

Finance Report

Month 11 - As at 28th February 2014

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Recommendations

Note risks as per slide 15 and approve finance report.

Eleven months to 28th February 2014

- NHS Merton CCG is reporting achievement of plan after eleven months and is forecasting to meet its planned surplus of £2.1m at the end of the financial year.
- Acute commissioning is forecast to over perform by £4.6m for the full year. The full year forecast outturn has reduced from last month by £0.7m owing to a general reduction in elective activity reported at both St George's and Epsom and St Helier NHS Trusts.
- Non acute commissioning is forecast to under perform by £0.4m for the full year. This has worsened by £0.4m compared to previous month mainly owing to an increase in the full year forecast outturn for mental health and continuing care placements.
- QIPP - A year to date under achievement of £1.2m (18%) against plan is reported. Full year under achievement of £1.1m is forecast, which is 15% below target. The full year forecast has worsened from last month owing to lower than anticipated year to date activity for the long term conditions scheme.
- The Better Practice Payment Policy is above target both for the number and value of invoices paid in the month.
- To note:
 - Following arbitration on the learning disabilities dispute with Sutton CCG, an agreement has now been reached between the two CCGs. The arbitration decision was that the responsibility for the funding should sit with Sutton CCG, however, a phased approach to the transfer of funding has been agreed. Merton CCG will non recurrently transfer £600k to Sutton CCG. This has been funded from the South West London risk pool in 13/14.
 - NHS Property Services (NHS PS) have billed for 2013/14 costs based on allocations to CCGs, a proportion of these costs are included within SLAs with providers. We are currently liaising with NHS PS to resolve, as there is an element of double billing where costs have already been passed on to providers, this presents a risk to the CCG of circa £0.3m.
 - Two proposals are going to the Audit and Governance Committee for approval on the 19th March 14, both have been reflected in the forecast position as at Month 11:
 - 1) A proposed write off of inherited legacy fixed assets valued at £46k
 - 2) A proposed bad debt provision of £0.3m related to a dispute with the London Borough of Merton regarding recharge of the Community Services contract with Royal Marsden Foundation NHS Trust

BALANCED SCORECARD – FINANCE DOMAIN

| FINANCIAL PERFORMANCE | | | |
|-----------------------|--|--------------------------------|-----------------|
| No. | Indicator | Primary / Supporting Indicator | Self Assessment |
| 1 | Underlying recurrent surplus | Primary | 0.08% |
| 2 | Surplus - year to date (YTD) performance | Primary | 1% |
| 3 | Surplus - full year (FY) forecast | Primary | 1% |
| 4 | Management of 2% non recurrent funds within agreed processes | Supporting | No |
| 5 | QIPP - year to date delivery | Primary | 82.4% |
| 6 | QIPP - full year forecast | Primary | 85.4% |
| 7 | Activity trends - year to date | Supporting | |
| 8 | Activity trends - full year forecast | Supporting | |
| 9 | Running costs | Primary | £4,895 |
| 10 | Clear identification of risks against financial delivery and mitigations | Primary | Yes |

| Individual Indicator RAG rating threshold | | | |
|---|--|---|-------------------|
| Green | Amber/Green | Amber/Red | Red |
| >= 2% | 1% - 1.99% | 0% - 0.99% | < 0% |
| Variance <= 0.1% | 0.1% > variance <= 0.25% | 0.25% > variance < 0.5% | Variance => 0.5% |
| Variance <= 0.1% | 0.1% > variance <= 0.25% | 0.25% > variance < 0.5% | Variance => 0.5% |
| Yes | | | No |
| >= 95% of plan | >= 80% of plan | >= 50% of plan | < 50% of plan |
| >= 95% of plan | >= 80% of plan | >= 50% of plan | < 50% of plan |
| < 101% of plan | < 102% of plan | < 103% of plan | < 104% of plan |
| < 101% of plan | < 102% of plan | < 103% of plan | < 104% of plan |
| <= RCA | | | > RCA |
| Indicator met in full | Indicator partially met - limited uncovered risk | Indicator partially met - material uncovered risk | Indicator not met |

| FINANCIAL MANAGEMENT | | | |
|----------------------|--|--------------------------------|-----------------|
| No. | Indicator | Primary / Supporting Indicator | Self Assessment |
| 1 | Better Payment Practice Code - % payable within 30 days of receipt - Numbers | Supporting | 96% |
| 2 | Better Payment Practice Code - % payable within 30 days of receipt - Values | Supporting | 100% |
| 3 | Cash management - month end cash balance as % of cash drawn | Supporting | 4.81% |

| Individual Indicator RAG rating threshold | | | |
|---|-------------|-----------|-------|
| Green | Amber/Green | Amber/Red | Red |
| >= 95% | >= 85% | >= 80% | < 80% |
| >= 95% | >= 85% | >= 80% | < 80% |
| <= 5% | <= 10% | <= 15% | < 15% |

- The financial indicators are part of the CCG assurance framework used by NHS England.
- The overall financial performance and financial management performance is rated as amber red.
- Management of 2% non recurrent funds has been rag rated red based on recent guidance from NHS England as the funds have been used to offset acute over performance.
- The Better Practice Payment Code (BPPC) is above target in terms of volume and value of invoices.

INCOME AND EXPENDITURE POSITION

| Year to date | | | Feb-14 | Forecast | | |
|--------------|--------------|----------|--------------------------|--------------|--------------|----------|
| Budget | Actual | Variance | £000's | Budget | Actual | Variance |
| 189,737 | 189,737 | 0 | Income | 206,986 | 206,986 | 0 |
| 110,907 | 115,104 | -4,197 | Expenditure | 120,989 | 125,603 | -4,614 |
| 18,833 | 18,557 | 276 | Acute | 20,545 | 20,207 | 338 |
| 12,335 | 12,196 | 139 | Mental Health | 13,456 | 13,304 | 152 |
| 10,265 | 10,348 | -83 | Community | 11,198 | 11,264 | -66 |
| 20,305 | 20,876 | -571 | Other non acute | 22,151 | 22,780 | -629 |
| 3,109 | 3,022 | 87 | Prescribing | 3,392 | 3,253 | 139 |
| 6,388 | 6,285 | 103 | Primary Care* | 6,968 | 6,869 | 99 |
| 5,690 | 1,443 | 4,246 | Corporate and estate | 6,207 | 1,626 | 4,581 |
| 187,832 | 187,832 | 0 | Reserves | 204,906 | 204,906 | 0 |
| | | | Total Expenditure | | | |
| 1,906 | 1,906 | 0 | Surplus | 2,080 | 2,080 | 0 |

- YTD and FY surplus is on target
- Acute full year over performance is forecast at £4.6m – more detail on slides 6 to 10.
- Risks to the position are detailed on slide 15.

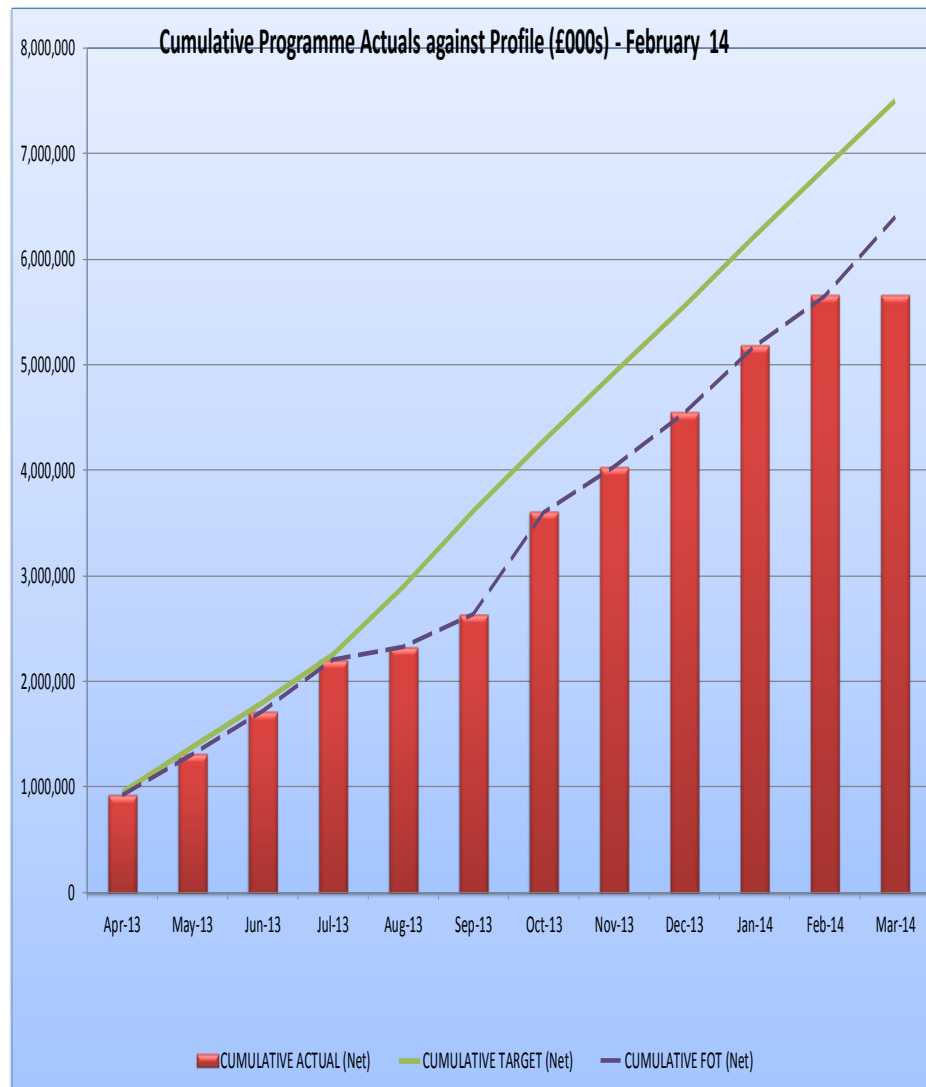
*Out of Hours, Local Enhanced Services, GP Led Health Centre and Collaborative Fees

