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MERTON CLINICAL COMMISSIONING GROUP GOVERNING BODY

Date of Meeting: 29th September 2016

Agenda No: 6.7

Attachment: 11

Title of Document: Safeguarding Adults Quarter 1 Report (April – June 2016)	Purpose of Report: For Approval
Report Author: David Parry: Head of Quality/DASM	Lead Director: Lynn Street Director of Quality and Governance
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Executive Summary: This Quarter 1 (Q1) report sets out Merton Clinical Commissioning Group safeguarding arrangements and activity within commissioning and provider services across the whole health economy of Merton for Q1. It provides the Safeguarding Executive Group with assurance that MCCG is meeting its statutory duties and requirements for safeguarding adults at risk by operating within the parameters of the Care Act 2014 and the Pan London Policy and Practice guidance 2015. The report outlines progress made in priority areas identified within the current Safeguarding Adults Annual Report that include: <ul style="list-style-type: none"> • Assurance • Training • Prevent • Safeguarding referrals and Mental Capacity Act and Deprivation of Liberty Safeguards activity data • Safeguarding activity 	
Key sections for particular note (paragraph/page), areas of concern etc: Section 2: Safeguarding and MCA/DoLS data Section 5: Training rate for Merton CCG Safeguarding Adults in quarter 1 is 67% up 15% on quarter 4	
Recommendation(s): The APPROVE	
Committees which have previously discussed/agreed the report: Executive Management Team 31 August 2016 Safeguarding Executive Group 16 September 2016	

<p>Financial Implications: None</p>
<p>Implications for CCG Governing Body: Not required for the purposes of this Report.</p>
<p>How has the Patient voice been considered in development of this paper: Not required for the purposes of this Report.</p>
<p>Other Implications: (including patient and public involvement/Legal/Governance/Risk/Diversity/ Staffing) Relates to risk number 791: If the CCG fails to establish appropriate systems and processes for safeguarding adults, vulnerable adults may be at risk of harm 3 x 2 = 6</p>
<p>Equality Assessment: Not required for the purposes of this Report.</p>
<p>Information Privacy Issues: Not required for the purposes of this Report.</p>
<p>Communication Plan: (including any implications under the Freedom of Information Act or NHS Constitution) This document will be available on MCCG website when signed off as part of Governing Body papers</p>



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NHS
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**Merton Clinical Commission Group
Safeguarding Adults at Risk
Quarter 1 Report
April 2016 – June 2016**

David Parry Head of Quality/DASM

1. INTRODUCTION: SAFEGUARDING IS EVERYBODY'S BUSINESS

1.1 This report details adult safeguarding activity within MCCG for quarter 1 of 2016/17 (MCCG) is committed to ensuring the safeguarding of adults who receive services it commissions.

MCCG's declaration is to:

- Commission services that ensure, first and foremost, that adults with care and support needs are safe.
- Maintain the discharge of our statutory functions as a CCG.
- Work alongside neighbouring Clinical Commissioning Groups, the Local Authority, CQC and Voluntary groups to maintain a consistent approach and response to the need for our resident population.
- Encourage, embed and maintain the best safeguarding practice across the Merton health economy.
- Ensure continuous improvement and compliance with national and local policies.
- Review existing systems for quality monitoring to ensure that they remain robust, auditable, effective and in line with Merton Safeguarding Adults Board (MSAB).
- Ensure partnership working and contribution to the work of the MSAB.
- Ensure partnership working with Children's safeguarding services, particularly around common themes such as Prevent, FGM and Domestic violence that can affect all age groups.
- Effectively contribute to local multi-agency approaches such as the Multi-agency Public Protection Arrangements (MAPPA); Multi-agency Risk Assessment Conference (MARAC); Early Help Multi-agency Safeguarding Hubs and/or Multi-agency Safeguarding Hubs; Domestic Homicide Reviews, PREVENT & Channel processes and Domestic Abuse forums.
- Implement and embed the Care Act 2014, in particular, Making Safeguarding Personal and the Mental Capacity Act and DoLs compliance especially within Care homes.
- Ensure that Safeguarding Adults policies and procedures are reviewed, robust and in line with updated Pan London Policy and Practice document referred to in this document.

1.2 The above activities are monitored within the CCG by the Clinical Quality Committee. However, Merton Local Authority (LA) retains the statutory responsibility to coordinate all the work undertaken to safeguard adults at risk within the Merton health economy, supported by partner agencies.

1.3 The purpose of this quarterly report is to inform MCCG's Governing Body of the adult safeguarding arrangements and activity within commissioning and provider health services. This report covers the period 1st April 2016 – 31st June 2016, (Quarter 1). It will also provide a level of assurance to MCQC that MCCG is operating within the parameters of the Care Act 2014.

