPATED FURTHER RECURRENT ADDITIONAL ALLOCATIONS 2012/13 GROWTH @ 3.00% - On £570,408K	16,978,000	0	16,978,000	0	0	0	16,978,000
OPENING RECURRENT RESOURCE LIMIT EXCLUDING NON-RECURRENT ITEMS	587,386,000	0	587,386,000	0	0	0	587,386,000
Confirmed							
JOINT WORKING - SOCIAL CARE	0	0	0	3,861,000	0	3,861,000	3,861,000
COMMISSIONING DENTAL SERVICES - PER AWP 10/11 PCT01	14,614,000	0	14,614,000	0	0	0	14,614,000
GENERAL OPHTHALMIC SERVICES - PER AWP 10/11 PCT01	2,439,000	0	2,439,000	0	0	0	2,439,000
GENERAL PHARMACEUTICAL SERVICES - PER AWP 10/11 PCT01	4,796,000	0	4,796,000	0	0	0	4,796,000
DRUG ACTION TEAMS ALLOCATIONS	2,859,000	113,000	2,972,000	0	0	0	2,972,000
CANCER DRUGS	-961,000	21,000	-940,000	0	0	0	-940,000
FREE SCHOOL FRUIT	-289,000	7,000	-282,000	0	0	0	-282,000
CHILDHOOD VACCINATIONS	64,000	-8,000	56,000	0	0	0	56,000
NHS LONDON IAT - PCT 2010/11 LEVIES	-336,000	-155,000	-491,000	0	0	0	-491,000
NHS LONDON IAT - LONDON NHS DIAGNOSTICS	0	0	0	0	-30,000	-30,000	-30,000
NHS LONDON IAT - 2% NON-RECURRENT HEADROOM	0	0	0	0	-11,748,000	-11,748,000	-11,748,000
CANCER DRUGS FUNDING	0	0	0	0	335,000	335,000	335,000
PMEDS: PERSONAL ADMIN COSTS FOR NON-DISPENSING	0	0	0	0	39,000	39,000	39,000
LOCAL PHARMACEUTICAL SERVICES	0	0	0	0	7,000	7,000	7,000
NCAT - NATIONAL LUNG CAMPAIGN (CE)	0	0	0	0	10,000	10,000	10,000
CANCER PROJECT FUNDING	0	0	0	0	750,000	750,000	750,000
FREEMAN PRACTICE TRANSFER TRANSITION	0	0	0	838,000	0	838,000	838,000
CANCER PROJECT FUNDING	0	0	0	0	750,000	750,000	750,000
WANDSWORTH PCT FREEMAN LAS TRANSFER	550,000	-13,000	537,000	0	0	0	537,000
WANDSWORTH PCT HIV/AIDS TRANSFER	-474,000	0	-474,000	0	0	0	-474,000
SWL SAFEGUARDING ADULT NETWORK	0	0	0	0	5,000	5,000	5,000
CLINICAL EXCELLENCE M1-M6 FOR EPSOM AND ST HELIER	0	0	0	0	107,000	107,000	107,000
CLINICAL EXCELLENCE M1-M6 FOR ROYAL MARSDEN	0	0	0	0	762,000	762,000	762,000
MENTAL CAPACITY ACT	0	0	0	0	69,000	69,000	69,000
2012/13 NCSS TRANSFERS	-3,853,000	0	-3,853,000	0	0	0	-3,853,000
PH TRANSITION FUNDING	0	0	0	0	108,000	108,000	108,000
CANCER DRUGS FUNDING	0	0	0	0	548,000	548,000	548,000