QIPP

| Year to date | | | Feb-14 | Forecast (Net) | | |
|--------------|--------|----------|--------------------|----------------|--------|----------|
| Budget | Actual | Variance | £000's | Budget | Actual | Variance |
| | | | Schemes | | | |
| 2,653 | 3,444 | (791) | Transactional | 2,885 | 4,035 | (1,150) |
| 4,208 | 2,210 | 1,998 | Transformational | 4,615 | 2,369 | 2,246 |
| - | - | - | Other | - | - | - |
| 6,861 | 5,654 | 1,207 | Total QIPP | 7,500 | 6,404 | 1,096 |
| 6,861 | 6,861 | - | QIPP Target | 7,500 | 7,500 | - |
| 100% | 82% | 18% | % of Target | 100% | 85% | 15% |

Commentary

- The YTD performance is 18% below target owing to projects such as managing DVT in primary care, anti-coag and Norfolk lodge that have not started. There is also slippage in the prevention of admissions scheme, which started in October and delay in the start of the referral management scheme.
- The FY performance is 15% below target. This is mostly owing to cessation of the urgent care at home service in October, lower than forecast savings for the effective commissioning initiative and diabetes tier 3 scheme and delayed savings reported for the prevention of admissions scheme.
- There has been a worsening in the full year forecast position owing to the long term conditions scheme not achieving the expected savings. FY savings of £1.7m were forecast and only £1.1m have been achieved YTD.



ACUTE PERFORMANCE

Commentary

- The acute position as at Month 11 is based on Month 10 actual data extrapolated to Month 11.
- The year to date (YTD) position on acute is an over spend of £3.6m, mostly at St George's Trust, Epsom and St Helier's, Kingston NHS Trust and Queen Mary's Roehampton. St George's is over plan primarily owing to outpatient procedures, non elective, A&E and maternity. Epsom and St Helier's is over plan in non elective emergency and maternity. Kingston NHS Trust is over plan in non elective activity and critical care and Queen Mary's is over plan in elderly rehab.
- The FY position is an over spend of £4.6m.

| Acute Trust | Year to date - £m's | | | Full year - £'ms | | |
|--|---------------------|--------|----------|------------------|--------|----------|
| | Plan | Actual | Variance | Plan | Actual | Variance |
| St George's (excl QMH) | 50.39 | 51.41 | -1.0 | 55.0 | 56.5 | -1.5 |
| Epsom & St Helier (Acute and Renal Only) | 27.67 | 28.78 | -1.1 | 30.2 | 31.4 | -1.2 |
| Kingston | 8.05 | 8.57 | -0.5 | 8.8 | 9.4 | -0.7 |

| St George's Activity* | Annual Plan | Annual Forecast | % Variance | Epsom and St Helier's Activity* | Annual Plan | Annual Forecast | % Variance | Kingston Hospital Activity* | Annual Plan | Annual Forecast | % Variance |
|------------------------|-------------|-----------------|------------|---------------------------------|-------------|-----------------|------------|-----------------------------|-------------|-----------------|------------|
| A&E attendances | 35 | 32 | 9% | A&E attendances | 18 | 17 | -1% | A&E attendances | 7 | 7 | -3% |
| Elective spells | 18 | 17 | 6% | Elective spells | 6 | 5 | -7% | Elective spells | 1 | 2 | 27% |
| Emergency spells | 6 | 10 | -67% | Emergency Spells | 5 | 6 | 32% | Emergency Spells | 0 | 0 | 0% |
| Outpatient attendances | 73 | 78 | 7% | Outpatient attendances | 69 | 73 | 5% | Outpatient attendances | 13 | 15 | 11% |

*In 000's - Excludes day cases and maternity activity

STATEMENT OF CONTRACT POSITION - At Month 10 not Month 11

| Provider | Plan Annual | YTD Plan | YTD Actual | YTD Variance | ADJUSTMENTS/CHALLENGES | | | REVISED POSITION SLAM MONTH | | | COMPARISON TO LAST SLAM MONTH | |
|-----------------------------------|----------------|----------------|----------------|--------------|------------------------|--------------|--------------|-----------------------------|----------------|--------------|-------------------------------|----------------|
| | | | | | Adjustments | Other | KPIs | YTD Plan | YTD Actual | YTD Variance | Variance | Movement from |
| | | | | | Gum/Dental | Challenges | | Plan | Actual | Variance | Previous YTD extrapolated | Previous Month |
| | £000's | £000's | £000's | £000's | £000's | £000's | £000's | £000's | £000's | £000's | £000's | £000's |
| St George's | 54,966 | 50,385 | 53,496 | 3,110 | (807) | (264) | (911) | 50,385 | 51,514 | 1,128 | 1,550 | (422) |
| Epsom & St Helier (Acute & Renal) | 30,191 | 27,675 | 29,111 | 1,436 | (0) | (304) | (25) | 27,675 | 28,782 | 1,107 | 1,154 | (48) |
| Kingston Hospital | 8,778 | 8,044 | 8,678 | 634 | 0 | (60) | (45) | 8,044 | 8,574 | 530 | 611 | (81) |
| London Ambulance Service | 5,529 | 5,068 | 5,068 | 0 | 0 | 0 | 0 | 5,068 | 5,068 | 0 | 0 | 0 |
| Epsom & St Helier (SWLEOC) | 4,251 | 3,897 | 3,905 | 7 | 0 | 0 | 0 | 3,897 | 3,905 | 7 | 82 | (75) |
| Moorfields' Eye | 3,184 | 2,919 | 3,043 | 124 | 0 | 0 | 0 | 2,919 | 3,043 | 124 | 95 | 29 |
| Croydon University Hospital | 1,961 | 1,798 | 1,935 | 137 | (68) | (20) | 0 | 1,798 | 1,847 | 49 | 46 | 3 |
| Guy's & St Thomas' | 1,783 | 1,635 | 1,628 | (6) | (70) | 0 | 0 | 1,635 | 1,559 | (76) | (78) | 2 |
| Royal Marsden | 1,447 | 1,326 | 1,502 | 176 | 0 | 0 | 0 | 1,326 | 1,502 | 176 | 224 | (48) |
| Queen Mary's (Roehampton) | 1,362 | 1,249 | 1,641 | 393 | 0 | 0 | 0 | 1,249 | 1,641 | 393 | 425 | (33) |
| Chelsea & Westminster | 843 | 773 | 1,060 | 286 | 0 | (17) | 0 | 773 | 1,043 | 270 | 269 | 1 |
| Imperial College | 758 | 695 | 781 | 87 | (2) | (1) | (1) | 695 | 777 | 82 | 74 | 9 |
| University College London | 650 | 596 | 663 | 67 | (4) | 0 | 0 | 596 | 660 | 63 | 71 | (7) |
| King's College | 640 | 587 | 882 | 295 | (21) | 0 | 0 | 587 | 861 | 274 | 267 | 7 |
| Royal Brompton & Harefield | 393 | 360 | 301 | (59) | 0 | (0) | 0 | 360 | 301 | (60) | (61) | 2 |
| Bart's & the London | 374 | 342 | 246 | (96) | 0 | (4) | 0 | 342 | 242 | (100) | (97) | (3) |
| Royal Surrey County | 341 | 313 | 480 | 167 | 0 | 0 | 0 | 313 | 480 | 167 | 204 | (37) |
| Royal National Orthopaedic | 259 | 237 | 226 | (11) | 0 | 0 | 0 | 237 | 226 | (11) | (4) | (7) |
| Ashford & St Peter's | 98 | 90 | 75 | (14) | 0 | 0 | 0 | 90 | 75 | (14) | (12) | (2) |
| Royal Free | 98 | 90 | 126 | 36 | 0 | 0 | 0 | 90 | 126 | 36 | 36 | 0 |
| Lewisham | 85 | 78 | 43 | (34) | 0 | 0 | 0 | 78 | 43 | (34) | (36) | 1 |
| North West London | 80 | 74 | 114 | 41 | 0 | 0 | 0 | 74 | 114 | 41 | 34 | 6 |
| West Middlesex | 73 | 67 | 33 | (34) | 0 | (1) | 0 | 67 | 33 | (35) | (19) | (16) |
| Great Ormond Street | 48 | 44 | 107 | 62 | 0 | 0 | 0 | 44 | 107 | 62 | 62 | 0 |
| Total | 118,194 | 108,343 | 115,147 | 6,804 | (972) | (671) | (982) | 108,343 | 112,522 | 4,179 | 4,899 | (720) |

Commentary

The three highest value contracts will be discussed in further detail on slides 8 -10.

Other Contracts:

Moorfield's - YTD over performance of £0.1m is mostly owing to high cost devices.

Royal Marsden – YTD over performance of £0.2m is due to elective breast procedures mostly.

Queen Mary's – YTD over performance of £0.4m is due to high activity levels in the older people's service. YTD activity of 2,245 against planned activity of 1,550 is reported.

Chelsea & Westminster – YTD over performance of £0.3m is mostly owing to maternity.

Kings' College - YTD over performance of £0.3m is mainly due to adult critical care and maternity.