1.4 Merton CCG's Designated Adult Safeguarding Manager (DASM) has continued to develop the role that has included advising and supporting providers and commissioning and contracting managers on all aspects of adult safeguarding.

This Quarter the DASM has maintained excellent working relationships with our LA colleagues in Merton, across the South West London region and with NHS England’s safeguarding adults team.

1.5 MCCG discharges its responsibility to ensure adults at risk are safeguarded by:

- Use of safeguarding principles to shape strategic and operational safeguarding arrangements
- Setting the safeguarding of adults as a strategic objective in commissioning health care
- Using integrated governance systems and processes across all agencies to ensure that safeguarding concerns in services are dealt with consistently and effectively
- Working with the local Safeguarding Adults Board, patients and community partners to create robust safeguards for patients
- Providing leadership to safeguard adults across the health economy
- Ensuring accountability and sharing learning within the service and the partnership to bring about improvements.

2. SAFEGUARDING ACTIVITY DATA

2.1 Table 1 below details Safeguarding Activity compiled by London Borough of Merton. The data is taken from the Safeguarding Adults Performance Report which is part of the Adult Social Care Performance Framework and is based on safeguarding standards and performance (LGA & ADASS April 2012). The information was supplied by Shamal Vincent, Performance Manager, at London Borough of Merton. None of the cases open cases, (closed or open at the time of reporting) are deemed to require a Safeguarding Adult Review (SAR).

Table 1

Performance & Outcomes Measure		QTR1	QTR2	QTR3	QTR4
Safe (REF)	Number of safeguarding referrals. (YTD)	107			
Safe (AO)	Number of safeguarding cases closed as an alert only. (YTD)	37			
Safe (CI)	Number of cases closed as an investigation. (YTD)	41			
Safe (OC)	Number of safeguarding cases open.	15			

3. MENTAL CAPACITY ACT (MCA) and DEPRIVATION OF LIBERTY SAFEGUARDS (DoLS)

The table below shows the number of DoLS referrals received by the Merton LA DoLS department in quarter 1.

3.1 Referrals received by month

Table 2:
Quarter 1 DoLS statistics.

April	May	June
59	64	61

The DoLS breakdown according to groups are;

- Learning Disabilities = 35
- MH =121
- Physical Disability = 24

The LA, at the time of writing this report has not been able to explain the discrepancy in these figures. A total of 4 are not accounted for.

There were 18 referred cases in Q1 that on initial assessment did not require a full assessment and were abandoned.

DoLS figures for Q1 related to CCG funded cases as follows:

- April Referral = 3
- May Referrals = 5
- June Referrals = 4

Of these 12 cases 10 had a full assessment 2 were abandoned following initial assessment.

Breakdown for groups was;

- Learning Disability = 1
- Physical Disability = 3
- Mental Health = 6

- 2 were declined

4. SAFEGUARDING ACTIVITY THIS QUARTER WITHIN MERTON CCG

4.1 On 13th May, MCCG was made aware of a high media profile homicide case involving one of our providers, Inmind Southleigh. This prompted a visit to the provider on 16th May, to offer support to the team and to check on MCCG's 5 other clients at the hospital. The CCG will be involved as the lead commissioner for SWLSTG Mental Health Trust in the serious case review of this incident.

4.2 MCCG completed a self-assessment Safeguarding Adults at Risk audit tool this quarter.

The audit is a two-part process:

- Completion of the self-assessment audit.
- A safeguarding adult board challenge and support event (away day) that took place in May, where the audit was presented to our Merton colleagues.

4.3 The audit has been developed by the London Chairs of Safeguarding Adults Board (SABs) network and NHS England. It reflects NHS England's Safeguarding Adults and Children's Deep Dive audit presented to NHS England in November 2015. Its purpose is to provide the SAB with an overview of Safeguarding Adult arrangements within MCCG and across the wider locality identifying:

- Strengths, in order for good practice to be shared
- Common areas of improvement where organisations can work together with support from the SAB
- Single agency issues that need to be addressed
- Partnership issues that may need to be addressed by the SAB

4.4 The audit has been presented to MCQC and was generally assured as good. One area identified that required action is the lack of a multi-agency information sharing agreement document. Work to remedy this is under way by Merton SAB and is expected to be complete in quarter 2 following sign off by Information Governance team at MCCG.

4.5 The intention is to repeat this audit annually.

The DASM started formal Clinical supervision on 8th April. This will provide support and an opportunity for discussion, reflection, advice and development. This will help to improve practice and maintain continuing professional development.

