

## Merton CCG Balanced Scorecard

Reporting period:	Q1 NHSE	Q2 NHSE	Q3 (CCG Rating)
Are local people getting good quality care?	Amber/Green	Amber/Green	Amber/Green
Are patient rights under the NHS Constitution being promoted?	Amber/Red	Green	Amber/Red
Are health outcomes improving for local people?	Green	Green	Amber/Red
Are CCGs commissioning services within their financial allocations?	Amber/Green	Amber/Green	Amber/Red
Are conditions of CCG authorisation being addressed and removed?	Authorised without conditions	Authorised without conditions	Authorised without conditions

# Domain 1

Reporting Period

Domain Rating

Q1 (NHSE Rating)	Q2 (NHSE Rating)	Q3 (CCG Rating)	Q4 (CCG Rating)
Green/Amber	Green/Amber	Green/Amber	Green/Amber

**QUALITY SECTION**

CCGs to list up to 5 of their main providers (in exceptional circumstances only, up to 10)  
 Main providers are defined as those where CCG commissioning constitutes more than 5% of the provider's income.

Please place A&E providers in Provider 1 - Provider 3 spaces  
 (where A&E is only commissioned with either 1 or 2 providers, list other main providers in box 2/3 onwards) (NEW)

Providers	Provider 1	Provider 2	Provider 3	Provider 4
Provider Name	ST GEORGE'S HEALTHCARE NHS TRUST	EPSOM AND ST HELIER UNIVERSITY HOSPITALS NHS TRUST	SOUTH WEST LONDON AND ST GEORGE'S MENTAL HEALTH NHS TRUST	THE ROYAL MARSDEN NHS FOUNDATION TRUST

Domain 2: Are patient rights under the NHS Constitution being promoted?

Reporting Period				M1	M2	M3	M4	M5	M6	M7	M8	M9	M10	M11	Q1	Q2	Q3
Domain rating:				Green	Green	Red	Green	Amber/Red	Amber/Green	Amber/Red	Amber/Red	Red	Amber/Green	Amber/Red	A/R	A/G	A/R



Domain 4 - Are CCGs commissioning services within their financial allocations?

Reporting Period - Month 11	
Domain rating - Amber/Red	

M1	M2	M3	M4	M5	M6	M7	M8	M9
G	A/G	G	G	A/G	A/R	A/G	A/G	A/R
Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec

Financial performance		Individual indicator RAG rating threshold															
No	Indicator	Primary/Supporting Indicator	2013/14 Mth 11	Green	Amber/Green	Amber/Red	Red										
1	Underlying recurrent surplus	Primary	A/R	>+2%	1%- 1.99%	0% - 0.99%	<0%							AG	AG	AG	AG
2	Surplus - year to date performance	Primary	G	>=1%	>=0.8%	>=0.5%	<0.1%	N/A	R	G	G	G	G	G	G	G	G
3	Surplus - full year forecast	Primary	G	>=1%	>=0.8%	>=0.5%	<0.1%	G	G	G	G	G	G	G	G	G	G
4	Management of 2% NR funds within agreed processes	Supporting	R	Yes			No	G	G	G	G	G	G	G	G	G	R
5	QIPP** - year to date delivery	Primary	AG	>=95% of plan	>= 80% of plan	>= 50% of plan	< 50% of plan	N/A	N/A	AG	G	AG	AR	AG	AG	AG	AG
6	QIPP** - full year forecast	Primary	AG	>=95% of plan	>= 80% of plan	>= 50% of plan	< 50% of plan	N/A	G	G	G	AG	AG	AG	AG	AG	AG
7	Activity trends - year to date	Supporting		<101% of plan	<102% of plan	<103% of plan	<104% of plan										
8	Activity trends - full year forecast	Supporting		<101% of plan	<102% of plan	<103% of plan	<104% of plan										
9	Running costs	Primary	G	<=RCA			>RCA	G	G	G	G	G	G	G	G	G	G
10	Clear identification of risks against financial delivery and mitigation	Supporting	G	Indicator met in full	Indicator partially met - limited uncovered risk	Indicator partially met - material uncovered risk	Indicator not met	G	G	G	G	G	G	G	G	G	G

\*\*QIPP to include transactional and transformational schemes

Financial performance		Individual indicator RAG rating threshold															
No	Indicator	Primary/Supporting Indicator		Green	Amber/Green	Amber/Red	Red										
11	This covers Internal and external audit opinions, and an assessment of the timeliness and quality of returns	Supporting	G	No unsatisfactory audit reports in reference to finance related systems and process and all finance returns	One or two unsatisfactory audit reports in reference to finance related systems and process and all finance returns	A number of unsatisfactory audit reports in reference to finance related systems and process and all finance returns	Significant number of unsatisfactory audit reports in reference to finance related systems and process and all finance returns	G	G	G	G	G	G	G	G	G	G
12	Balance sheet indicators including case management and BPPC	Supporting		To be defined	To be defined	To be defined	To be defined										

Over-riding rule: Qualified audit opinion would lead to an overall RED rating

Domain Rating, subject to over-riding rule	
Green	No indicators rated Red
Amber/Green	<= primary indicator are amber-red
Amber/Red	One indicator rated Red or >3 are amber-red
Red	Two or more red primary indicators would lead to an overall red rating.