ST GEORGE'S NHS TRUST - PERFORMANCE At Month 10 not Month 11

| POD | Annual Plan Activity | YTD Plan Activity | YTD Actual Activity | YTD Variance Activity | FYO Actual Activity | FYO Variance Activity | Annual Plan £000s | YTD Plan £000s | YTD Actual £000s | YTD Challenge Actual £000s | YTD Variance £000s | FYO Actual £000s | FYO Challenge Actual £000s | FYO Variance £000s | FY QIPP £000s | |
|-----------------------|----------------------|-------------------|---------------------|-----------------------|---------------------|-----------------------|-------------------|----------------|------------------|----------------------------|--------------------|------------------|----------------------------|--------------------|---------------|--------------|
| Elective | 18 | 17 | 14 | (2) | 17 | (1) | 11,106 | 10,181 | 10,210 | (424) | (395) | 11,138 | (462) | (431) | | |
| Emergency | 6 | 5 | 6 | 0 | 10 | 4 | 15,324 | 14,047 | 14,259 | (500) | (288) | 15,555 | (546) | (314) | | |
| Non-Elective | 7 | 7 | 7 | 0 | 8 | 0 | 766 | 702 | 1,638 | (23) | 913 | 1,787 | (25) | 996 | 1,018 | |
| A&E | 35 | 32 | 29 | (3) | 32 | (3) | 3,249 | 2,979 | 3,408 | 211 | 641 | 3,718 | 231 | 699 | 979 | |
| Out Patient 1st | 31 | 28 | 31 | 3 | 34 | 3 | 4,936 | 4,524 | 5,132 | 0 | 608 | 5,598 | 0 | 663 | 526 | |
| Out Patient Follow Up | 36 | 33 | 34 | 2 | 37 | 2 | 3,912 | 3,586 | 3,685 | (170) | (72) | 4,020 | (186) | (78) | | |
| Out Patient Procedure | 7 | 6 | 7 | 1 | 7 | 1 | 1,309 | 1,200 | 1,288 | 0 | 88 | 1,406 | 0 | 96 | | |
| Critical Care | 3 | 2 | 3 | 0 | 3 | 0 | 3,535 | 3,240 | 2,674 | 0 | (567) | 2,917 | 0 | (618) | | |
| Direct Access | 562 | 516 | 672 | 156 | 733 | 170 | 1,480 | 1,357 | 1,405 | (109) | (60) | 1,533 | (119) | (66) | | |
| Drugs & Devices | 0 | 0 | 0 | 0 | 0 | 0 | 227 | 208 | 577 | (36) | 333 | 629 | (39) | 364 | | |
| Maternity Pathway | 4 | 4 | 4 | (0) | 4 | (0) | 6,433 | 5,897 | 6,598 | (807) | (107) | 7,197 | (881) | (117) | | |
| Unbundled Diagnostics | 6 | 5 | 7 | 2 | 8 | 2 | 837 | 767 | 849 | (51) | 32 | 926 | (55) | 34 | | |
| GUM | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| All Other Expenditure | 0 | 0 | 0 | 0 | 0 | 0 | 1,852 | 1,697 | 1,773 | (74) | 2 | 1,934 | (81) | 2 | | |
| Other Adjustments | | | | 0 | | 0 | | | | 0 | | | 270 | 270 | | |
| TOTAL | 714 | 655 | 813 | 0 | 158 | 892 | 178 | 54,966 | 50,385 | 53,496 | (1,982) | 1,128 | 58,359 | (1,892) | 1,501 | 2,523 |

Commentary

- Non elective is over performing YTD by £0.9m mainly owing to QIPP under achievement. Trauma & Orthopaedic and Clinical Infection Unit are marginally over performing.
- A&E is over performing YTD by £0.6m, partially owing to under achievement of QIPP and partially owing to the A&E under floor payments.
- Outpatient first attendances are over performing YTD by £0.6m owing to QIPP under achievement and specialities like dermatology and trauma and orthopaedics. Some of the over performance is offset by under performance in general surgery, cardiology and general medicine.
- Drugs and devices are over performing by £0.6m mainly due to the allocation of QIPP to this pod and over performance on chest medicine.
- The recording of maternity pathway has been amended to reflect one off cost of women in last financial year and a prepayment for women who have started the pathway in this financial year.

ST HELIER'S NHS TRUST - PERFORMANCE At Month 10 not Month 11

| POD | Annual Plan Activity | YTD Plan Activity | YTD Actual Activity | YTD Variance Activity | FYO Actual Activity | FYO Variance Activity | Annual Plan £000s | YTD Plan £000s | YTD Actual £000s | YTD Challenge Actual £000s | YTD Variance £000s | FYO Actual £000s | FYO Challenge Actual £000s | FYO Variance £000s | FY QIPP £000s |
|-----------------------|----------------------|-------------------|---------------------|-----------------------|---------------------|-----------------------|-------------------|----------------|------------------|----------------------------|--------------------|------------------|----------------------------|--------------------|---------------|
| Elective | 6 | 5 | 5 | (0) | 5 | (0) | 5,073 | 4,650 | 4,222 | 0 | (428) | 4,606 | 0 | (467) | |
| Emergency | 5 | 4 | 6 | 1 | 6 | 2 | 6,444 | 5,907 | 6,802 | (32) | 863 | 7,420 | (35) | 942 | 600 |
| Non-Elective | 2 | 1 | 1 | (1) | 1 | (1) | 902 | 827 | 936 | 0 | 109 | 1,021 | 0 | 119 | |
| A&E | 18 | 16 | 16 | (0) | 17 | (0) | 1,801 | 1,651 | 1,661 | 0 | 10 | 1,813 | 0 | 11 | |
| Out Patient 1st | 46 | 42 | 45 | 3 | 49 | 3 | 4,003 | 3,669 | 3,935 | (92) | 174 | 4,293 | (100) | 190 | |
| Out Patient Follow Up | 18 | 17 | 17 | (0) | 18 | (0) | 1,888 | 1,731 | 1,578 | 0 | (153) | 1,721 | 0 | (167) | |
| Out Patient Procedure | 5 | 5 | 6 | 1 | 6 | 1 | 861 | 789 | 1,005 | (0) | 215 | 1,096 | (1) | 234 | |
| Critical Care | 0 | 0 | 0 | 0 | 1 | 0 | 654 | 600 | 684 | 0 | 85 | 746 | 0 | 92 | |
| Direct Access | 762 | 698 | 727 | 28 | 793 | 31 | 3,426 | 3,140 | 3,158 | 7 | 24 | 3,445 | 8 | 27 | |
| Drugs & Devices | 0 | 0 | 0 | 0 | 0 | 0 | 208 | 191 | 326 | (72) | 63 | 355 | (78) | 69 | |
| Maternity Pathway | 2 | 2 | 3 | 1 | 4 | 2 | 2,819 | 2,584 | 3,003 | (73) | 345 | 3,275 | (80) | 377 | |
| Unbundled Diagnostics | 14 | 12 | 8 | (4) | 9 | (4) | 1,018 | 933 | 811 | 0 | (122) | 885 | 0 | (133) | |
| GUM | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| All Other Expenditure | 0 | 0 | 0 | 0 | 0 | 0 | 1,094 | 1,002 | 991 | (67) | (79) | 1,081 | (74) | (86) | |
| Other Adjustments | | | | 0 | | 0 | | | | 0 | 0 | | 0 | 0 | |
| TOTAL | 877 | 804 | 834 | 0 | 30 | 909 | 30,191 | 27,675 | 29,111 | (330) | 1,107 | 31,758 | (360) | 1,207 | 600 |

Commentary

- YTD over performance of £0.9m in emergency is owing to under achievement of QIPP. Other areas where over performance is reported is general medicine and Trauma & Orthopaedics.
- Outpatient first appointments is reporting YTD over performance of £0.2m, which is partially offset by under-performance on follow-ups. The over performance in outpatients is driven by Gynaecology, Orthopaedic and Ophthalmology.
- Outpatient procedures are reporting an over spend of £0.2m.
- Drugs and devices are over spent by £0.1m YTD, which is driven by increase in Cytokine Modulators and drugs affecting the immune response which were not planned for.
- Maternity pathway is over performing by £0.3m due to incorrect setting of plan.

