



**REPORT TO THE MERTON CLINICAL COMMISSIONING GROUP GOVERNING BODY**

**Date of Meeting:** 25<sup>th</sup> January 2018

**Agenda No:** 8.1

**Attachment:** 9

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| <b>Title of Document:</b><br>Summary Report of the Merton CCG Audit & Governance Committee meeting of 26.09.17.   | <b>Purpose of Report:</b><br>To Receive and Note              |
| <b>Report Author:</b><br>Tony Foote, Board Secretary, NELCSU.   | <b>Lead Director:</b><br>Neil McDowell, Chief Finance Officer |
| <b>Executive Summary:</b><br>This report summarises the key items discussed Merton CCG Audit & Governance Committee meeting of 26.09.17.<br><br>Note: this summary is not intended to replace the formal minutes of this meeting. Those minutes are available upon request. |   |
| Key sections for particular note (paragraph/page), areas of concern etc:<br>All of the summarised minutes.  |   |
| <b>Recommendation(s):</b><br>The Merton Clinical Commissioning Group Governing Body is requested to note the contents of the summarised minutes.  |   |
| <b>Committees which have previously discussed/agreed the report:</b><br>The Audit & Governance Committee has approved the full minutes of this meeting.   |   |
| <b>Financial Implications:</b> Only any detailed within the meeting summaries.  |   |
| <b>Implications for CCG Governing Body:</b> N/A   |   |
| <b>How has the Patient voice been considered in development of this paper:</b> N/A  |   |
| <b>Other Implications:</b> N/A  |   |
| <b>Equality Analysis:</b> N/A   |   |
| <b>Information Privacy Issues:</b> N/A  |   |
| <b>Communication Plan:</b> All documents appearing on Part 1 of the Governing Body meeting will be accessible via the CCG's website.  |   |



right care  
right place  
right time  
right outcome

**NHS**  
Merton  
Clinical Commissioning Group

## MERTON CLINICAL COMMISSIONING GROUP AUDIT & GOVERNANCE COMMITTEE

29<sup>th</sup> September 2017

|                         |   |
|-------------------------|---|
| <b>Members Present:</b> |   |
| Peter Derrick (PD)      | Lay Member Audit and Governance, Chair  |
| Clare Gummatt (CG)      | Lay Member, Patient and Public Engagement   |
| Julie Hall (JHa)        | Independent Nurse Member  |
| 1.                      | <p><u>Tender Waivers</u></p> <p>(i) A contract to offer routine and same day extended hours access for a pilot period of 6 months commencing 1 October 2017. Contract value £240k.</p> <p>(ii) A contract to offer additional clinical capacity to triage and treat tier 3 SGH patients for ENT. Contract value £475k.</p> <p>Both tender waivers had been approved by the Finance Committee.<br/>The Audit and Governance Committee noted the Tender Waivers.</p>  |
| 2.                      | <p><u>Freedom of Information Q1 and Q2 (2016-17) Reports</u></p> <ul style="list-style-type: none"> <li>- The high number of mental health breaches discussed at the last meeting have significantly improved.</li> <li>- Overall the systems in place with NELCSU to manage FOIs on behalf of MCCG are working well.</li> </ul> <p>The Audit and Governance Committee NOTED the Q1 and Q2 reports.</p>   |
| 3.                      | <p><u>(i) Hospitality &amp; Gifts Policy</u><br/><u>(ii) Conflicts of Interest Policy</u></p> <p>The Audit and Governance Committee confirmed that following approval at the CCG Governing Body, The Chief Officer will ensure that the requirements of these policies will be raised at all team meetings, and confirm the requirements with the chairs of each committee, and with the CCG executives.</p>  |
| 4.                      | <p><u>External Audit Progress Report</u></p> <ul style="list-style-type: none"> <li>- The Audit Opinion and Value for Money consideration will be made against the CCG's statutory responsibility to deliver a break even position in 2017/18, but will take into consideration NHSE assurance framework.</li> </ul> <p>The Audit and Governance Committee noted the report.</p>  |
| 5.                      | <p><u>Internal Audit Report</u></p> <ul style="list-style-type: none"> <li>- There have been two final internal audit reports issued since the last full audit committee, relating to:<br/>Financial Planning and QIPP Delivery<br/>Primary Care Payments</li> <li>- The audits indicated that there is generally a well-designed and applied control framework in place in each instance.</li> <li>- All other internal audit work for 2017/18 is either in progress or scheduled and is on track to complete the internal audit programme as planned.</li> </ul> <p>The Audit and Governance Committee noted the Internal Audit Report.</p> |