4.6 SW London Safeguarding Adults Leads support group forum met on 13th May and will continue to meet every quarter. This forum is designed to identify and share best practice.

4.7 A Safeguarding Adults and Children audit took place on 22nd April led by RSM Risk Assurance Services. The audit looked in detail at the two areas of work in MCCG. Shortcomings were noted in three areas.

- Although the CCG has formally adopted the latest pan London policy and is currently reviewing the Safeguarding Adults at Risk Policy and Procedure, this was not yet complete. This review is expected to be completed in quarter 2.
- The formal action plan had not yet been developed to address the areas assessed as Limited Assurance in the Deep Dive assurance by NHS England. Work is in progress to develop this plan and will be incorporated into the DASM's appraisal system, to be implemented and reported in quarter 2.
- At the time of the review the CCG had no system in place to ensure that providers of healthcare understand the MCA toolkit and apply it to practise and monitor compliance. The toolkit is only recommended and not compulsory. Providers are however monitored and assessed through Clinical Quality Review Groups and through visits to care homes.
- Merton Safeguarding Adults Board's away day took place at the Chaucer centre 24th May. MCCG's self-assessment audit was presented and challenged and well received. The day was chaired by Dr Adi Cooper and provided an excellent learning and networking day for the DASM. The main outcome from the away day is the plan to implement a multi-agency strategic implementation group that will report directly to the SAB. The main focus of this group will be to report on;
 - Safeguarding Adult Reviews (SAR)
 - Implementation of the care act, 'making safeguarding personal' recommendations

4.8 Merton's multi-agency Compact Board made up of Merton CCG Commissioner, DASM, LA and the local CQC Lead Inspector has continued to meet this quarter to discuss provider concerns and share soft intelligence. This meeting relates to health and social care providers and is on-going with the focus on safeguarding, quality, performance concerns and trends.

5 SAFEGUARDING ADULT AWARENESS TRAINING

5.1 This quarter a total number of 48 MCCG staff is recorded. This includes substantive and interim staff. At the time of writing 32 staff have completed Level 1 awareness training, 67%. The challenge has been the large number of interim staff recently joining the CCG

6 SAFEGUARDING IN COMMISSIONED SERVICES

4.1 MCCG role as lead commissioner for South West London and St George's Mental Health Trust (SWLStG) is now well established. MCCG's DASM has liaised with the Quality and Safeguarding Adults leads in the Trust and attended the Trust's Quality and

Governance meetings. This has helped forge good professional working relationships, and at the same time ensured that safeguarding adults remains firmly on their agenda.

4.2 The DASM has forged a good initial working relationship with the Head of safeguarding for the CCG's new community provider, Central London Community Health (CLCH) who's contract came into effect this quarter. CLCH will be expected to be represented at Merton SAB, and going forward the DASM looks forward to working with and supporting this new provider.

7 PREVENT

7.1 Prevent has continued to attract media attention across London and the UK. MCCG takes Prevent very seriously. The DASM has maintained close links with NHS England's dedicated Prevent team and represented the CCG at the Merton based Prevent/Channel panel on 13th April where there were four Merton cases being monitored by the Metropolitan police.

7.2 MCCG's DASM has built up a good partnership relationship with local Metropolitan Police Prevent and Channel liaison and engagement officers. Further Prevent awareness training for MCCG staff in partnership with MCCG's Children's safeguarding lead is in the planning stage for quarter 2.

7.3 MCCG submitted the quarterly statistical returns on 4th April to the NHSE Prevent team relating to staff training and Prevent concerns/ referrals. MCCG received no direct referrals for this quarter.

7.4 MCCG's DASM has continued to promote and remind staff that we all have a duty by law to act to prevent radicalisation. This duty, introduced as part of the Counter-Terrorism and Security Act 2015, requires health bodies as well as schools, local authorities, prisons and police to have 'due regard to preventing people from being drawn into terrorism'.

7.5 Key elements of this work for MCCG is to raise awareness of the requirements among staff by training; there is an accredited e learning package that has been promoted to staff, and by quarter 2 Prevent will be included in the mandatory safeguarding adults awareness e-learning training.

7.6 Merton CCG's DASM has attended two meetings of the Channel panel this quarter, providing clinical input into a multi-agency forum that receives and discusses local Prevent referrals.

8 CONCLUSION

8.1 MCCG continues to maintain its statutory obligations and focus on safeguarding adults within the Merton health economy. It recognises that safeguarding adults at risk needs to become embedded in all the work that is undertaken within the organisation with adults who need care and support. The CCG's overarching goal is to prevent

abuse and neglect from occurring. To achieve this goal safeguarding adults at risk has to become everybody's business.