KINGSTON NHS TRUST - PERFORMANCE At Month 10 not Month 11

| POD | Annual Plan Activity | YTD Plan Activity | YTD Actual Activity | YTD Variance Activity | FYO Actual Activity | FYO Variance Activity | Annual Plan £000s | YTD Plan £000s | YTD Actual £000s | YTD Challenge Actual £000s | YTD Variance £000s | FYO Actual £000s | FYO Challenge Actual £000s | FYO Variance £000s | FY QIPP £000s |
|-----------------------|----------------------|-------------------|---------------------|-----------------------|---------------------|-----------------------|-------------------|----------------|------------------|----------------------------|--------------------|------------------|----------------------------|--------------------|---------------|
| Elective | 1 | 1 | 1 | 0 | 2 | 0 | 1,128 | 1,024 | 1,081 | (0) | 57 | 1,194 | (0) | 66 | 22 |
| Emergency | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Non-Elective | 1 | 1 | 1 | (0) | 1 | (0) | 2,213 | 2,013 | 2,121 | (8) | 100 | 2,330 | (9) | 109 | |
| A&E | 7 | 6 | 6 | (0) | 7 | (0) | 719 | 664 | 656 | (7) | (15) | 711 | (8) | (17) | |
| Out Patient 1st | 9 | 8 | 9 | 1 | 10 | 1 | 1,050 | 962 | 1,018 | (2) | 54 | 1,110 | (2) | 59 | 34 |
| Out Patient Follow Up | 4 | 4 | 5 | 0 | 5 | 1 | 457 | 421 | 478 | (10) | 47 | 519 | (11) | 51 | |
| Out Patient Procedure | 0 | 0 | 0 | (0) | (0) | (0) | 7 | 6 | 6 | 0 | (0) | 7 | 0 | (0) | |
| Critical Care | 0 | 0 | 0 | 0 | 0 | 0 | 70 | 63 | 200 | 0 | 137 | 219 | 0 | 150 | |
| Direct Access | 3 | 3 | 42 | 39 | 45 | 43 | 33 | 30 | 118 | (2) | 85 | 128 | (2) | 93 | |
| Drugs & Devices | 0 | 0 | 0 | 0 | 0 | 0 | 232 | 214 | 260 | (5) | 41 | 282 | (6) | 44 | |
| Maternity Pathway | 1 | 1 | 1 | (0) | 1 | (0) | 2,472 | 2,279 | 2,265 | (33) | (46) | 2,458 | (36) | (50) | |
| Unbundled Diagnostics | 1 | 1 | 2 | 1 | 2 | 1 | 111 | 102 | 182 | (34) | 45 | 198 | (37) | 49 | |
| GUM | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| All Other Expenditure | 0 | 0 | 1 | 0 | 0 | 0 | 286 | 266 | 295 | (3) | 25 | 313 | (3) | 24 | |
| Other Adjustments | | | | 0 | | 0 | | | | 0 | 0 | | 90 | 90 | |
| TOTAL | 28 | 26 | 68 | 0 | 42 | 74 | 8,778 | 8,044 | 8,678 | (105) | 530 | 9,469 | (24) | 668 | 56 |

Commentary

- Emergency activity is included within non elective activity in month 10.
- YTD over performance on non elective activity has reduced by £0.1m compared to last month. The over performance is due to emergency activity and is driven by an over spend on general medicine.
- Critical care is over performing by £0.1m.
- Direct access is showing a slight over spend owing to pathology tests.
- Outpatient patient follow up over performance is owing to dermatology.
- Unbundled diagnostics is over performing, this is driven by ultrasound scans.

NON ACUTE PERFORMANCE

| Year to date | | | Feb-14 | Forecast | | |
|---------------|---------------|------------|------------------------------|---------------|---------------|------------|
| Budget | Actual | Variance | £000's | Budget | Actual | Variance |
| 14,170 | 14,559 | -389 | Mental Health: | 15,458 | 15,838 | -380 |
| 3,446 | 3,102 | 344 | SWL St Georges MHT | 3,759 | 3,384 | 375 |
| 1,217 | 896 | 321 | Placements | 1,328 | 985 | 343 |
| 18,833 | 18,557 | 276 | Other | 20,545 | 20,207 | 338 |
| | | | Total Mental Health | | | |
| 1,046 | 1,023 | 23 | Learning Disabilities | 1,141 | 1,117 | 24 |
| 11,837 | 11,887 | -50 | Community: | 12,913 | 12,974 | -61 |
| 6,135 | 6,313 | -178 | Community Services SLA | 6,693 | 6,887 | -194 |
| 3,581 | 3,320 | 261 | Continuing Care | 3,907 | 3,590 | 317 |
| 21,554 | 21,521 | 33 | Other | 23,513 | 23,451 | 62 |
| | | | Total Community | | | |
| 41,433 | 41,101 | 332 | Total Non Acute | 45,199 | 44,775 | 424 |

Commentary – Community Services and Continuing Care

- A YTD and FY over spend of £0.2m is reported on continuing health care placements. The FY position comprises of an under spend of £0.4m on funded nursing care and jointly funded placements offset by a cost pressure of £0.6m on continuing health care placements. The FY forecast position has worsened by £68k compared to previous month owing to the correct re charge for block bed arrangements being established.

Commentary – Mental Health and Learning Disabilities

- A YTD and FY over spend of £0.4m is reported on the South West London St George's Mental Health contract. This is owing to the QIPP project relating to Norfolk Lodge not starting this year.
- A YTD under spend of £0.3m and a FY forecast under spend of £0.4m is reported on mental health placements. The FY forecast has worsened by £0.2m compared to previous month owing to additional placements.
- The 'other' mental health under spend relates to lower costs in some areas such as advocacy services and mental health enquiries.

Commentary – Other community

- The 'other' community services include end of life care services, home oxygen service, urgent and intermediate care, podiatry, integrated community equipment service, musculoskeletal and children's services.
- £0.2m of the FY under spend of £0.3m relates to a delay in the spend of musculoskeletal services as a review of the pathway has been commissioned this year. The FY forecast spend on the home oxygen service and podiatry is also lower than planned owing to low levels of activity.

PRESCRIBING AND PRIMARY CARE

| Year to date | | | Feb-14 | Forecast | | |
|---------------|---------------|--------------|---|---------------|---------------|--------------|
| Budget | Actual | Variance | £000's | Budget | Actual | Variance |
| 20,305 | 20,876 | -571 | Prescribing: | | | |
| | | | Prescribing | 22,151 | 22,780 | -629 |
| 20,305 | 20,876 | -571 | Total Prescribing | 22,151 | 22,780 | -629 |
| | | | Primary Care: | | | |
| 509 | 529 | -20 | Local enhanced services | 555 | 549 | 7 |
| 794 | 866 | -72 | GP led health centre | 866 | 945 | -79 |
| 413 | 354 | 59 | 111 service | 450 | 377 | 73 |
| 919 | 1,079 | -159 | Out of hours service | 1,003 | 1,177 | -174 |
| 475 | 194 | 281 | Other | 518 | 205 | 313 |
| 3,109 | 3,022 | 87 | Total Primary Care | 3,392 | 3,253 | 139 |
| 23,414 | 23,898 | (484) | Total Prescribing & Primary Care | 25,543 | 26,033 | (490) |

Commentary – Local enhanced services and GP Led

- A minor YTD over performance is reported on Local Enhanced Services it is forecast the full year forecast will be close to break-even.
- The YTD over spend on local enhanced services is owing to greater than anticipated spend on phlebotomy, post operative wound care and menorrhagia local enhanced services, partially offset by year to date under spends on the anti coag and ultrasound LESs.
- The FY forecast over spend on the GP Led Health Centre has reduced from £0.2m last month to £0.1m owing to a further non recurrent IAT from NHS England of £0.1m following MCCG's request as the funding was incorrectly allocated.

Commentary – Prescribing

- The prescribing position is based on month 9 actual data extrapolated to month 11.
- A YTD and FY over spend of £0.6m is reported. NHS Business Services Authority forecasts are currently showing anomalies across all CCGs in prescribing and the issue is being raised at a London level.
- The YTD over performance is primarily owing to QIPP schemes relating to nutrition, dressings and branding that have not started this year.

Commentary – 111, Out of hours and Other

- YTD and FY under performance of £0.1m is reported on the 111 service. This is mostly owing to low levels of activity. The run rate for the under spend will reduce because of the step in provision, that has been procured with effect from 12th November.
- YTD and FY over performance of £0.2m is reported on the out of hours service. This is mostly due to procurement of an emergency step in provision with effect from 12th November following cessation of the contract with the current provider of the service.

STATEMENT OF FINANCIAL POSITION

STATEMENT OF FINANCIAL POSITION AS AT 28th FEBRUARY 2014

| | QTR 1 | QTR 2 | QTR 3 | Jan-14 | Feb-14 |
|---------------------------------------|--------------------|---------------------|--------------------|---------------------|---------------------|
| Property, Plant and Equipment | 0 | 0 | 0 | 45,898 | 62,898 |
| Accumulated Depreciation | 0 | 0 | 0 | 7,650 | 8,415 |
| Net PPE | 0 | 0 | 0 | 38,249 | 54,484 |
| Intangible Assets | 0 | 0 | 0 | 0 | 0 |
| Intangible Assets Depreciation | 0 | 0 | 0 | 0 | 0 |
| Net Intangible Assets | 0 | 0 | 0 | 0 | 0 |
| Investment Property | 0 | 0 | 0 | 0 | 0 |
| Non-Current Assets Held for Sale | 0 | 0 | 0 | 0 | 0 |
| Non-Current Financial Assets | 0 | 0 | 0 | 0 | 0 |
| Other Receivables Non-Current | 0 | 0 | 0 | 0 | 0 |
| Total Other Non-Current Assets | 0 | 0 | 0 | 0 | 0 |
| Non-Current Assets | 0 | 0 | 0 | 38,249 | 54,484 |
| Cash | 1,537,376 | 352,798 | 311,713 | 678,650 | 696,881 |
| Accounts Receivable | 5,161,253 | 2,206,202 | 4,063,051 | 3,500,906 | 3,674,321 |
| Inventory | 0 | 0 | 0 | 0 | 0 |
| Investments | 0 | 0 | 0 | 0 | 0 |
| Other Current Assets | 0 | 0 | 0 | 0 | 0 |
| Current Assets | 6,698,629 | 2,559,000 | 4,374,764 | 4,179,556 | 4,371,202 |
| TOTAL ASSETS | 6,698,629 | 2,559,000 | 4,374,764 | 4,217,805 | 4,425,685 |
| Accounts Payable | 1,386,978 | 10,744,633 | 11,405,080 | 14,700,145 | 9,609,354 |
| Accrued Liabilities | 13,135,376 | 2,464,676 | 2,597,129 | 912,710 | 7,548,849 |
| Short Term Borrowing | 0 | 0 | 0 | 3 | 0 |
| Current Liabilities | 14,522,354 | 13,209,309 | 14,002,209 | 15,612,855 | 17,158,202 |
| Non-Current Payables | 0 | 0 | 0 | 0 | 0 |
| Non-Current Borrowing | 0 | 0 | 0 | 0 | 0 |
| Other Liabilities | 0 | 0 | 0 | 0 | 0 |
| Long Term Liabilities | 0 | 0 | 0 | 0 | 0 |
| General Fund | 0 | 0 | 0 | 0 | 0 |
| Share Capital | 0 | 0 | 0 | 0 | 0 |
| Revaluation Reserve | 0 | 0 | 0 | 0 | 0 |
| Donated Assets Reserve | 0 | 0 | 0 | 0 | 0 |
| Government Grants Reserve | 0 | 0 | 0 | 0 | 0 |
| Other Reserves | 0 | 0 | 0 | 0 | 0 |
| Retained Earnings incl. In Year | (7,823,725) | (10,650,309) | (9,627,445) | (11,395,050) | (12,732,517) |
| Total Taxpayers Equity | (7,823,725) | (10,650,309) | (9,627,445) | (11,395,050) | (12,732,517) |
| TOTAL EQUITY + LIABILITIES | 6,698,629 | 2,559,000 | 4,374,764 | 4,217,805 | 4,425,685 |