HCAS - SUTTON AND MERTON PCT	0	0	0	0	160,000	160,000	160,000
HCAS - EPSOM AND ST HELIER NHS TRUST	0	0	0	0	610,000	610,000	610,000
HCAS - ROYAL MARSDEN NHS FOUNDATION TRUST	0	0	0	0	263,000	263,000	263,000
PERTUSSIS OUTBREAK CONTROL PROGRAMME FOR PREGNANT WOMEN (12/13)	0	0	0	0	11,000	11,000	11,000
1% NON-REC FUNDS LTC/OOH PROJECT (MERTON)	0	0	0	0	590,000	590,000	590,000
1% NON-REC FUNDS LTC/OOH PROJECT (SUTTON)	0	0	0	0	564,000	564,000	564,000
CANCER NETWORK SUPPORT 2012/13	253,000	0	253,000	0	29,000	29,000	282,000
GP CONSORTIA FUNDING £2 PER HEAD	0	0	0	784,000	55,000	839,000	839,000
2012/13 CHARGE EXEMPT OVERSEAS VISITORS	811,000	0	811,000	0	1,098,000	1,098,000	1,909,000
ST GEORGES 70% NON-ELECTIVE PERFORMANCE	-1,724,000	1,024,000	-700,000	0	-200,000	-200,000	-900,000
CLINICAL EXCELLENCE AWARDS	2,398,000	0	2,398,000	0	-1,573,000	-1,573,000	825,000
ROYAL MARSDEN - MFF FUNDING	0	0	0	0	4,740,000	4,740,000	4,740,000
ROYAL MARSDEN - PROJECT DIAMOND	0	0	0	0	500,000	500,000	500,000
CANCER DRUGS FUNDING	0	0	0	0	316,000	316,000	316,000
2011/12 SURPLUS	0	0	0	6,128,000	-3,000	6,125,000	6,125,000
DENTAL ACCESS FUNDING 2012/13	0	0	0	0	113,000	113,000	113,000
WINTER PRESSURES 2012/13 SOCIAL CARE	0	0	0	0	1,025,000	1,025,000	1,025,000
SWL 2%	0	0	0	0	3,818,000	3,818,000	3,818,000
SWL ESTIMATED REDUNDANCY COSTS	0	0	0	0	619,000	619,000	619,000
CSU DEVELOPMENT COSTS C/FWD FROM 11-12	0	0	0	0	146,000	146,000	146,000
Anticipated							
FURTHER IAPT FUNDING	0	0	0	0	5,000	5,000	5,000
MERTON CCG WINTER PRESSURE FUNDING	0	0	0	0	141,000	141,000	141,000
SUTTON CCG WINTER PRESSURE FUNDING	0	0	0	0	134,000	134,000	134,000
EPSOM & ST HELIER WINTER PRESSURE FUNDING	0	0	0	0	2,036,000	2,036,000	2,036,000
ROYAL MARSDEN SMCS WINTER PRESSURE FUNDING	0	0	0	0	696,000	696,000	696,000
DENTAL FUNDING RTPCT	0	0	0	0	10,000	10,000	10,000
DENTAL FUNDING KPCT	0	0	0	0	21,000	21,000	21,000
DENTAL FUNDING WPCT	0	0	0	0	8,000	8,000	8,000
LATEST REVENUE RESOURCE LIMIT	608,533,000	989,000	609,522,000	11,611,000	7,644,000	19,255,000	628,777,000

