



REPORT TO THE MERTON CLINICAL COMMISSIONING GROUP GOVERNING BODY

Date of Meeting: 30th November 2017

Agenda No: 8.1

Attachment: 09

Title of Document: Summary Report of the Merton CCG Audit & Governance Committee meeting held on 5 th June 2017.	Purpose of Report: To Receive and Note
Report Author: Tony Foote, Board Secretary, NELCSU.	Lead Director: Neil McDowell Chief Finance Officer
Executive Summary: This report summarises the key items discussed at the Audit & Governance Committee meeting held on 5th June 2017. Note: this summary is not intended to replace the formal minutes of this meeting. Those minutes are available upon request.	
Key sections for particular note (paragraph/page), areas of concern etc: All of the summarised minutes. .	
Recommendation(s): The Merton Clinical Commissioning Group Governing Body is requested to note the contents of the summarised minutes. .	
Committees which have previously discussed/agreed the report: The Audit and Governance Committee has approved the full minutes of these meetings.	
Financial Implications: Only any detailed within the meeting summaries.	
Implications for CCG Governing Body: N/A	
How has the Patient voice been considered in development of this paper: N/A	
Other Implications: N/A	
Equality Analysis: N/A	
Information Privacy Issues: N/A	
Communication Plan: All documents appearing on Part 1 of the Governing Body meeting will be accessible via the CCG's website.	



**MERTON CLINICAL COMMISSIONING GROUP
AUDIT & GOVERNANCE COMMITTEE
5th June 2017**

Members Present:	
Peter Derrick (PD)	Lay Member and Chair of the Audit & Governance Committee
Clare Gummatt (CG)	Lay Member, Patient & Public Engagement Lead
Prof. Stephen Powis (SP)	Secondary Care Consultant

1.	<p><u>Board Assurance Framework (BAF)</u> The BAF was still reporting against 2016/17 Corporate Objectives and there was concern at the delay in having the new objectives in place. JB recognised this concern but that all had to remain risk aware. It was agreed that the BAF should continue to be seen by the EMT on a monthly basis with ratings reviewed as a group.</p> <p>Although Julie Hall was unable to attend the meeting she had submitted two written questions that PD raised these on her behalf: (i) Was the Safeguarding GP was now in place; (ii) did the BAF require a risk specifically regarding St George's? The responses were: (i) a named GP for Children's safeguarding was now in place. However, JHe was currently checking to ensure that this was meeting the CCG's obligations. With regard to a named GP for Adult's safeguarding, there was no current expectation that the CCG would be appointing one. (ii) JB felt that St George's was already covered by existing risks.</p> <p>The Audit and Governance Committee confirmed: That the risks described represent the main strategic risks to the delivery of the CCG's plans; that the mitigating controls adequately increase the probability of the CCG delivering its plans; any gaps to mitigating controls or actions that would provide improved assurance of delivery to the Committee.</p>
2.	<p><u>Policies</u> The Committee approved the following updated policies:</p> <ul style="list-style-type: none"> - NHS Merton CCG Procurement Policy - NHS Merton CCG Financial Policies - NHS Merton CCG Scheme of Reservation and Delegation
3.	<p><u>Tender Waiver for SELDOC</u> This tender waiver had already been considered and approved by the Finance Committee and was now solely for note by the Audit and Governance Committee.</p> <p>The Committee acknowledged that the tender waiver was in response to circumstances that could not reasonably have been foreseen by the CCG and the timescale genuinely precluded a competitive process.</p>

4.	<p><u>Action Plan in response to the RSM internal audit of Conflicts of interest</u></p> <p>It was noted that the following actions were all either complete or in hand:</p> <ul style="list-style-type: none">- The current Col Policy should be available on the CCG's website- Conflicts of Interests to be a standing item on all CLCH contract monitoring meetings- All declarations are reflected in the Register of Interests- The Register to include the relevant dates of interests declared.
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