Commentary

- The cash position is as per the cash flow statement on slide 14.
- Accounts receivables balance has increased by £0.2m. The movement is represented by an increase in aged receivables of £0.4m and a decrease in prepayments of £0.2m.
- Accounts payables balance has decreased by £5.1m, this is owing to the accounts payable trial balance decreasing by £5.8m and an increase in non purchase order suspense of £0.7m.
- Accrued liabilities have increased by £6.6m. This includes a £4.3m increase in NHS accruals, a £2m increase in non NHS accruals and a £0.3m increase in Home Oxygen Therapy and Prescribing Prescription Authority drawdown.
- Legacy balances relating to fixed assets are now reflected in the position. £46k of IT equipment has been inherited by Merton CCG.

CASH MANAGEMENT AND BETTER PAYMENT PRACTICE POLICY - DRAFT

CASH FLOW STATEMENT AS AT 28th FEBRUARY 2014

| | QTR 1 | QTR 2 | QTR 3 | Jan-14 | Feb-14 | YTD |
|---|---------------------|--------------------|---------------------|--------------------|--------------------|---------------------|
| Net Income | -51,093,725 | -102,693,662 | -153,345,395 | -16,989,016 | -17,432,299 | -187,766,709 |
| Incr (decr) in depreciation expenses | 0 | 0 | 0 | 0 | 0 | 0 |
| (Incr) decr in accounts receivable | -5,161,253 | -2,206,202 | -4,063,051 | 562,145 | -173,415 | -3,674,321 |
| Incr (decr) in inventory | 0 | 0 | 0 | 0 | 0 | 0 |
| Incr (decr) in prepaid expenses | 0 | 0 | 0 | 0 | 0 | 0 |
| Incr (decr) in other current assets | 0 | 0 | 0 | 0 | 0 | 0 |
| Incr (decr) in accounts payable | 1,386,978 | 10,744,633 | 11,405,080 | 3,295,065 | -5,090,791 | 9,609,354 |
| Incr (decr) in accrued liabilities | 13,135,376 | 2,464,676 | 2,597,129 | -1,684,419 | 6,636,139 | 7,548,849 |
| Incr (decr) in other current liabilities | 0 | 0 | 0 | 0 | 0 | 0 |
| Operating cash flow | -41,732,624 | -91,690,556 | -143,406,237 | -14,816,225 | -16,060,366 | -174,282,827 |
| Incr (decr) in PPE | 0 | 0 | 0 | -45,898 | -17,000 | -62,898 |
| Incr (decr) in other assets | 0 | 0 | 0 | 7,650 | 765 | 8,415 |
| Investing cash flow | 0 | 0 | 0 | -38,248 | -16,235 | -54,483 |
| Incr (decr) in short term borrowing | 0 | 0 | 0 | 0 | 0 | 0 |
| Incr (decr) in long term debt | 0 | 0 | 0 | 0 | 0 | 0 |
| Incr (decr) in other liabilities | 0 | 0 | 0 | 0 | 0 | 0 |
| Incr (decr) in preferred stock | 0 | 0 | 0 | 0 | 0 | 0 |
| Incr (decr) in common stock | 0 | 0 | 0 | 0 | 0 | 0 |
| Incr (decr) in other equity capital | 43,270,000 | 84,370,000 | 130,470,000 | 13,400,000 | 14,247,711 | 158,117,711 |
| Net Parly Gen Fund-PPA Drawdown | 0 | 7,673,353 | 13,247,950 | 1,821,411 | 1,847,120 | 16,916,480 |
| Financing cash flow | 43,270,000 | 92,043,353 | 143,717,950 | 15,221,411 | 16,094,832 | 175,034,192 |
| Net cash flow | 1,537,376.00 | 352,798 | 311,713 | 366,938 | 18,230 | 696,881 |
| Opening cash balance | 0 | 0 | 0 | 311,713 | 678,651 | 0 |
| Closing cash balance | 1,537,376 | 352,798 | 311,713 | 678,651 | 696,881 | 696,881 |

| Period | Invoice Count | Invoice Count (Passed) | % Passed | BPPC Amount £000's | Invoice Amount (Passed) £000's | % Amount Passed |
|---------------------|---------------|------------------------|--------------|--------------------|--------------------------------|-----------------|
| Apr-13 | 49 | 49 | 100% | 14,583 | 14,583 | 100% |
| May-13 | 226 | 188 | 83% | 15,279 | 15,206 | 100% |
| Jun-13 | 439 | 304 | 69% | 16,015 | 15,310 | 96% |
| Jul-13 | 464 | 415 | 89% | 14,188 | 13,940 | 98% |
| Aug-13 | 673 | 533 | 79% | 16,834 | 16,396 | 97% |
| Sep-13 | 649 | 583 | 90% | 15,670 | 15,451 | 99% |
| Oct-13 | 841 | 800 | 95% | 18,247 | 16,582 | 91% |
| Nov-13 | 779 | 752 | 97% | 16,610 | 18,163 | 109% |
| Dec-13 | 767 | 746 | 97% | 16,513 | 16,588 | 100% |
| Jan-14 | 660 | 619 | 94% | 15,400 | 15,175 | 99% |
| Feb-14 | 730 | 699 | 96% | 17,450 | 17,401 | 100% |
| Cumulative % | 6277 | 5688 | 90.6% | 176,789 | 174,795 | 98.9% |

Commentary

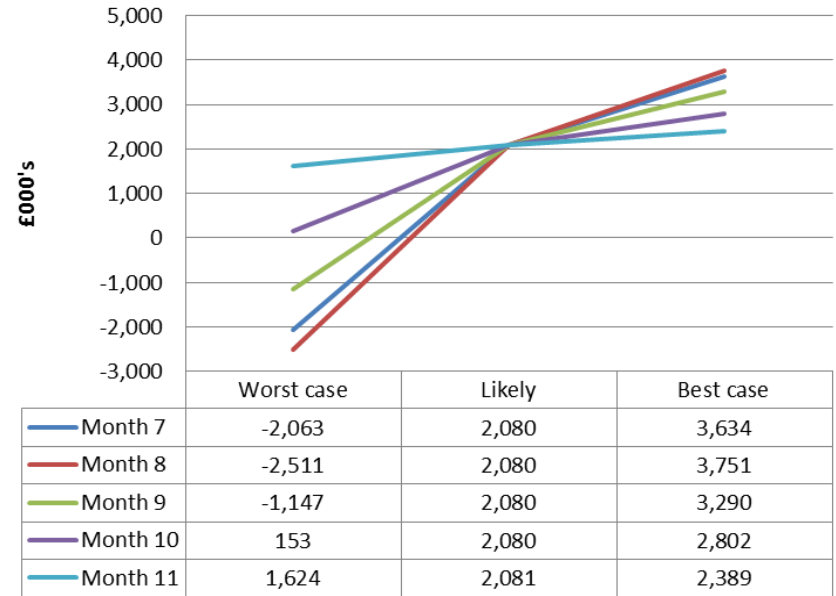
- The month end cash balance as at 28th February 2014 is 4.8% of the cash drawn.
- The Better Practice Payment Code (BPPC) is above target in terms of volume and value of invoices.

RISKS AND MITIGATIONS

Financial Risk Analysis: Month 11

| | Worst £000's | Likely £000's | Best £000's |
|------------------------------------|-----------------|------------------|----------------|
| Planned Surplus | 2,080 | 2,080 | 2,080 |
| 1 Risks | | | |
| 2 Acute SLAs | -500 | -177 | -44 |
| 3 Total Risks | -500 | -177 | -44 |
| 4 Mitigations | | | |
| 5 Non recurrent measures | 44 | 177 | 353 |
| 6 Total Mitigations | 44 | 177 | 353 |
| 7 Net risk | -456 | 0 | 309 |
| 8 Risk adjusted performance | 1,624 | 2,080 | 2,389 |

Financial risk analysis - Month 7 to Month 11



Commentary

- The Acute SLAs worst case risk of £0.5m relates to winter pressures. The likely scenario assumes 35% probability of the risk occurring.
- Non recurrent measures relate to two proposals going to the Audit and Governance Committee for approval in March, namely:
 - 1) A proposed write off of inherited legacy fixed assets valued at £46k
 - 2) A proposed bad debt provision of £0.3m related to a dispute with the London Borough of Merton regarding recharge of the Community Services contract with Royal Marsden Foundation NHS Trust

The write off and the bad debt provision have been reflected in the position and if not approved by the Audit and Governance Committee would result in a benefit to the position.