Summary of QIPP Performance
Financial Year 2012/13: Month Ending January 2013 - Month 10

		RAG Rating	START	Gross Savings Plan 12/13 £000s	YTD Gross Savings Plan £000s	Cost Plan 12/13 £000s	YTD Costs Plan £000s	Net Planned Savings 12/13 £000s	Net YTD Plan £000s	Net YTD Achievement £000s	Net YTD Variance £000s
PLANNED CARE	Pelvic Floor Clinic	G	Jun-12	104	90	-	-	104	90	-	(90)
	Activity Management	A	Apr-12	1,056	880	-	-	1,056	880	-	(880)
	Anticoag	G	Jun-12	150	120	-	-	150	120	9	(111)
	Urology	R	Oct-12	15	8	-	-	15	8	-	(8)
	Ophthalmology	R	Oct-12	25	16	-	-	25	16	-	(16)
	Community Planned Care	R	Oct-12	25	16	-	-	25	16	-	(16)
	InHealth Contract	G	Apr-12	750	630	-	-	750	630	392	(238)
	Specialist Commissioning	G	Apr-12	500	420	-	-	500	420	420	-
	Hips and Knees Pathway	G	Apr-12	29	20	-	-	29	20	19	(1)
PLANNED CARE TOTAL				2,654	2,200			2,654	2,200	840	(1,360)
URGENT CARE	Impact of PMS review (incorporated in QIPP)	R	Oct-12	1,500	1,000	-	-	1,500	1,000	-	(1,000)
	Urgent Care Centre - St Helier	A	Apr-12	1,425	1,190	-	-	1,425	1,190	135	(1,055)
	Urgent Care Centre - St Georges	A	Sep-12	350	280	-	-	350	280	100	(180)
	Rapid Response	R	Jul-12	865	720	-	-	865	720	965	245
	Reduction of #NOF	G	Apr-12	144	120	-	-	144	120	242	122
	Tele-Health	B	May-12	38	27	11	9	27	18	-	(18)
	ECG	A	Jun-12	490	410	-	-	490	410	29	(381)
	Urgent Care at Home Service	A	Apr-12	490	410	-	-	490	410	19	(391)
	Urgent Care at Home (Nursing)	G	Oct-12	-	-	-	-	-	-	741	741
URGENT CARE TOTAL				5,302	4,157	11	9	5,291	4,148	2,231	(1,917)
LONG TERM CONDITIONS	Implementation of new Diabetes Service	G	Apr-12	366	300	296	250	70	50	-	(50)
	Reduction in stroke	G	Apr-12	226	190	183	150	43	40	687	647
	Improving management of LTCs	G	Apr-12	1,002	840	276	230	726	610	-	(610)
	Cancer Service Redesign	A	Apr-12	500	332	-	-	500	332	22	(310)
	Dementia	B	Apr-12	100	68	-	-	100	68	-	(68)
	Virtual Care Home	R	Oct-12	250	168	-	-	250	168	-	(168)
	Additional LTC (dependent on investment bid)	G	Sep-12	-	-	-	-	-	-	487	487
	non-investment Q1 LTC projects	G	Apr-12	-	-	-	-	-	-	-	-
LONG TERM CONDITIONS TOTAL				2,444	1,898	755	630	1,689	1,268	1,196	(72)
END OF LIFE CARE	End of Life Care	G	Jun-12	624	520	320	270	304	250	370	120
	non-investnebt Q1 EoL	G	Apr-12	-	-	-	-	-	-	-	-
END OF LIFE CARE TOTAL				624	520	320	270	304	250	370	120
COMMUNITY SUPPORT SERVICES	Continuing Care Placements	G	Apr-12	1,000	830	-	-	1,000	830	1,672	842
	Additional continuing care placements	G	May-12	-	-	-	-	-	-	-	-
COMMUNITY SUPPORT SERVICES TOTAL				1,000	830			1,000	830	1,672	842
OTHER ACUTE EFFICIENCIES	Acute Trust KPIs/LCIs	A	Apr-12	1,591	1,330	-	-	1,591	1,330	1,933	603
	Acute challenges inc HCD, alternative therapy	G	Apr-12	530	440	-	-	530	440	749	309
	Getting Fit for Surgery	B	Apr-12	126	84	-	-	126	84	-	(84)
	Alternative Care Pathways (ACP's)	R	Apr-12	399	264	108	72	291	192	47	(145)
	Acute based Alcohol Care Teams	B	Apr-12	416	276	-	-	416	276	-	(276)
	Special Care Dental Service (SCDS) **Without	G	Apr-12	285	192	54	36	231	156	238	82
	Admissions Avoidance (Alcohol)	G	Jun-12	-	-	-	-	-	-	-	-
	Additional acute challenges inc HCD at SGH	G	May-12	-	-	-	-	-	-	-	-
	non-investment ACPs	G	Apr-12	-	-	-	-	-	-	-	-
	non investment SCDS	G	Apr-12	-	-	-	-	-	-	-	-
	Acute CQIN 70% delivery	G	Jul-12	-	-	-	-	-	-	-	-
OTHER ACUTE EFFICIENCIES TOTAL				3,347	2,586	162	108	3,185	2,478	2,967	489
MENTAL HEALTH & LD	Mental Health	G	Apr-12	500	332	-	-	500	332	417	85
MENTAL HEALTH & LD TOTAL				500	332			500	332	417	85
PREVENTION	Health Diversity Programme	G	Aug-12	62	48	12	12	50	36	2	(34)
PREVENTION TOTAL				62	48	12	12	50	36	2	(34)
PRIMARY CARE	GP Discretionary Payments	G	Apr-12	15	8	-	-	15	8	13	5
	Tight contract management/adjustments, inc	G	Apr-12	200	132	-	-	200	132	167	35
	Cease paying superannuation 14% payment to	G	Apr-12	10	8	-	-	10	8	9	1
	Primary Care 2011/12 Benefit	G	Oct-12	-	-	-	-	-	-	60	60
PRIMARY CARE TOTAL				225	148			225	148	249	101
PRESCRIBING	Prescribing workstreams	G	May-12	1,000	819	-	-	1,000	819	989	170
PRESCRIBING TOTAL				1,000	819			1,000	819	989	170
BACK OFFICE	Back Office / Estates / Other budget	G	Apr-12	3,145	2,620	-	-	3,145	2,620	2,761	141
	List cleansing	G	Apr-12	80	70	23	20	57	50	42	(8)
	Additional back office/ estates	G	Apr-12	-	-	-	-	-	-	-	-
BACK OFFICE TOTAL				3,225	2,690	23	20	3,202	2,670	2,803	133
PROGRAMME TOTAL				20,383	16,228	1,283	1,049	19,100	15,179	13,736	(1,443)