- The contingency fund (0.5%), SLA reserve, uncommitted funding from the 2% non recurrent fund, our contribution to the SWL risk pool and under spends on non acute budgets are offsetting the acute over performance and the shortfall in the third adjustment for specialised commissioning.

APPENDICES

| Appendix number | Description | Slide number |
|-----------------|-----------------------|--------------|
| 1 | Acute Commissioning | 17 |
| 2 | Mental Health | 18 |
| 3 | Other Non Acute | 19 |
| 4 | Primary Care | 20 |
| 5 | Corporate and Estates | 21 |
| 6 | Reserves | 22 |
| 7 | QIPP | 23 |

Acute Commissioning – Appendix 1

| Merton CCG - ACUTE COMMISSIONING | | Year to Date (February 14) | | | | Full Year Forecast | | | | |
|----------------------------------|--|----------------------------|----------------|----------------|----------------|--------------------|----------------|----------------|----------------|-------------|
| | | Plan | Actual | Var | % Var | Plan | Forecast | Var | % Var | |
| 1 | ACUTE TRUSTS | | | | | | | | | |
| 2 | ST GEORGE'S HEALTHCARE TRUST | 54,966 | 50,385 | 51,409 | -1,023 | -2% | 54,966 | 56,467 | -1,501 | -3% |
| 3 | EPSOM & ST. HELIER UNIVERSITY HOSPITALS NHS TRUST | 34,442 | 31,571 | 32,686 | -1,115 | -4% | 34,442 | 35,708 | -1,266 | -4% |
| 4 | LAS - EMERGENCY SERVICE CONTRACT | 5,529 | 5,068 | 5,068 | 0 | 0% | 5,529 | 5,529 | 0 | 0% |
| 5 | CROYDON UNIVERSITY HOSPITAL NHS TRUST | 1,961 | 1,798 | 1,847 | -49 | -3% | 1,961 | 1,786 | 176 | 9% |
| 6 | QUEEN MARYS ROEHAMPTON | 1,362 | 1,249 | 1,791 | -542 | -43% | 1,362 | 1,791 | -428 | -31% |
| 7 | IMPERIAL COLLEGE NHS TRUST | 758 | 695 | 777 | -82 | -12% | 758 | 848 | -90 | -12% |
| 8 | BARTS & THE LONDON NHS TRUST | 374 | 342 | 242 | 100 | 29% | 374 | 264 | 109 | 29% |
| 9 | THE ROYAL NATIONAL ORTHOPAEDIC HOSPITAL TRUST | 259 | 237 | 226 | 11 | 5% | 259 | 247 | 12 | 5% |
| 10 | LEWISHAM HOSPITAL NHS TRUST | 85 | 78 | 43 | 34 | 44% | 85 | 47 | 38 | 44% |
| 11 | NORTH WEST LONDON HOSPITALS TRUST | 80 | 74 | 114 | -41 | -55% | 80 | 125 | -44 | -55% |
| 12 | WEST MIDDLESEX NHS TRUST | 73 | 67 | 33 | 35 | 52% | 73 | 36 | 38 | 52% |
| 13 | FOUNDATION HOSPITAL TRUSTS | | | | | | | | | |
| 14 | KINGSTON NHS TRUST | 8,778 | 8,046 | 8,574 | -528 | -7% | 8,778 | 9,445 | -668 | -8% |
| 15 | MOORFIELDS EYE HOSPITAL | 3,184 | 2,919 | 3,043 | -124 | -4% | 3,184 | 3,320 | -135 | -4% |
| 16 | GUYS & ST THOMAS' HOSPITAL TRUST | 1,783 | 1,635 | 1,559 | 76 | 5% | 1,783 | 1,700 | 83 | 5% |
| 17 | THE ROYAL MARSDEN HOSPITAL TRUST | 1,447 | 1,326 | 1,502 | -176 | -13% | 1,447 | 1,689 | -242 | -17% |
| 18 | CHELSEA & WESTMINSTER HLTHCARE TRUST | 843 | 773 | 1,043 | -270 | -35% | 843 | 1,187 | -344 | -41% |
| 19 | UNIVERSITY COLLEGE LONDON HOSPITALS TRUST | 650 | 596 | 660 | -63 | -11% | 650 | 770 | -119 | -18% |
| 20 | KINGS HEALTHCARE TRUST | 640 | 587 | 861 | -274 | -47% | 640 | 989 | -349 | -55% |
| 21 | THE ROYAL BROMPTON & HAREFIELD HOSPITALS TRUST | 393 | 360 | 301 | 60 | 17% | 393 | 328 | 65 | 17% |
| 22 | ROYAL SURREY COUNTY & ST LUKE'S HOSPITAL TRUST | 341 | 313 | 480 | -167 | -53% | 341 | 524 | -182 | -53% |
| 23 | THE ROYAL FREE (HAMPSTEAD) NHS TRUST | 98 | 90 | 126 | -36 | -40% | 98 | 137 | -39 | -40% |
| 24 | ASHFORD & ST PETERS NHS TRUST | 98 | 90 | 75 | 14 | 16% | 98 | 82 | 16 | 16% |
| 25 | GREAT ORMOND ST HOSPITAL FOR CHILDREN NHS TRUST | 48 | 44 | 107 | -62 | -140% | 48 | 116 | -68 | -140% |
| 26 | NON-NHS | | | | | | | | | |
| 27 | BRITISH PREGNANCY ADVISORY SERVICE | 172 | 158 | 220 | 180 | 114% | 172 | 240 | -68 | -40% |
| 28 | MARIE STOPES INTERNATIONAL | 47 | 43 | 96 | 79 | 185% | 47 | 105 | -58 | -126% |
| 29 | GENERAL ACUTE COMMISSIONING | 118,412 | 108,544 | 112,882 | (3,963) | -4% | 118,412 | 123,479 | (5,067) | -4% |
| 30 | NCA's | | | | | | | | | |
| 31 | NCA | 1,942 | 1,780 | 1,519 | 261 | 15% | 1,942 | 1,405 | 536 | 28% |
| 32 | NON-NHS NON-CONTRACTED ACTIVITY | 358 | 328 | 328 | 0 | 0% | 358 | 310 | 48 | 13% |
| 33 | NCA's | 2,300 | 2,108 | 1,847 | 261 | 12% | 2,300 | 1,715 | 584 | 25% |
| 34 | NON-SLA & OTHER | | | | | | | | | |
| 35 | ESH - LASERCARE CONTRACT (SK!)/ DEXA (ST ANTHONY'S)/IN HEALTH (NHSE) | 50 | 46 | 193 | 65 | 142% | 50 | 210 | -160 | -319% |
| 36 | CHRONIC FATIGUE SYNDROME / LIQUID BASED CYTOLOGY / PATH FORMS (ESH) | 228 | 209 | 128 | 117 | 56% | 228 | 140 | 88 | 38% |
| 37 | DRUGS - IFR | 0 | 0 | 54 | -54 | 0% | 0 | 59 | -59 | 0% |
| 38 | NON-SLA / ACUTE OTHER | 278 | 255 | 375 | 129 | 50% | 278 | 409 | (131) | -47% |
| 39 | TOTAL ACUTE COMMISSIONING | 120,989 | 110,907 | 115,104 | (3,573) | -3% | 120,989 | 125,603 | (4,614) | -4% |

Mental Health – Appendix 2

| Merton CCG - NON ACUTE COMMISSIONING | | Year to Date (February 14) | | | | Full Year Forecast | | | | |
|--------------------------------------|---|----------------------------|---------------|---------------|------------|--------------------|---------------|---------------|------------|-----------|
| | | Plan | Actual | Var | % Var | Plan | Forecast | Var | % Var | |
| 1 | MENTAL HEALTH COMMISSIONING CONTRACTS | | | | | | | | | |
| 2 | SOUTH WEST LONDON AND ST GEORGES MENTAL HEALTH TRUST | 14,267 | 13,078 | 13,408 | -330 | -3% | 14,267 | 14,601 | -334 | -2% |
| 3 | SURREY BORDERS | 20 | 18 | 18 | 0 | -2% | 20 | 20 | 0 | 0% |
| 4 | CNWL MENTAL HEALTH NHS TRUST | 78 | 71 | 5 | 67 | 94% | 78 | 5 | 73 | 94% |
| 5 | SUSSEX FOUNDATION PARTNERSHIP - TIER 4 DETOX SERVICE | 325 | 298 | 289 | 9 | 3% | 325 | 315 | 10 | 3% |
| 6 | SOUTH LONDON & MAUDSLEY TRUST - SPECIALIST SERVICES | 28 | 25 | 0 | 25 | 100% | 28 | 30 | -2 | -6% |
| 7 | SOUTH LONDON & MAUDSLEY TRUST - XBF | 71 | 66 | 271 | -206 | -314% | 71 | 267 | -195 | -273% |
| 8 | JOINT AGENCY, VOLUNTARY AND INDEPENDENT SECTOR CONTRACTS | | | | | | | | | |
| 9 | CAMHS DEVELOPMENT FUND | 168 | 154 | 170 | -16 | -10% | 168 | 185 | -17 | -10% |
| 10 | MERTON VOLUNTARY SECTOR GRANT | 130 | 120 | 30 | 90 | 75% | 130 | 30 | 100 | 77% |
| 11 | ADVOCACY SERVICES | 235 | 215 | 42 | 173 | 80% | 235 | 46 | 189 | 80% |
| 12 | DEMENTIA SERVICES (Alzheimer's) | 135 | 124 | 54 | 70 | 56% | 135 | 68 | 68 | 50% |
| 13 | NAMED PATIENTS AND PLACEMENTS | | | | | | | | | |
| 14 | MENTAL HEALTH PLACEMENTS AND UNCONTRACTED ACTIVITY | 3,759 | 3,446 | 3,102 | 344 | 10% | 3,759 | 3,384 | 375 | 10% |
| 15 | OTHER MENTAL HEALTHCARE SERVICES | | | | | | | | | |
| 16 | TALKING THERAPIES IAPT | 1,191 | 1,092 | 1,151 | -59 | -5% | 1,191 | 1,236 | -45 | -4% |
| 17 | MH NSF IMPLEMENTATION COSTS | 6 | 5 | 2 | 3 | 62% | 6 | 6 | 0 | -5% |
| 18 | MH ENQUIRIES | 132 | 121 | 15 | 106 | 88% | 132 | 15 | 117 | 89% |
| 19 | TOTAL MENTAL HEALTH | 20,545 | 18,833 | 18,557 | 276 | 1% | 20,545 | 20,207 | 338 | 2% |

Other Non Acute – Appendix 3

| Merton CCG - NON ACUTE COMMISSIONING | | Budget (M11) | Year to Date (February 14) | | | | Full Year Forecast | | | |
|--------------------------------------|---|---------------|----------------------------|---------------|-------------|------------|--------------------|---------------|-------------|------------|
| | | | Plan | Actual | Var | % Var | Plan | Forecast | Var | % Var |
| 20 | MERTON ROAD LD | -100 | -92 | -170 | 78 | -85% | -100 | -169 | 69 | -69% |
| 21 | JOINT AGENCY (SECTION 256) AGREEMENTS | 0 | 0 | 0 | 0 | 100% | 0 | 0 | 0 | 100% |
| 22 | LEARNING DISABILITIES CONTINUING CARE | 1,241 | 1,137 | 1,193 | -56 | -5% | 1,241 | 1,286 | -45 | -4% |
| 23 | TOTAL PLD HEALTHCARE SERVICES | 1,141 | 1,046 | 1,023 | 23 | 2% | 1,141 | 1,117 | 24 | 2% |
| 24 | END OF LIFE - LOCAL ENHANCED SERVICE | 60 | 55 | 22 | 33 | 60% | 60 | 60 | 0 | 0% |
| 25 | NURSING - MARIE CURIE - CANCER | 96 | 88 | 63 | 24 | 28% | 96 | 71 | 25 | 26% |
| 26 | PROSPECT | 21 | 20 | 2 | 18 | 91% | 21 | 0 | 21 | 100% |
| 27 | TRINITY HOSPICE | 81 | 74 | 65 | 9 | 12% | 81 | 71 | 10 | 12% |
| 28 | ST RAPHAELS | 502 | 461 | 460 | 0 | 0% | 502 | 502 | 0 | 0% |
| 29 | SOUTH WEST LONDON CANCER NETWORK - SPECIALIST PALLIATIVE CARE | 170 | 156 | 189 | -33 | -21% | 170 | 170 | 0 | 0% |
| 30 | TOTAL END OF LIFE CARE AND HOSPICES | 930 | 853 | 801 | 51 | 6% | 930 | 874 | 56 | 6% |
| 31 | HOME OXYGEN SERVICE | 236 | 216 | 189 | 27 | 12% | 236 | 185 | 51 | 21% |
| 32 | TOTAL LONG TERM CONDITIONS | 236 | 216 | 189 | 27 | 12% | 236 | 185 | 51 | 21% |
| 33 | URGENT CARE | 106 | 97 | 83 | 14 | 15% | 106 | 90 | 16 | 15% |
| 34 | INTERMEDIATE CARE COMMISSIONING (BEDS) | 656 | 601 | 532 | 69 | 12% | 656 | 580 | 76 | 12% |
| 35 | TOTAL URGENT AND INTERMEDIATE CARE | 761 | 698 | 614 | 84 | 12% | 761 | 670 | 91 | 12% |
| 36 | COMMUNITY SERVICES | 12,488 | 11,447 | 11,497 | -50 | 0% | 12,488 | 12,549 | -61 | 0% |
| 37 | COMMUNITY SERVICES CQUIN RESERVE | 425 | 390 | 390 | 0 | 0% | 425 | 425 | 0 | 0% |
| 38 | PODIATRY | 125 | 115 | 75 | 40 | 35% | 125 | 75 | 50 | 40% |
| 39 | MERTON ICES | 255 | 234 | 234 | 0 | 0% | 255 | 255 | 0 | 0% |
| 40 | MERTON MUSCULOSKELETAL SERVICE | 163 | 149 | 0 | 149 | 100% | 163 | 0 | 163 | 100% |
| 41 | TOTAL COMMUNITY SERVICES | 13,456 | 12,335 | 12,196 | 139 | 1% | 13,456 | 13,304 | 152 | 1% |
| 42 | EPSOM & ST HELIER - PAEDIATRIC AND AUDIOLOGY CONTRACT | 674 | 618 | 511 | 107 | 17% | 674 | 556 | 118 | 18% |
| 43 | MERTON CHILDREN - SCPS | 648 | 594 | 766 | -172 | -29% | 648 | 836 | -188 | -29% |
| 44 | MERTON CHILDREN EQUIPMENT - SCPS | 34 | 32 | 46 | -14 | -45% | 34 | 50 | -16 | -45% |
| 45 | MERTON YOUTH OFFENDING TEAM | 36 | 33 | 42 | -9 | -26% | 36 | 46 | -10 | -26% |
| 46 | DESIGNATED DOCTOR FOR CHILD DEATH | 9 | 8 | 6 | 2 | 22% | 9 | 8 | 1 | 11% |
| 47 | SAFEGUARDING CHILDREN BOARD | 35 | 32 | 35 | -3 | -9% | 35 | 35 | 0 | 0% |
| 48 | TOTAL CHILDREN AND YOUNG PEOPLE | 1,437 | 1,317 | 1,407 | -89 | -7% | 1,437 | 1,531 | -94 | -7% |
| 49 | MERTON YOUNG PHYSICALLY DISABLED - SCPS | 1,478 | 1,355 | 1,355 | 0 | 0% | 1,478 | 1,616 | -138 | -9% |
| 50 | FUNDED NURSING CARE | 1,815 | 1,664 | 1,402 | 262 | 16% | 1,815 | 1,563 | 252 | 14% |
| 51 | JOINT FUNDED | 580 | 532 | 418 | 113 | 21% | 580 | 471 | 109 | 19% |
| 52 | INTERIM PLACEMENTS | 190 | 174 | 174 | 0 | 0% | 190 | 190 | 0 | 0% |
| 53 | CONTINUING CARE PLACEMENTS - MERTON | 2,630 | 2,411 | 2,964 | -553 | -23% | 2,630 | 3,047 | -417 | -16% |
| 54 | TOTAL CONTINUING CARE | 6,693 | 6,135 | 6,313 | -178 | -3% | 6,693 | 6,887 | -194 | -3% |
| 55 | TOTAL NON ACUTE COMMISSIONING | 45,199 | 41,433 | 41,101 | 332 | 1% | 45,199 | 44,775 | 425 | 1% |

Primary Care – Appendix 4

| Merton CCG - PRIMARY CARE | | Year to Date (February 14) | | | | Full Year Forecast | | | | |
|--------------------------------|---|----------------------------|---------------|---------------|--------------|--------------------|---------------|---------------|--------------|------------|
| | | Plan | Actual | Var | % Var | Plan | Forecast | Var | % Var | |
| LOCAL ENHANCED SERVICES | | | | | | | | | | |
| 1 | NES - NEAR PATIENT TESTING | 6 | 6 | 3 | 3 | 53% | 6 | 3 | 3 | 53% |
| 2 | LES - ATRIAL FIBRILATION | 0 | 0 | 6 | -6 | 0% | 0 | 6 | -6 | 0% |
| 3 | LES - ANTI COAG | 159 | 146 | 124 | 22 | 15% | 159 | 171 | -12 | -7% |
| 4 | LES - PHLEBOTOMY | 152 | 139 | 157 | -17 | -13% | 152 | 135 | 17 | 11% |
| 5 | LES - POST OPERATIVE WOUND CARE | 0 | 0 | 20 | -20 | 0% | 0 | 22 | -22 | 0% |
| 6 | LES - MENORRHAGIA | 54 | 50 | 97 | -47 | -95% | 54 | 80 | -26 | -48% |
| 7 | LES - GYNAECOLOGY | 74 | 68 | 56 | 11 | 17% | 74 | 59 | 15 | 20% |
| 8 | LES - UROLOGY | 26 | 24 | 24 | 0 | -1% | 26 | 26 | 0 | -1% |
| 9 | LES - DIABETES | 47 | 44 | 43 | 0 | 1% | 47 | 47 | 0 | 1% |
| 10 | LES - ULTRASOUND | 36 | 33 | 0 | 33 | 100% | 36 | 0 | 36 | 100% |
| OTHER PRIMARY CARE | | | | | | | | | | |
| 11 | GP - STATUTORY MEDICAL FEES | 100 | 92 | 85 | 7 | 8% | 100 | 84 | 16 | 16% |
| 12 | PMS PHYSIO | 16 | 15 | 0 | 15 | 100% | 16 | 0 | 16 | 100% |
| 13 | PMS COUNSELLORS | 17 | 15 | 0 | 15 | 100% | 17 | 0 | 17 | 100% |
| 14 | GPSI'S COMMISSIONING - MINOR SURGERY | 135 | 124 | 105 | 18 | 15% | 135 | 115 | 20 | 15% |
| 15 | DERMATOLOGY (prescribing costs only) | 62 | 57 | 0 | 57 | 100% | 62 | 2 | 60 | 100% |
| 16 | ECG | 39 | 35 | 0 | 35 | 100% | 39 | 0 | 39 | 100% |
| 17 | PMS INTERMEDIATE CARE | 32 | 29 | 0 | 29 | 100% | 32 | 0 | 32 | 100% |
| 18 | OPHTHALMOLOGY (LOW VISION SERVICE) | 117 | 107 | 4 | 103 | 96% | 117 | 4 | 113 | 97% |
| 19 | GP LED HEALTH CENTRE - UNREGISTERED APPOINTMENT | 866 | 794 | 866 | -72 | -9% | 866 | 945 | -79 | -9% |
| OOH AND 111 | | | | | | | | | | |
| 20 | 111 CONTRACT | 450 | 413 | 354 | 59 | 14% | 450 | 377 | 73 | 16% |
| 21 | PMS - OUT OF HOURS | 1,003 | 919 | 1,079 | -159 | -17% | 1,003 | 1,177 | -174 | -17% |
| 22 | TOTAL PRIMARY CARE | 3,392 | 3,109 | 3,022 | 87 | 3% | 3,392 | 3,253 | 139 | 7 |
| PRESCRIBING | | | | | | | | | | |
| 24 | DRUGS BILL CHARGES | 488 | 447 | 592 | -145 | -32% | 488 | 634 | -147 | -30% |
| 25 | MEDICINES MANAGEMENT SERVICE PROGRAMME | 9 | 8 | 8 | 0 | 1% | 9 | 9 | 0 | -2% |
| 26 | DRUGS COSTS | 21,571 | 19,773 | 20,204 | -430 | -2% | 21,571 | 22,058 | -487 | -2% |
| 27 | SCRIPT SWITCH | 84 | 77 | 72 | 5 | 6% | 84 | 79 | 4 | 5% |
| 28 | TOTAL PRESCRIBING | 22,151 | 20,305 | 20,876 | -571 | -3% | 22,151 | 22,780 | -629 | 0 |
| 29 | TOTAL PRIMARY CARE | 25,543 | 23,414 | 23,898 | (484) | -2% | 25,543 | 26,033 | (490) | -2% |