2012/2013 Capital Programme As At 28th February 2013

Annual Plan £'000	Description	YTD Budget £000	Total Expenditure £000	YTD Variance £000	Final Outturn £000	Forecast Variance £000
CAPITAL RESOURCES						
Anticipated Allocations						
(1,205)	Capital Funding Required	(1,929)	(1,654)	275	625	1,830
Anticipated Capital Appropriation In Aid						
5,400	Nelson Car Park	0	0	0	5,400	0
500	Homeland Drive	500	500	0	500	0
503	Carshalton War Memorial	503	950	447	950	447
1,597	Osborne House	1,597	875	(722)	875	(722)
6,795	Total	671	671	0	8,350	1,555
CAPITAL EXPENDITURE PROGRAMME						
Scheme No OPERATIONAL CAPITAL PROJECTS						
4,612	Nelson	755	755	0	1,912	(2,700)
599	Wilson	14	14	0	0	(599)
0	Jubilee	(166)	(166)	0	(206)	(206)
100	Health and Safety	0	0	0	55	(45)
250	Fire Safety Compliance	3	3	0	150	(100)
250	Other Statutory Compliance	0	0	0	328	78
300	Green Wrythe Lane- planning obligation	35	35	0	50	(250)
0	Backlog Maintenance	0	0	0	0	0
Rolling IT Programme						
250	Primary Care IT	30	30	0	250	0
154	Informatics Transition	0	0	0	154	0
40	IT Refresh	0	0	0	40	0
240	IT Switches Replacement	0	0	0	240	0
6,795	TOTAL CAPITAL EXPENDITURE	671	671	0	2,973	(3,822)
0	CAPITAL POSITION	0	0	0	(5,377)	(5,377)