Corporate – Appendix 5

| Merton CCG - CORPORATE & ESTATE COSTS | | Year to Date (Febrary 14) | | | | Full Year Forecast | | | | |
|---------------------------------------|---|---------------------------|--------|-------|-------|--------------------|----------|-------|-------|------|
| | | Plan | Actual | Var | % Var | Plan | Forecast | Var | % Var | |
| | Budget (M11) | | | | | | | | | |
| 1 | CORPORATE COSTS | | | | | | | | | |
| 2 | CCG STAFF RUNNING COSTS | 1,842 | 1,688 | 2,198 | -510 | -30% | 1,842 | 2,398 | -556 | -30% |
| 3 | CCG OTHER RUNNING COSTS | 878 | 805 | 237 | 568 | 71% | 878 | 258 | 620 | 71% |
| 4 | CSU RUNNING COSTS | 2,241 | 2,054 | 2,052 | 1 | 0% | 2,241 | 2,239 | 2 | 0% |
| 5 | GP IT (SLCSU) | 548 | 502 | 503 | 0 | 0% | 548 | 548 | 0 | 0% |
| 6 | CONTINUING CARE TEAM (SLCSU) | 326 | 299 | 309 | -11 | -4% | 326 | 326 | 0 | 0% |
| 8 | ISPS (SLCSU) | 25 | 23 | 23 | 0 | 0% | 25 | 25 | 0 | 0% |
| 9 | INFECTION CONTROL (SLCSU) | 30 | 27 | 27 | 0 | 0% | 30 | 34 | -4 | -15% |
| 10 | MEDICINES MANAGEMENT TEAM | 378 | 347 | 266 | 80 | 23% | 378 | 306 | 72 | 19% |
| 11 | SAFEGUARDING | 90 | 83 | 82 | 1 | 1% | 90 | 85 | 5 | 6% |
| 12 | INTERPRETING SERVICES | 30 | 28 | 14 | 14 | 50% | 30 | 23 | 7 | 23% |
| 13 | TOTAL CORPORATE COSTS | 6,387 | 5,854 | 5,711 | 144 | 1 | 6,387 | 6,242 | 145 | 1 |
| 14 | ESTATE COSTS | | | | | | | | | |
| 15 | PROPERTY RUNNING COSTS | 582 | 534 | 575 | -41 | -8% | 582 | 627 | -45 | -8% |
| 16 | TOTAL ESTATE COSTS | 582 | 534 | 575 | -41 | 0 | 582 | 627 | -45 | 0 |
| 17 | TOTAL CORPORATE AND ESTATE COSTS | 6,969 | 6,388 | 6,285 | 103 | 2% | 6,969 | 6,869 | 100 | 1% |

Reserves – Appendix 6

| Merton CCG - Reserves & Other | Budget (M11) | Year to Date (Febraury 14) | | | | Full Year Forecast | | | |
|-------------------------------------|--------------|----------------------------|--------------|--------------|------------|--------------------|--------------|--------------|------------|
| | | Plan | Actual | Var | % Var | Plan | Forecast | Var | % Var |
| 1 RESERVES AND OTHER | | | | | | | | | |
| 2 CCG SLA RESERVE | 4,095 | 3,754 | 0 | 3,754 | 100% | 4,095 | 0 | 4,095 | 100% |
| 3 CONTINGENCY (0.5%) | 1,040 | 953 | 0 | 953 | 100% | 1,040 | 0 | 1,040 | 100% |
| 4 NON RECURRENT FUND (2%) | 4,160 | 3,814 | 1,443 | 2,370 | 62% | 4,160 | 1,626 | 2,535 | 61% |
| 5 FUNDS TRANSFERRED TO LA IN ERROR | -637 | -584 | 0 | -584 | 100% | -637 | 0 | -637 | 100% |
| 5 SPECIALISED COMMISSIONING RESERVE | -2,452 | -2,248 | 0 | -2,248 | 100% | -2,452 | 0 | -2,452 | 100% |
| 6 TOTAL RESERVES AND OTHER | 6,207 | 5,690 | 1,443 | 4,246 | 75% | 6,207 | 1,626 | 4,581 | 74% |

| BY QIPP CATEGORY 2 | GROSS SAVINGS | | | | | | NET SAVINGS | | | | | | NET FOT (RAG RATED) (£000s) | | | |
|--|------------------------------|---------------------|--------------------|-----------------------|-------------------|----------------------|---------------------|--------------------|----------------------|-------------------|--------------------|---------------------|-----------------------------|--------------------|-----------------------|------------------|
| | Gross Savings Target (£000s) | Planned YTD (£000s) | Actual YTD (£000s) | Variance YTD (£000's) | Gross Savings FOT | Costs Target (£000s) | Planned YTD (£000s) | Actual YTD (£000s) | Variance YTD (£000s) | Costs FOT (£000s) | Net Savings Target | Planned YTD (£000s) | | Actual YTD (£000s) | Variance YTD (£000's) | Variance (£000s) |
| Mental Health | 1,425 | 1,348 | 1,401 | 53 | 35 | 125 | 115 | 47 | (67) | (75) | 1,300 | 1,233 | 1,353 | 120 | 110 | G |
| Acute Sector | 745 | 683 | 1,522 | 839 | 1,220 | - | - | - | - | - | 745 | 683 | 1,522 | 839 | 1,220 | G |
| Primary Care Productivity | 50 | 46 | 137 | 91 | 100 | - | - | - | - | - | 50 | 46 | 137 | 91 | 100 | G |
| Community support services | 680 | 639 | 724 | 85 | 85 | - | - | - | - | - | 680 | 639 | 724 | 85 | 85 | G |
| Activity shifts | 30 | 28 | 73 | 46 | 48 | - | - | - | - | - | 30 | 28 | 73 | 46 | 48 | G |
| Long Term Conditions | 1,682 | 1,478 | 474 | (1,004) | (1,091) | 94 | 86 | 86 | - | - | 1,588 | 1,392 | 388 | (1,004) | (1,091) | R |
| Urgent Care | 1,170 | 1,073 | 581 | (492) | (558) | 28 | 24 | 1 | (22) | (22) | 1,142 | 1,049 | 579 | (470) | (536) | A-R |
| Planned Care | 128 | 109 | - | (109) | (128) | - | - | - | - | - | 128 | 109 | - | (109) | (128) | B |
| Prevention | 150 | 131 | - | (131) | (150) | - | - | - | - | - | 150 | 131 | - | (131) | (150) | B |
| Non Clinical Procurement | 20 | 18 | 18 | - | - | - | - | - | - | - | 20 | 18 | 18 | - | - | G |
| Decommissioning ineffective procedures | 50 | 46 | 159 | 113 | 109 | - | - | - | - | - | 50 | 46 | 159 | 113 | 109 | G |
| Referral management | 415 | 380 | - | (380) | (415) | 115 | 96 | - | (96) | (106) | 300 | 285 | - | (285) | (309) | B |
| Diagnostics | 395 | 358 | 1 | (357) | (394) | 38 | 35 | - | (35) | (38) | 357 | 323 | 1 | (322) | (356) | B |
| Medicines use | 714 | 655 | 701 | 47 | 49 | 42 | 39 | 1 | (37) | (41) | 672 | 616 | 700 | 84 | 90 | G |
| Prescribing | 288 | 264 | - | (264) | (288) | - | - | - | - | - | 288 | 264 | - | (264) | (288) | B |
| PROGRAMME TOTAL | 7,942 | 7,255 | 5,791 | (1,464) | (1,378) | 442 | 394 | 136 | (257) | (282) | 7,500 | 6,861 | 5,655 | (1,207) | (1,096) | |