Cashflow Analysis For The 12 Months To 31st March 2013

	Actual April-12 £000s	Actual May-12 £000s	Actual June-12 £000s	Actual July-12 £000s	Actual August-12 £000s	Actual September-12 £000s	Actual October-12 £000s	Actual November-12 £000s	Actual December-12 £000s	Actual January-13 £000s	Actual February-13 £000s	Estimate March-13 £000s	Estimate Annual £000s
Income													
Opening Balance	60	5,130	1,887	3,242	1,452	1,750	158	129	2,871	593	2,298	1,232	60
Income From Other Organisations	9,520	2,091	963	1,591	2,808	1,498	1,589	693	1,543	1,853	3,126	14,000	41,275
Monthly Drawings Including PPA	41,090	46,967	53,631	46,997	46,707	50,547	50,827	51,471	50,943	53,316	54,652	64,232	611,380
Total Income Available	50,670	54,188	56,481	51,830	50,967	53,795	52,574	52,293	55,357	55,762	60,076	79,464	652,715
Expenditure													
Monthly SLA Payments	30,000	30,000	30,000	30,000	30,000	30,000	30,000	30,000	30,000	30,000	30,000	30,000	360,000
Other NHS Payments	445	6,669	5,739	5,441	4,495	9,933	5,639	2,887	9,261	10,381	14,867	15,612	91,369
Non-NHS Payments	4,158	4,415	4,945	4,415	4,445	3,449	6,200	6,444	4,477	3,083	4,031	10,000	60,062
Payroll	447	450	454	465	465	458	459	450	443	434	514	450	5,489
PCSS Cash	4,900	4,800	6,470	4,060	4,105	4,250	4,320	4,170	4,640	4,250	3,780	4,800	54,545
PDS Recharges	1,091	1,227	1,203	1,254	1,132	1,098	1,232	1,131	1,197	1,215	1,174	1,142	14,096
PPA Recharges	4,499	4,740	4,428	4,743	4,575	4,449	4,595	4,340	4,746	4,101	4,478	4,460	54,154
Total Expenditure	45,540	52,301	53,239	50,378	49,217	53,637	52,445	49,422	54,764	53,464	58,844	66,464	639,715
Monthly Closing Balance	5,130	1,887	3,242	1,452	1,750	158	129	2,871	593	2,298	1,232	13,000	13,000

CASH POSITION AS AT 28th February 2013	
	£000
Total Revenue Resource Limit	616,735
Total Capital Resource Limit	625
Total Resource	617,360
Other Anticipated Revenue Cash limit adjs.	3,051
Other Anticipated Capital Cash Limit adjs	0
Total Cash Limit as at 28th February 2013 for the year	620,411
Cash Budget as at 28th February 2013 (for the year to date)	568,710
Cash Actually Drawn as at 28th February 2013	547,148
Cash Drawn Variance as at 28th February 2013	21,562

Current Prediction For undrawn Cash At Year End	9,200
Estimate Bank Balance At Year end	13,000
Total Cash Surplus 31/03/13	22,200

**Balance Sheet
As at 28th February 2013**

	Balance Sheet As At 28th Feb 2013 £000s	Opening Balance Sheet 2012/13 £000s	Movement From Opening Balance Sheet £000s
Fixed Assets			
Property Plant & Equipment	44,570	47,535	(2,965)
Intangible Assets	366	366	0
Other Financial Assets	2	2	0
Trade and Other Receivables	7,652	408	7,244
	52,590	48,311	4,279
Current Assets			
Debtors	2,330	19,894	(17,564)
Cash at Bank and in hand	1,232	35	1,197
Non-Current Assets Held For Sale	5,400	8,000	(2,600)
	8,962	27,929	(18,967)
Total Assets	61,552	76,240	(14,688)
Current Liabilities			
Trade and Other Payables	(54,707)	(44,000)	(10,707)
Provisions	(606)	(606)	0
Borrowings	(180)	(169)	(11)
	(55,493)	(44,775)	(10,718)
Net Current Assets/(Liabilities)	(46,531)	(16,846)	(29,685)
Total Assets Less Current Liabilities	6,059	31,465	(25,406)
Non-Current Liabilities			
Trade And Other Payables	(2,192)	0	(2,192)
Provisions For Liabilities And Charges	(2,660)	(3,724)	1,064
Borrowings	(7,839)	(8,073)	234
	(12,691)	(11,797)	(894)
Assets Less Liabilities	(6,632)	19,668	(26,300)
Financed By:			
Taxpayers Equity			
General Fund	(30,147)	(6,854)	(23,293)
Revaluation Reserves	23,515	26,522	(3,007)
Total Taxpayers Equity	(6,632)	19,668	(26,300)